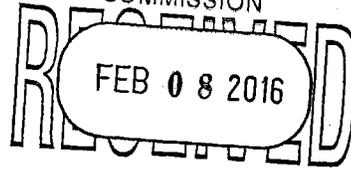


ARIZONA CORPORATION COMMISSION
UTILITIES DIVISION

ANNUAL REPORT MAILING LABEL – MAKE CHANGES AS NECESSARY

SW-04265A

Woodruff Utility Company, Inc. ARIZONA CORPORATION
17207 N. Perimeter Dr., Ste. 200 COMMISSION
Scottsdale, AZ 85255



Utilities Division

Please click here if pre-printed Company name on this form is not your current Company name or dba name is not included.

Please list current Company name including dba here:

ANNUAL REPORT
Sewer

FOR YEAR ENDING

12	31	2015
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FOR COMMISSION USE

ANN05	15
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2-9-16

COMPANY INFORMATION

Company Name (Business Name) WOODRUFF UTILITY COMPANY, INC.

Mailing Address 17207 N. PERIMETER DRIVE SUITE 200
(Street)
SCOTTSDALE AZ 85255
(City) (State) (Zip)

480-563-5247 480-585-7803 —
Telephone No. (Include Area Code) Fax No. (Include Area Code) Cell No. (Include Area Code)

Email Address MSR@DESERT-TROON.COM

Local Office Mailing Address _____

(City) (State) (Zip)

SAME AS ABOVE

Local Customer Service Phone No. (Include Area Code) _____ (1-800 or other long distance Customer Service Phone No.)

Email Address _____ Website address _____

MANAGEMENT INFORMATION

Regulatory Contact:

Management Contact: JON P. COULSTER V.P. / DIRECTOR OF DEVELOPMENT
(Name) (Title)

17207 N. PERIMETER DRIVE SUITE 200 SCOTTSDALE, AZ 85255
(Street) (City) (State) (Zip)

480-563-5247 480-585-7803 —
Telephone No. (Include Area Code) Fax No. (Include Area Code) Cell No. (Include Area Code)

Email Address JPC@DESERT-TROON.COM

On Site Manager: _____

(Name) (City) (State) (Zip)

NONE

Telephone No. (Include Area Code) _____ Fax No. (Include Area Code) _____ Cell No. (Include Area Code) _____

Email Address _____

Statutory Agent: AVG SERVICE CORPORATION

(Name)

8777 N. GAINY DRIVE SUITE 191 SCOTTSDALE, AZ 85258

(Street)

(City)

(State)

(Zip)

480-922-3933

480-922-3969

—

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Cell No. (Include Area Code)

Attorney: DAVID E. SHEIN ESQ. CHESTER & SHEIN PC

(Name)

8777 N. GAINY DRIVE SUITE 191 SCOTTSDALE, AZ 85258

(Street)

(City)

(State)

(Zip)

480-922-3933

480-922-3969

—

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Cell No. (Include Area Code)

Email Address DSHEIN@CSLAWYERS.COM

OWNERSHIP INFORMATION

Check the following box that applies to your company:

- | | |
|---|--|
| <input type="checkbox"/> Sole Proprietor (S) | <input checked="" type="checkbox"/> C Corporation (C) (Other than Association/Co-op) |
| <input type="checkbox"/> Partnership (P) | <input type="checkbox"/> Subchapter S Corporation (Z) |
| <input type="checkbox"/> Bankruptcy (B) | <input type="checkbox"/> Association/Co-op (A) |
| <input type="checkbox"/> Receivership (R) | <input type="checkbox"/> Limited Liability Company |
| <input type="checkbox"/> Other (Describe) _____ | |

COUNTIES SERVED

Check the box below for the county/ies in which you are certificated to provide service:

- | | | |
|-------------------------------------|-----------------------------------|---|
| <input type="checkbox"/> APACHE | <input type="checkbox"/> COCHISE | <input type="checkbox"/> COCONINO |
| <input type="checkbox"/> GILA | <input type="checkbox"/> GRAHAM | <input type="checkbox"/> GREENLEE |
| <input type="checkbox"/> LA PAZ | <input type="checkbox"/> MARICOPA | <input type="checkbox"/> MOHAVE |
| <input type="checkbox"/> NAVAJO | <input type="checkbox"/> PIMA | <input checked="" type="checkbox"/> PINAL |
| <input type="checkbox"/> SANTA CRUZ | <input type="checkbox"/> YAVAPAI | <input type="checkbox"/> YUMA |
| <input type="checkbox"/> STATEWIDE | | |

COMPANY NAME

WOODRUFF UTILITY COMPANY, INC.

WASTEWATER UTILITY PLANT IN SERVICE

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
351	Organization	\$ 4,288	Ø	\$ 4,288
352	Franchises	\$ 62,867	Ø	\$ 62,867
353	Land and Land Rights			
354	Structures and Improvements	\$ 9,600	Ø	\$ 9,600
355	Power Generation Equipment			
360	Collection Wastewaters – Force			
361	Collection Wastewaters – Gravity			
362	Special Collecting Structures			
363	Services to Customers			
364	Flow Measuring Devices			
365	Flow Measuring Installations			
370	Receiving Wells			
380	Treatment and Disposal Equip.			
381	Plant Wastewaters			
382	Outfall Wastewater Lines			
389	Other Plant and Misc. Equipment			
390	Office Furniture and Equipment			
390.1	Computers & Software			
391	Transportation Equipment			
393	Tools, Shop and Garage Equip.			
394	Laboratory Equipment			
395	Power Operated Equipment			
398	Other Tangible Plant			
	TOTALS	\$ 76,755	Ø	\$ 76,755

This amount goes on the Balance Sheet Acct. No. 108



COMPANY NAME

WOODRUFF UTILITY COMPANY, INC.

WASTEWATER UTILITY CALCULATION OF DEPRECIATION EXPENSE

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1 x 2)
351	Organization	\$ 4,288	∅	∅
352	Franchises	62,867	∅	∅
353	Land and Land Rights			
354	Structures and Improvements	9,600	∅	∅
355	Power Generation Equipment			
360	Collection Wastewaters – Force			
361	Collection Wastewaters – Gravity			
362	Special Collecting Structures			
363	Services to Customers			
364	Flow Measuring Devices			
365	Flow Measuring Installations			
370	Receiving Wells			
380	Treatment and Disposal Equip.			
381	Plant Wastewaters			
382	Outfall Wastewater Lines			
389	Other Plant and Misc. Equipment			
390	Office Furniture and Equipment			
390.1	Computers & Software			
391	Transportation Equipment			
393	Tools, Shop and Garage Equip.			
394	Laboratory Equipment			
395	Power Operated Equipment			
398	Other Tangible Plant			
	SUBTOTAL			
	LESS CIAC Amortization			
	TOTALS *	\$ 76,755	∅	∅

- This amount goes on the Comparative Statement of Income and Expense Acct. No. 403.

COMPANY NAME

WOODRUFF UTILITY COMPANY, INC.

WASTEWATER UTILITY BALANCE SHEET

Acct No.	ASSETS	BALANCE AT BEGINNING OF TEST YEAR	BALANCE AT END OF YEAR
CURRENT AND ACCRUED ASSETS			
131	Cash	\$ 84,639	\$ 87,047
132	Special Deposits		
135	Temporary Cash Investments		
141	Customer Accounts Receivable		
146	Notes/Receivables from Associated Companies		
151	Plant Material and Supplies		
162	Prepayments		
174	Miscellaneous Current and Accrued Assets		
	TOTAL CURRENT AND ACCRUED ASSETS	\$ 84,639	\$ 87,047
FIXED ASSETS			
101	Utility Plant in Service	\$ 76,755	\$ 76,755
103	Property Held for Future Use	Ø	Ø
105	Construction Work in Progress	Ø	Ø
108	Accumulated Depreciation - Utility Plant	Ø	Ø
121	Non-Utility Property	\$ 395,868	\$ 395,868
122	Accumulated Depreciation - Non Utility	Ø	Ø
	TOTAL FIXED ASSETS	\$ 472,623	\$ 472,623
	TOTAL ASSETS	\$ 557,262	\$ 559,670

NOTE: Total Assets on this page should equal Total Liabilities and Capital on the following page.

COMPANY NAME

WOODRUFF UTILITY COMPANY, INC.

WASTEWATER UTILITY BALANCE SHEET (CONTINUED)

Acct No.	LIABILITIES	BALANCE AT BEGINNING OF TEST YEAR	BALANCE AT END OF YEAR
	CURRENT LIABILITES		
231	Accounts Payable	\$ ∅	\$ ∅
232	Notes Payable (Current Portion)		
234	Notes/Accounts Payable to Associated Companies		
235	Customer Deposits		
236	Accrued Taxes		
237	Accrued Interest		
241	Miscellaneous Current and Accrued Liabilities		
	TOTAL CURRENT LIABILITIES	\$ ∅	\$ ∅
	LONG-TERM DEBT (Over 12 Months)		
224	Long-Term Notes and Bonds	\$ ∅	\$ ∅
	DEFERRED CREDITS		
252	Advances in Aid of Construction	\$ ∅	\$ ∅
253	Other Deferred Credits		
255	Accumulated Deferred Investment Tax Credits		
271	Contributions in Aid of Construction		
272	Less: Amortization of Contributions		
281	Accumulated Deferred Income Tax		
	TOTAL DEFERRED CREDITS	\$ ∅	\$ ∅
	TOTAL LIABILITIES	\$ ∅	\$ ∅
	CAPITAL ACCOUNTS		
201	Common Stock Issued	\$	\$
211	Other Paid in Capital	\$ 562,179	\$ 567,179
215	Retained Earnings	\$ (4,917)	\$ (7,509)
218	Proprietary Capital (Sole Props and Partnerships)		
	TOTAL CAPITAL	\$ 557,262	\$ 559,670
	TOTAL LIABILITIES AND CAPITAL	\$ 557,262	\$ 559,670

COMPANY NAME

WOODRUFF UTILITY COMPANY, INC.

**WASTEWATER UTILITY COMPARATIVE STATEMENT OF INCOME
AND EXPENSE**

Acct. No.	OPERATING REVENUES	PRIOR YEAR	CURRENT YEAR
521	Flat Rate Revenues	\$ 0	\$ 0
522	Measured Revenues		
536	Other Wastewater Revenues		
	TOTAL REVENUES	\$ 0	\$ 0
	OPERATING EXPENSES		
701	Salaries and Wages	\$ 0	\$ 0
710	Purchased Wastewater Treatment		
711	Sludge Removal Expense		
715	Purchased Power		
716	Fuel for Power Production		
718	Chemicals		
720	Materials and Supplies		
731	Contractual Services – Professional		
735	Contractual Services – Testing		
736	Contractual Services – Other ACCOUNTING		\$ 2,550
740	Rents		
750	Transportation Expense		
755	Insurance Expense		
765	Regulatory Commission Expense	0	0
775	Miscellaneous Expense	0	0
403	Depreciation Expense	0	0
408	Taxes Other Than Income		
408.11	Property Taxes		
409	Income Taxes	50	50
	TOTAL OPERATING EXPENSES	\$ 50	\$ 2,600
	OTHER INCOME/EXPENSE		
419	Interest and Dividend Income	\$ 17	\$ 8
421	Non-Utility Income		
426	Miscellaneous Non-Utility Expenses		
427	Interest Expense		
	TOTAL OTHER INCOME/EXPENSE	\$ 17	\$ 8
	NET INCOME/(LOSS)	\$ (33)	\$ (2,592)

COMPANY NAME

WOODRUFF UTILITY COMPANY, INC.

SUPPLEMENTAL FINANCIAL DATA
Long-Term Debt

	LOAN #1	LOAN #2	LOAN #3	LOAN #4
Date Issued				
Source of Loan				
ACC Decision No.				
Reason for Loan				
Dollar Amount Issued	\$	\$	\$	\$
Amount Outstanding	\$	\$	\$	\$
Date of Maturity				
Interest Rate	%	%	%	%
Current Year Interest	\$	\$	\$	\$
Current Year Principle	\$	\$	\$	\$

N/A

COMPANY NAME	WOODRUFF UTILITY COMPANY, INC.
Name of System:	Wastewater Inventory Number (if applicable):

WASTEWATER UTILITY PLANT DESCRIPTION
TREATMENT FACILITY

TYPE OF TREATMENT (Extended Aeration, Step Aeration, Oxidation Ditch, Aerobic Lagoon, Anaerobic Lagoon, Trickling Filter, Septic Tank, Wetland, Etc.)	PHASE I SBR DESIGNED, NOT CONSTRUCTED
DESIGN CAPACITY OF PLANT (Gallons Per Day)	PHASE I = 0.25 MGD; PHASE II = 1.5 MGD; PHASE III = 3 MGD

LIFT STATION FACILITIES

Location	Quantity of Pumps	Horsepower Per Pump	Capacity Per Pump (GPM)	Wet Well Capacity (gals)
	0			

FORCE MAINS

Size	Material	Length (Feet)
4-inch		0
6-inch		0

MANHOLES

Type	Quantity
Standard	0
Drop	0

CLEANOUTS

Quantity
0
0

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME	WOODRUFF UTILITY COMPANY, INC.
Name of System:	Wastewater Inventory Number (if applicable):

WASTEWATER UTILITY PLANT DESCRIPTION (CONTINUED)

COLLECTION MAINS

SERVICES

Size (in inches)	Material	Length (in feet)
4		
6		
8		
10		
12		
15		
18		
21		
24		
30		

Size (in inches)	Material	Quantity
4		
6		
8		
12		
15		

FOR THE FOLLOWING FIVE ITEMS, LIST THE UTILITY OWNED ASSETS IN EACH CATEGORY PER WASTEWATER SYSTEM

SOLIDS PROCESSING AND HANDLING FACILITIES	NONE
DISINFECTION EQUIPMENT (Chlorinator, Ultra-Violet, Etc.)	
FILTRATION EQUIPMENT (Rapid Sand, Slow Sand, Activated Carbon, Etc.)	
STRUCTURES (Buildings, Fences, Etc.)	
OTHER (Laboratory Equipment, Tools, Vehicles, Standby Power Generators, Etc.)	

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME	WOODRUFF UTILITY COMPANY, INC.
Name of System:	Wastewater Inventory Number (if applicable):

WASTEWATER FLOWS

MONTH/YEAR (Most Recent 12 Months)	NUMBER OF SERVICES	TOTAL MONTHLY SEWAGE FLOW	SEWAGE FLOW ON PEAK DAY
January			
February			
March			
April			
May			
June			
July			
August			
September			
October			
November			
December			

NONE

**PROVIDE THE FOLLOWING INFORMATION AS APPLICABLE
PER WASTEWATER SYSTEM**

Method of Effluent Disposal (leach field, surface water discharge, reuse, injection wells, groundwater recharge, evaporation ponds, etc.)	<i>NONE</i>
Groundwater Permit Number	<i>NONE</i>
ADEQ Aquifer Protection Permit Number	P-105597
ADEQ Reuse Permit Number	
EPA NPDES Permit Number	<i>NONE</i>

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME:	WOODRUFF UTILITY COMPANY, INC.
Name of System:	Wastewater Inventory Number (if applicable):

UTILITY SHUTOFFS / DISCONNECTS

MONTH	Termination without Notice R14-2- 609.B	Termination with Notice R14-2- 609.C	OTHER
JANUARY			
FEBRUARY			
MARCH			
APRIL			
MAY			
JUNE			
JULY			
AUGUST			
SEPTEMBER			
OCTOBER			
NOVEMBER			
DECEMBER			
TOTALS →			

NONE

OTHER (description):

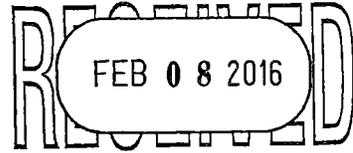
COMPANY NAME WOODRUFF UTILITY COMPANY, INC. YEAR ENDING 12/31/2015

PROPERTY TAXES

Amount of actual property taxes paid during Calendar Year 2015 was: \$ Ø

Attach to this annual report proof (e.g. property tax bills stamped "paid in full" or copies of cancelled checks for property tax payments) of any and all property taxes paid during the calendar year.

If no property taxes paid, explain why. WOODRUFF UTILITY COMPANY DOES NOT OWN LAND.



Utilities Division

**VERIFICATION
AND
SWORN STATEMENT**
Taxes

VERIFICATION

STATE OF ARIZONA
I, THE UNDERSIGNED
OF THE

COUNTY OF (COUNTY NAME) <u>MARICOPA</u>
NAME (OWNER OR OFFICIAL) TITLE <u>JON P. COLLIER AUTHORIZED AGENT</u>
COMPANY NAME <u>WODDRUFF UTILITY COMPANY, INC.</u>

DO SAY THAT THIS ANNUAL UTILITY PROPERTY TAX AND SALES TAX REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2015

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

I HEREBY ATTEST THAT ALL PROPERTY TAXES FOR SAID COMPANY ARE CURRENT AND PAID IN FULL.

I HEREBY ATTEST THAT ALL SALES TAXES FOR SAID COMPANY ARE CURRENT AND PAID IN FULL.

Jon P. Collier
SIGNATURE OF OWNER OR OFFICIAL
480-563-5247
TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS 8th DAY OF

COUNTY NAME <u>maricopa</u>
MONTH <u>February</u> , 20 <u>16</u>

(SEAL)



DEANNE C. HAGEY
Notary Public - Arizona
Maricopa County
Expires 01/31/2017

Deanne C Hagey
SIGNATURE OF NOTARY PUBLIC

MY COMMISSION EXPIRES

**VERIFICATION
AND
SWORN STATEMENT
Intrastate Revenues Only**

ARIZONA CORPORATION
COMMISSION
RECEIVED
FEB 08 2016

VERIFICATION

STATE OF ARIZONA
I, THE UNDERSIGNED
OF THE

COUNTY OF (COUNTY NAME) <u>MARICOPA</u>	Utilities Division
NAME (OWNER OR OFFICIAL) TITLE <u>JON P. COULTER AUTHORIZED AGENT</u>	
COMPANY NAME <u>WOODRUFF UTILITY COMPANY, INC.</u>	

**DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION
FOR THE YEAR ENDING**

MONTH	DAY	YEAR
12	31	2015

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2015 WAS:

Arizona Intrastate Gross Operating Revenues Only (\$)
\$ <u>0</u>

**(THE AMOUNT IN BOX ABOVE
INCLUDES \$ 0
IN SALES TAXES BILLED, OR COLLECTED)**

****REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED OR COLLECTED. IF FOR ANY OTHER REASON, THE REVENUE REPORTED ABOVE DOES NOT AGREE WITH TOTAL OPERATING REVENUES ELSEWHERE REPORTED, ATTACH THOSE STATEMENTS THAT RECONCILE THE DIFFERENCE. (EXPLAIN IN DETAIL)**

Jon P. Coulter
SIGNATURE OF OWNER OR OFFICIAL
480-563-5247
TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS 8th **DAY OF**

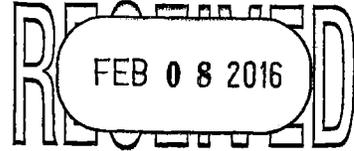
COUNTY NAME	<u>Maricopa</u>
MONTH	<u>February</u> , 20 <u>16</u>

 **DEANNE C. HAGEY**
Notary Public - Arizona
Maricopa County
Expires 01/31/2017
Deanne C Hagey
SIGNATURE OF NOTARY PUBLIC

MY COMMISSION EXPIRES

**VERIFICATION
AND
SWORN STATEMENT
RESIDENTIAL REVENUE
INTRASTATE REVENUES ONLY**

ARIZONA CORPORATION
COMMISSION



Utilities Division

VERIFICATION
STATE OF ARIZONA

I, THE UNDERSIGNED
OF THE

COUNTY OF (COUNTY NAME) <u>MARICOPA</u>	
NAME (OWNER OR OFFICIAL) <u>JOHN P. CAULSTER</u>	TITLE <u>AUTHORIZED AGENT</u>
COMPANY NAME <u>WOODRUFF UTILITY COMPANY, INC.</u>	

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION
FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2015

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2015 WAS:

ARIZONA INTRASTATE GROSS OPERATING REVENUES \$ <u> 0 </u>
--

(THE AMOUNT IN BOX AT LEFT
INCLUDES \$ 0
IN SALES TAXES BILLED, OR COLLECTED

*RESIDENTIAL REVENUE REPORTED ON THIS PAGE
MUST INCLUDE SALES TAXES BILLED.

John P. Caulster
SIGNATURE OF OWNER OR OFFICIAL
480-563-5247
TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS 9th DAY OF

(SEAL)

MY COMMISSION EXPIRES



DEANNE C. HAGEY
Notary Public - Arizona
Maricopa County
Expires 01/31/2017

NOTARY PUBLIC NAME <u>Deanne C. Hagey</u>
COUNTY NAME <u>Maricopa</u>
MONTH <u>February</u> <u>2016</u>

Deanne C. Hagey
SIGNATURE OF NOTARY PUBLIC