

ARIZONA CORPORATION COMMISSION
UTILITIES DIVISION

ANNUAL REPORT MAILING LABEL – MAKE CHANGES AS NECESSARY

SW-03036A
Sweetwater Creek Utilities, Inc.
P.O. Box 132
Kirkland, AZ 86332

RECEIVED

DEC 21 2015

ACC UTILITIES DIRECTOR

Please click here if pre-printed Company name on this form is not your current Company name or dba name is not included.

Please list current Company name including dba here:

ANNUAL REPORT
Sewer

FOR YEAR ENDING

12	31	2014
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FOR COMMISSION USE

ANN05	14
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12-21-15

Statutory Agent: CT Corporation

(Name)

2390 E. Camelback Road

(Street)

Phoenix

(City)

AZ

(State)

85016

(Zip)

888-912-0717

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Cell No. (Include Area Code)

Attorney: Patrick Black / Fennemore Craig Law

(Name)

2394 E Camelback Road, Suite 600

(Street)

Phoenix

(City)

AZ

(State)

85016-3429

(Zip)

602-916-5400

Telephone No. (Include Area Code)

602-916-5600

Fax No. (Include Area Code)

Cell No. (Include Area Code)

Email Address pblack@fclaw.com

OWNERSHIP INFORMATION

Check the following box that applies to your company:

Sole Proprietor (S)

C Corporation (C) (Other than Association/Co-op)

Partnership (P)

Subchapter S Corporation (Z)

Bankruptcy (B)

Association/Co-op (A)

Receivership (R)

Limited Liability Company

Other (Describe) _____

COUNTIES SERVED

Check the box below for the county/ies in which you are certificated to provide service:

APACHE

COCHISE

COCONINO

GILA

GRAHAM

GREENLEE

LA PAZ

MARICOPA

MOHAVE

NAVAJO

PIMA

PINAL

SANTA CRUZ

YAVAPAI

YUMA

STATEWIDE

COMPANY NAME Sweetwater Creek Utilities Inc.

WASTEWATER UTILITY PLANT IN SERVICE

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
351	Organization	13,462	11,993	1,469
352	Franchises			
353	Land and Land Rights	44,000	0	44,000
354	Structures and Improvements	105,293	87,241	18,052
355	Power Generation Equipment			
360	Collection Wastewaters – Force			
361	Collection Wastewaters – Gravity	129,324	114,563	14,761
362	Special Collecting Structures			
363	Services to Customers	31,630	28,179	3,451
364	Flow Measuring Devices	5,020	4,148	872
365	Flow Measuring Installations			
366	Reuse Services			
367	Reuse Meters & Meter Installations			
370	Receiving Wells	21,500	19,154	2,346
371	Pumping Equipment			
374	Reuse Distribution Reservoir			
375	Reuse Transmission & Distribution System			
380	Treatment and Disposal Equip.	642,849	420,338	222,511
381	Plant Wastewaters	2,661	2,314	347
382	Outfall Wastewater Lines	10,673	9,509	1,164
389	Other Plant and Misc. Equipment			
390	Office Furniture and Equipment	4,085	2,894	1,191
390.1	Computers & Software			
391	Transportation Equipment			
392	Stores Equipment			
393	Tools, Shop and Garage Equip.			
394.1	Laboratory Equipment	8,016	5,360	2,656
395	Power Operated Equipment	7,791	3,296	4,495
396	Communication Equipment			
397	Miscellaneous Equipment			
398	Other Tangible Plant			
	TOTALS	1,026,304	708,989	317,315

This amount goes on the Balance Sheet Acct. No. 108 

WASTEWATER UTILITY CALCULATION OF DEPRECIATION EXPENSE

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1 x 2)
351	Organization	13,462	5	673
352	Franchises			
353	Land and Land Rights	44,000	0	0
354	Structures and Improvements	105,293	5	5,265
355	Power Generation Equipment			
360	Collection Wastewaters – Force			
361	Collection Wastewaters – Gravity	129,324	5	6,466
362	Special Collecting Structures			
363	Services to Customers	31,630	5	1,582
364	Flow Measuring Devices	4,020	5	201
365	Flow Measuring Installations	1,000	5	50
366	Reuse Services		5	
367	Reuse Meters & Meter Installations		5	
370	Receiving Wells	21500	5	1075
371	Pumping Equipment		5	
374	Reuse Distribution Reservoir			
375	Reuse Transmission & Distribution System			
380	Treatment and Disposal Equip.	642,849	5	32,142
381	Plant Wastewaters	2,661	5	133
382	Outfall Wastewater Lines	10,673	5	534
389	Other Plant and Misc. Equipment			
390	Office Furniture and Equipment	4,085	5	204
390.1	Computers & Software			
391	Transportation Equipment			
392	Stores Equipment			
393	Tools, Shop and Garage Equip.			
394.1	Laboratory Equipment	8,016	5	401
395	Power Operated Equipment	7,791	5	390
396	Communication Equipment			
397	Miscellaneous Equipment			
398	Other Tangible Plant			
	SUBTOTAL			
	LESS CIAC Amortization			
	TOTALS *	1,026,304		49,116

* This amount goes on the Comparative Statement of Income and Expense Acct. No. 403.

COMPANY NAME Sweetwater Creek Utilities, Inc

WASTEWATER UTILITY BALANCE SHEET

Acct No.	ASSETS	BALANCE AT BEGINNING OF TEST YEAR	BALANCE AT END OF YEAR
	CURRENT AND ACCRUED ASSETS		
131	Cash	\$ 6,670	\$ 50,380
132	Special Deposits		
135	Temporary Cash Investments		
141	Customer Accounts Receivable	22,457	22,770
146	Notes/Receivables from Associated Companies		
151	Plant Material and Supplies		
162	Prepayments		
174	Miscellaneous Current and Accrued Assets		
	TOTAL CURRENT AND ACCRUED ASSETS	\$ 29,127	\$ 73,150
	FIXED ASSETS		
101	Utility Plant in Service	\$ 1,026,304	\$ 1,026,304
103	Property Held for Future Use		
105	Construction Work in Progress		
108	Accumulated Depreciation – Utility Plant	<657,691	<708,989>
121	Non-Utility Property		
122	Accumulated Depreciation – Non Utility		
	TOTAL FIXED ASSETS	\$ 368,613	\$ 317,315
	TOTAL ASSETS	\$ 397,740	\$ 390,465

NOTE: Total Assets on this page should equal **Total Liabilities and Capital** on the following page.

COMPANY NAME Sweetwater Creek Utilities, Inc

WASTEWATER UTILITY BALANCE SHEET (CONTINUED)

Acct No.	LIABILITIES	BALANCE AT BEGINNING OF TEST YEAR	BALANCE AT END OF YEAR
	CURRENT LIABILITES		
231	Accounts Payable	\$ 10,786	\$ 9,101
232	Notes Payable (Current Portion)		
234	Notes/Accounts Payable to Associated Companies		
235	Customer Deposits	9,357	11,482
236	Accrued Taxes		3,550
237	Accrued Interest		
241	Miscellaneous Current and Accrued Liabilities		666
	TOTAL CURRENT LIABILITIES	\$ 20,143	\$ 24,799
	LONG-TERM DEBT (Over 12 Months)		
224	Long-Term Notes and Bonds	\$ 14,694	\$ 0
	DEFERRED CREDITS		
252	Advances in Aid of Construction	\$	\$
253	Other Deferred Credits		
255	Accumulated Deferred Investment Tax Credits		
271	Contributions in Aid of Construction	689,477	689,477
272	Less: Amortization of Contributions	<537,738>	<570,002>
281	Accumulated Deferred Income Tax		
	TOTAL DEFERRED CREDITS	\$ 151,749	\$ 119,475
	TOTAL LIABILITIES	\$ 186,586	\$ 144,274
	CAPITAL ACCOUNTS		
201	Common Stock Issued	\$ <97,917>	\$ 97,917
211	Other Paid in Capital	171,993	171,993
215	Retained Earnings	137,078	172,145
218	Proprietary Capital (Sole Props and Partnerships)		
	TOTAL CAPITAL	\$ 211,154	\$ 246,221
	TOTAL LIABILITIES AND CAPITAL	\$ 397,740	\$ 390,495

**WASTEWATER UTILITY COMPARATIVE STATEMENT OF INCOME
AND EXPENSE**

Acct. No.	OPERATING REVENUES	PRIOR YEAR	CURRENT YEAR
521	Flat Rate Revenues	\$ 213,062	\$ 211,000
522	Measured Revenues		
536	Other Wastewater Revenues	7,977	8,087
	TOTAL REVENUES	\$ 221,039	\$ 219,087
	OPERATING EXPENSES		
701	Salaries and Wages	\$	\$
710	Purchased Wastewater Treatment		
711	Sludge Removal Expense	6,172	1,510
715	Purchased Power	32,620	32,773
716	Fuel for Power Production		
718	Chemicals	13,620	14,675
720	Materials and Supplies	7,888	8,402
731	Contractual Services – Professional	10,815	14,528
735	Contractual Services – Testing	2,390	2,230
736	Contractual Services – Other	69,164	62,273
740	Rents	537	
750	Transportation Expense	720	880
755	Insurance Expense		
765	Regulatory Commission Expense		
775	Miscellaneous Expense	8,269	5,042
403	Depreciation Expense	16,620	16,343
408	Taxes Other Than Income		
408.11	Property Taxes	9,262	10,801
409	Income Taxes	719	6,065
	TOTAL OPERATING EXPENSES	\$ 178,427	\$ 175,522
	OTHER INCOME/EXPENSE		
419	Interest and Dividend Income	\$	\$ 18
421	Non-Utility Income		
426	Miscellaneous Non-Utility Expenses		
427	Interest Expense	3,854	465
	TOTAL OTHER INCOME/EXPENSE	\$ <3,854>	\$ <447>
	NET INCOME/(LOSS)	\$ 38,758	\$ 43,118

COMPANY NAME Sweetwater Creek Utilities, Inc

SUPPLEMENTAL FINANCIAL DATA

Long-Term Debt

	LOAN #1	LOAN #2	LOAN #3	LOAN #4
Date Issued	7/1/2000			
Source of Loan	Dwight Zemp & John Clingman			
ACC Decision No.	61,958			
Reason for Loan	Capital Plant Purchase			
Dollar Amount Issued	\$ 70,939	\$	\$	\$
Amount Outstanding	\$ 0	\$	\$	\$
Date of Maturity				
Interest Rate	9.5%	%	%	%
Current Year Interest	\$ 465	\$	\$	\$
Current Year Principle	\$ 14,694	\$	\$	\$

COMPANY NAME	Sweetwater Creek Utilities, Inc	
Name of System:	Sweetwater WWTP	Wastewater Inventory Number (if applicable): 102846

WASTEWATER UTILITY PLANT DESCRIPTION
TREATMENT FACILITY

TYPE OF TREATMENT (Extended Aeration, Step Aeration, Oxidation Ditch, Aerobic Lagoon, Anaerobic Lagoon, Trickling Filter, Septic Tank, Wetland, Etc.)	Activated Sludge Extended Aeration
DESIGN CAPACITY OF PLANT (Gallons Per Day)	126,000 gpd / .126 MGD

LIFT STATION FACILITIES

Location	Quantity of Pumps	Horsepower Per Pump	Capacity Per Pump (GPM)	Wet Well Capacity (gals)
103635 S. Union Dr, Yuma, AZ	2	10.1	450	3,100

FORCE MAINS

Size	Material	Length (Feet)
4-inch	PVC	100
6-inch		

MANHOLES

Type	Quantity
Standard	57
Drop	

CLEANOUTS

Quantity

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME Sweetwater Creek Utilities, Inc

Name of System: Sweetwater WWTP **Wastewater Inventory Number (if applicable):** 102846

WASTEWATER UTILITY PLANT DESCRIPTION (CONTINUED)

COLLECTION MAINS

SERVICES

Size (in inches)	Material	Length (in feet)
4		
6		
8	PVC	15,426
10	PVC	30
12	PVC	5,050
15	PVC	1,089
18		
21		
24		
30		

Size (in inches)	Material	Quantity
4		
6		
8		
12		
15		

**FOR THE FOLLOWING FIVE ITEMS, LIST THE UTILITY OWNED ASSETS IN EACH CATEGORY
PER WASTEWATER SYSTEM**

SOLIDS PROCESSING AND HANDLING FACILITIES	Two aerated sludge holding tanks. Stored solids pumped and taken to South County Landfill.
DISINFECTION EQUIPMENT (Chlorinator, Ultra-Violet, Etc.)	Chlorination
FILTRATION EQUIPMENT (Rapid Sand, Slow Sand, Activated Carbon, Etc.)	N/A
STRUCTURES (Buildings, Fences, Etc.)	One Equipment/Operation Building. Site fenced with chain link fence.
OTHER (Laboratory Equipment, Tools, Vehicles, Standby Power Generators, Etc.)	Portable Process Control Testing Equipment. Portable trash pump.

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME Sweetwater Creek Utilities, Inc.

Name of System: Sweetwater WWTP **Wastewater Inventory Number (if applicable):** 102846

WASTEWATER FLOWS

MONTH/YEAR (Most Recent 12 Months)	NUMBER OF SERVICES	TOTAL MONTHLY SEWAGE FLOW	SEWAGE FLOW ON PEAK DAY
January	570	2.62	.111
February	570	2.23	.132
March	573	2.39	.122
April	574	2.57	.125
May	575	2.46	.106
June	577	2.38	.158
July	576	2.90	.140
August	581	2.58	.193
September	581	1.45	.078
October	575	1.25	.072
November	575	1.51	.080
December	575	1.71	.119

**PROVIDE THE FOLLOWING INFORMATION AS APPLICABLE
PER WASTEWATER SYSTEM**

Method of Effluent Disposal (leach field, surface water discharge, reuse, injection wells, groundwater recharge, evaporation ponds, etc.)	Evaporation/Infiltration Ponds
Groundwater Permit Number	
ADEQ Aquifer Protection Permit Number	P102846
ADEQ Reuse Permit Number	
EPA NPDES Permit Number	

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME: Sweetwater Creek Utilities, Inc.
Name of System: Sweetwater WWTP Wastewater Inventory Number (if applicable): 102846

UTILITY SHUTOFFS / DISCONNECTS

MONTH	Termination without Notice R14-2- 609.B	Termination with Notice R14-2- 609.C	OTHER
JANUARY	2	2	4
FEBRUARY	2	4	3
MARCH	2	1	7
APRIL	2	0	2
MAY	1	7	4
JUNE	1	6	6
JULY	1	9	7
AUGUST	1	3	3
SEPTEMBER	0	4	1
OCTOBER	2	2	4
NOVEMBER	2	7	8
DECEMBER	1	1	6
TOTALS →	17	46	55

OTHER (description):

COMPANY NAME Sweetwater Creek Utilities, Inc.

YEAR ENDING 12/31/2014

PROPERTY TAXES

Amount of actual property taxes paid during Calendar Year 2014 was: \$ 10,801

Attach to this annual report proof (e.g. property tax bills stamped "paid in full" or copies of cancelled checks for property tax payments) of any and all property taxes paid during the calendar year.

If no property taxes paid, explain why. _____

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DEC 21 2015

ACC UTILITIES DIRECTOR

VERIFICATION AND SWORN STATEMENT Taxes

VERIFICATION

STATE OF Arizona

I, THE UNDERSIGNED OF THE

Table with 3 rows: COUNTY OF (COUNTY NAME) Yavapai, NAME (OWNER OR OFFICIAL) TITLE Dwight Zemp, President, COMPANY NAME Sweetwater Creek Utilities, Inc.

DO SAY THAT THIS ANNUAL UTILITY PROPERTY TAX AND SALES TAX REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

Table with 3 columns: MONTH 12, DAY 31, YEAR 2014

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

I HEREBY ATTEST THAT ALL PROPERTY TAXES FOR SAID COMPANY ARE CURRENT AND PAID IN FULL.

I HEREBY ATTEST THAT ALL SALES TAXES FOR SAID COMPANY ARE CURRENT AND PAID IN FULL.

Signature of Dwight Zemp, SIGNATURE OF OWNER OR OFFICIAL, 303-888-4977, TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

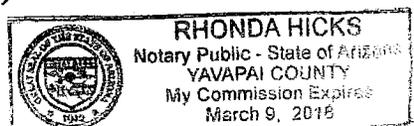
THIS Rhonda Hicks DAY OF Dec 2015



MY COMMISSION EXPIRES 03/09/2016

Table with 2 rows: COUNTY NAME Yavapai, MONTH Dec, .2015

Signature of Rhonda Hicks, SIGNATURE OF NOTARY PUBLIC



**VERIFICATION
AND
SWORN STATEMENT
Intrastate Revenues Only**

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DEC 21 2015

ACC UTILITIES DIRECTOR

VERIFICATION

STATE OF Arizona

I, THE UNDERSIGNED
OF THE

COUNTY OF (COUNTY NAME)	Yavapai
NAME (OWNER OR OFFICIAL) TITLE	Dwight Zemp, President
COMPANY NAME	Sweetwater Creek Utilities, Inc.

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2014

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2014 WAS:

Arizona Intrastate Gross Operating Revenues Only (\$)
\$ 219,087

(THE AMOUNT IN BOX ABOVE
INCLUDES \$ _____
IN SALES TAXES BILLED, OR COLLECTED)

****REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED OR COLLECTED. IF FOR ANY OTHER REASON, THE REVENUE REPORTED ABOVE DOES NOT AGREE WITH TOTAL OPERATING REVENUES ELSEWHERE REPORTED, ATTACH THOSE STATEMENTS THAT RECONCILE THE DIFFERENCE. (EXPLAIN IN DETAIL)**

Dwight Zemp

SIGNATURE OF OWNER OR OFFICIAL
303-888-4977

TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS 16 DAY OF

COUNTY NAME	Yavapai
MONTH	DEC, 2015

 **RHONDA HICKS**
Notary Public - State of Arizona
YAVAPAI COUNTY
My Commission Expires
March 9, 2018
MY COMMISSION EXPIRES 03/09/2018

Rhonda Hicks

SIGNATURE OF NOTARY PUBLIC

**VERIFICATION
AND
SWORN STATEMENT
RESIDENTIAL REVENUE
INTRASTATE REVENUES ONLY**

RECEIVED

DEC 21 2015

ACC UTILITIES DIRECTOR

VERIFICATION
STATE OF Arizona

I, THE UNDERSIGNED
OF THE

COUNTY OF (COUNTY NAME) <u>Yavapai</u>	
NAME (OWNER OR OFFICIAL) <u>Dwight L Zemp</u>	TITLE <u>President</u>
COMPANY NAME <u>Sweetwater Creek Utilities, Inc.</u>	

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2014

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

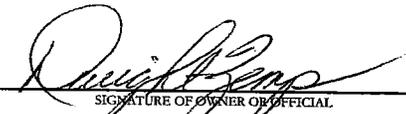
SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2014 WAS:

ARIZONA INTRASTATE GROSS OPERATING REVENUES \$ <u>219,087</u>

(THE AMOUNT IN BOX AT LEFT
INCLUDES \$ 0
IN SALES TAXES BILLED, OR COLLECTED

*RESIDENTIAL REVENUE REPORTED ON THIS PAGE
MUST INCLUDE SALES TAXES BILLED.

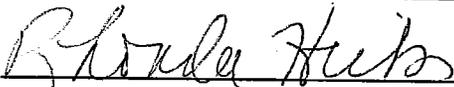

SIGNATURE OF OWNER OR OFFICIAL
303-888-4977
TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME
A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS 16 DAY OF

RHONDA HICKS
Notary Public - State of Arizona
YAVAPAI COUNTY
My Commission Expires 03/09/2016

NOTARY PUBLIC NAME	
<u>Yavapai</u>	
MONTH <u>Dec</u>	<u>2016</u>


SIGNATURE OF NOTARY PUBLIC