

ARIZONA CORPORATION COMMISSION
UTILITIES DIVISION

ANNUAL REPORT MAILING LABEL – MAKE CHANGES AS NECESSARY

W-02740A
Antelope Lakes Water Company
PO Box 350
Chino Valley, AZ 86323

MAR 27 2013

ANNUAL REPORT
Water

FOR YEAR ENDING

12	31	2012
-----------	-----------	-------------

FOR COMMISSION USE

ANN 04	12
---------------	-----------

3-27-13

COMPANY INFORMATION

Company Name (Business Name) <u>Antelope Lakes Water Company</u>		
Mailing Address <u>PO Box 350</u>		
(Street)		
<u>Chino Valley</u>	<u>AZ</u>	<u>86323</u>
(City)	(State)	(Zip)
<u>928-717-2616</u>	<u>928-636-0684</u>	
Telephone No. (Include Area Code)	Fax No. (Include Area Code)	Cell No. (Include Area Code)
Email Address <u>mattlauterbach@leviegroupp.com</u>		
Local Office Mailing Address _____		
(Street)		

(City)	(State)	(Zip)
Local Office Telephone No. (Include Area Code)	Fax No. (Include Area Code)	Cell No. (Include Area Code)
Email Address _____		

MANAGEMENT INFORMATION

<input type="checkbox"/> Regulatory Contact:			
<input checked="" type="checkbox"/> Management Contact: <u>Matthew Lauterbach</u> <u>Operations Manager</u>			
		(Name)	(Title)
<u>PO Box 350</u>	<u>Chino Valley</u>	<u>AZ</u>	<u>86323</u>
(Street)	(City)	(State)	(Zip)
<u>928-717-2616</u>	<u>928-636-0684</u>		
Telephone No. (Include Area Code)	Fax No. (Include Area Code)	Cell No. (Include Area Code)	
Email Address <u>mattlauterbach@leviegroupp.com</u>			
On Site Manager: <u>Pam Harbeson</u>			
		(Name)	
<u>PO Box 350</u>	<u>Chino Valley</u>	<u>AZ</u>	<u>86323</u>
(Street)	(City)	(State)	(Zip)
<u>928-717-2616</u>	<u>928-636-0684</u>		
Telephone No. (Include Area Code)	Fax No. (Include Area Code)	Cell No. (Include Area Code)	
Email Address <u>pam@leviegroupp.com</u>			

Statutory Agent: Paul D. Levie			
(Name)			
PO Box 350	Chino Valley	AZ	86323
(Street)	(City)	(State)	(Zip)
928-778-2600	928-636-0684		
Telephone No. (Include Area Code)	Fax No. (Include Area Code)	Cell No. (Include Area Code)	
Attorney: Paul D. Levie, P.C.			
(Name)			
PO Box 350	Chino Valley	AZ	86323
(Street)	(City)	(State)	(Zip)
928-778-2600	928-636-0684		
Telephone No. (Include Area Code)	Fax No. (Include Area Code)	Cell No. (Include Area Code)	
Email Address pdl@leviegroupp.com			

OWNERSHIP INFORMATION

Check the following box that applies to your company:

<input type="checkbox"/> Sole Proprietor (S)	<input type="checkbox"/> C Corporation (C) (Other than Association/Co-op)
<input type="checkbox"/> Partnership (P)	<input checked="" type="checkbox"/> Subchapter S Corporation (Z)
<input type="checkbox"/> Bankruptcy (B)	<input type="checkbox"/> Association/Co-op (A)
<input type="checkbox"/> Receivership (R)	<input type="checkbox"/> Limited Liability Company
<input type="checkbox"/> Other (Describe) _____	

COUNTIES SERVED

Check the box below for the county/ies in which you are certificated to provide service:

<input type="checkbox"/> APACHE	<input type="checkbox"/> COCHISE	<input type="checkbox"/> COCONINO
<input type="checkbox"/> GILA	<input type="checkbox"/> GRAHAM	<input type="checkbox"/> GREENLEE
<input type="checkbox"/> LA PAZ	<input type="checkbox"/> MARICOPA	<input type="checkbox"/> MOHAVE
<input type="checkbox"/> NAVAJO	<input type="checkbox"/> PIMA	<input type="checkbox"/> PINAL
<input type="checkbox"/> SANTA CRUZ	<input checked="" type="checkbox"/> YAVAPAI	<input type="checkbox"/> YUMA
<input type="checkbox"/> STATEWIDE		

COMPANY NAME

Antelope Lakes Water Company

UTILITY PLANT IN SERVICE

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
301	Organization	4030	2588	1442
302	Franchises	750	425	325
303	Land and Land Rights	20000	0	20000
304	Structures and Improvements			
307	Wells and Springs	58612	22185	36427
311	Pumping Equipment	2500	2021	479
320	Water Treatment Equipment			
330	Distribution Reservoirs and Standpipes	20382	11699	8683
331	Transmission and Distribution Mains	10665	8573	2092
333	Services			
334	Meters and Meter Installations			
335	Hydrants			
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment			
340	Office Furniture and Equipment			
341	Transportation Equipment			
343	Tools, Shop and Garage Equipment			
344	Laboratory Equipment			
345	Power Operated Equipment			
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	TOTALS	116939	47491	69448

This amount goes on the Balance Sheet Acct. No. 108 

COMPANY NAME Antelope Lakes Water Company

CALCULATION OF DEPRECIATION EXPENSE FOR CURRENT YEAR

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1x2)
301	Organization	4030	5%	190
302	Franchises	750	4%	33
303	Land and Land Rights	20000	0%	0
304	Structures and Improvements			
307	Wells and Springs	58612	3%	1555
311	Pumping Equipment	2500	5%	125
320	Water Treatment Equipment			
330	Distribution Reservoirs and Standpipes	20382	5%	1002
331	Transmission and Distribution Mains	10665	5%	534
333	Services			
334	Meters and Meter Installations			
335	Hydrants			
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment			
340	Office Furniture and Equipment			
341	Transportation Equipment			
343	Tools, Shop and Garage Equipment			
344	Laboratory Equipment			
345	Power Operated Equipment			
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	TOTALS	116939		3439

This amount goes on the Comparative Statement of Income and Expense Acct. No. 403.



COMPANY NAME Antelope Lakes Water Company

BALANCE SHEET

Acct No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	ASSETS		
	CURRENT AND ACCRUED ASSETS		
131	Cash	\$ 74.89	\$ 90.93
134	Working Funds		
135	Temporary Cash Investments		
141	Customer Accounts Receivable	503.15	517.19
146	Notes/Receivables from Associated Companies	3346.93	3346.93
151	Plant Material and Supplies		
162	Prepayments		
174	Miscellaneous Current and Accrued Assets		
	TOTAL CURRENT AND ACCRUED ASSETS	\$ 3924.97	\$ 3955.05
	FIXED ASSETS		
101	Utility Plant in Service	\$ 116938.82	\$ 116938.82
103	Property Held for Future Use		
105	Construction Work in Progress		
108	Accumulated Depreciation – Utility Plant	(48693.92)	(48502.67)
121	Non-Utility Property		
122	Accumulated Depreciation – Non Utility		
	TOTAL FIXED ASSETS	\$ 68224.90	\$ 68436.15
	TOTAL ASSETS	\$ 72169.87	\$ 72391.20

NOTE: The Assets on this page should be equal to **Total Liabilities and Capital** on the following page.

COMPANY NAME

Antelope Lakes Water Company

BALANCE SHEET (CONTINUED)

Acct. No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	LIABILITIES		
	CURRENT LIABILITES		
231	Accounts Payable	\$ (8500)	\$ 0
232	Notes Payable (Current Portion)		
234	Notes/Accounts Payable to Associated Companies	43985.00	35870.00
235	Customer Deposits	0	
236	Accrued Taxes	129.49	135.80
237	Accrued Interest		
241	Miscellaneous Current and Accrued Liabilities		
	TOTAL CURRENT LIABILITIES	\$ 44114.49	\$ 36005.80
	LONG-TERM DEBT (Over 12 Months)		
224	Long-Term Notes and Bonds	\$ 43075.00	\$ 43075.00
	DEFERRED CREDITS		
251	Unamortized Premium on Debt	\$	\$
252	Advances in Aid of Construction		
255	Accumulated Deferred Investment Tax Credits		
271	Contributions in Aid of Construction		
272	Less: Amortization of Contributions		
281	Accumulated Deferred Income Tax		
	TOTAL DEFERRED CREDITS	\$	\$
	TOTAL LIABILITIES	\$ 78689.49	\$ 79080.80
	CAPITAL ACCOUNTS		
201	Common Stock Issued	\$ 49577.00	\$ 49577.00
211	Paid in Capital in Excess of Par Value		
215	Retained Earnings	(56096.62)	(56266.60)
218	Proprietary Capital (Sole Props and Partnerships)		
	TOTAL CAPITAL	\$ (6519.62)	\$
	TOTAL LIABILITIES AND CAPITAL	\$ 72169.87	\$ 72391.20

COMPANY NAME Antelope Lakes Water Company

COMPARATIVE STATEMENT OF INCOME AND EXPENSE

Acct. No.	OPERATING REVENUES	PRIOR YEAR	CURRENT YEAR
461	Metered Water Revenue	\$ 430.44	\$ 414.92
460	Unmetered Water Revenue		
474	Other Water Revenues	103.82	79.31
	TOTAL REVENUES	\$ 534.26	\$ 494.23
	OPERATING EXPENSES		
601	Salaries and Wages	\$	\$
610	Purchased Water		
615	Purchased Power	679.36	329.80
618	Chemicals		
620	Repairs and Maintenance		
621	Office Supplies and Expense		40.00
630	Outside Services	505.00	385.00
635	Water Testing		
641	Rents		
650	Transportation Expenses		
657	Insurance – General Liability		
659	Insurance - Health and Life		
666	Regulatory Commission Expense – Rate Case	45.00	45.00
675	Miscellaneous Expense	20.43	
403	Depreciation Expense	3441.00	3439.00
408	Taxes Other Than Income		
408.11	Property Taxes	68.20	55.66
409	Income Tax		
	TOTAL OPERATING EXPENSES	\$ 4758.99	\$ 4294.46
	OPERATING INCOME/(LOSS)	\$ (4224.73)	\$ (3800.23)
	OTHER INCOME/(EXPENSE)		
419	Interest and Dividend Income	\$	\$
421	Non-Utility Income		
426	Miscellaneous Non-Utility Expenses		
427	Interest Expense		
	TOTAL OTHER INCOME/(EXPENSE)	\$	\$
	NET INCOME/(LOSS)	\$ (4224.73)	\$ (3800.23)

COMPANY NAME

Antelope Lakes Water Company

SUPPLEMENTAL FINANCIAL DATA**Long-Term Debt**

	LOAN #1	LOAN #2	LOAN #3	LOAN #4
Date Issued	3/15/96	12/16/96		
Source of Loan	L.A.L.D.	L.A.L.D.		
ACC Decision No.	Note	Note		
Reason for Loan				
Dollar Amount Issued	\$ 54,000	\$ 10,675	\$	\$
Amount Outstanding	\$ 32,400	\$ 10,675	\$	\$
Date of Maturity				
Interest Rate	7%.	12%.	%	%
Current Year Interest	\$	\$	\$	\$
Current Year Principle	\$	\$	\$	\$

Meter Deposit Balance at Test Year End \$ 0.00

Meter Deposits Refunded During the Test Year \$ 0.00

COMPANY NAME	Antelope Lakes Water Company
Name of System: Antelope Lakes Water Co	ADEQ Public Water System Number:

WATER COMPANY PLANT DESCRIPTION

WELLS

ADWR ID Number*	Pump Horsepower	Pump Yield (gpm)	Casing Depth (Feet)	Casing Diameter (Inches)	Meter Size (inches)	Year Drilled
55-505514	3HP	30	315	7		1983
55-552692	not in use		440	7		1996
55-560849	not in use		246	5		1997
55-578857	not drilled					
55-585385	drilled-not used		20	20		2001
55-902169	not in use	300	707	6		2005

* Arizona Department of Water Resources Identification Number

OTHER WATER SOURCES

Name or Description	Capacity (gpm)	Gallons Purchased or Obtained (in thousands)

BOOSTER PUMPS		FIRE HYDRANTS	
Horsepower	Quantity	Quantity Standard	Quantity Other

STORAGE TANKS		PRESSURE TANKS	
Capacity	Quantity	Capacity	Quantity

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME	Antelope Lakes Water Company		
Name of System:	Antelope Lakes Water Co	ADEQ Public Water System Number:	

WATER COMPANY PLANT DESCRIPTION (CONTINUED)

MAINS

Size (in inches)	Material	Length (in feet)
2		
3		
4		
5		
6	pvc	1040
8		
10		
12		

CUSTOMER METERS

Size (in inches)	Quantity
5/8 X 3/4	2
3/4	
1	
1 1/2	
2	
Comp. 3	
Turbo 3	
Comp. 4	
Turbo 4	
Comp. 6	
Turbo 6	

For the following three items, list the utility owned assets in each category for each system.

TREATMENT EQUIPMENT:

STRUCTURES:

(1) Well House, Concrete Floor 10'x12'

OTHER:

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME:	Antelope Lakes Water Company
Name of System:	Antelope Lakes Water Co ADEQ Public Water System Number:

UTILITY SHUTOFFS / DISCONNECTS

MONTH	Termination without Notice R14-2-410.B	Termination with Notice R14-2-410.C	OTHER
JANUARY	0	0	
FEBRUARY	0	0	
MARCH	0	0	
APRIL	0	0	
MAY	0	0	
JUNE	0	0	
JULY	0	0	
AUGUST	0	0	
SEPTEMBER	0	0	
OCTOBER	0	0	
NOVEMBER	0	0	
DECEMBER	0	0	
TOTALS →	0	0	

OTHER (description):

COMPANY NAME Antelope Lakes Water Company YEAR ENDING 12/31/2012

PROPERTY TAXES

Amount of actual property taxes paid during Calendar Year 2012 was: \$ 55.66

Attach to this annual report proof (e.g. property tax bills stamped "paid in full" or copies of cancelled checks for property tax payments) of any and all property taxes paid during the calendar year.

If no property taxes paid, explain why. _____

**VERIFICATION
AND
SWORN STATEMENT**
Taxes

MAR 27 2013

VERIFICATION

STATE OF Arizona
I, THE UNDERSIGNED
OF THE

COUNTY OF (COUNTY NAME) Yavapai
NAME (OWNER OR OFFICIAL) TITLE Paul D. Levie, President
COMPANY NAME Antelope Lakes Water Company

DO SAY THAT THIS ANNUAL UTILITY PROPERTY TAX AND SALES TAX REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

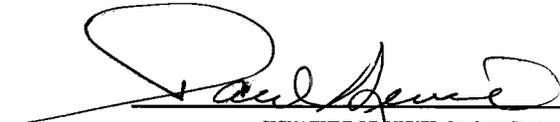
MONTH	DAY	YEAR
12	31	2012

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

I HEREBY ATTEST THAT ALL PROPERTY TAXES FOR SAID COMPANY ARE CURRENT AND PAID IN FULL.

I HEREBY ATTEST THAT ALL SALES TAXES FOR SAID COMPANY ARE CURRENT AND PAID IN FULL.

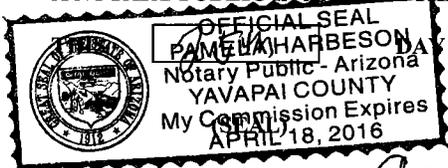

SIGNATURE OF OWNER OR OFFICIAL

928-717-2616

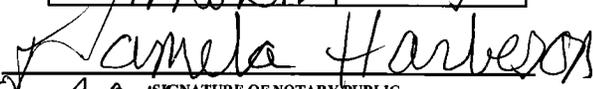
TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF



COUNTY NAME	Yavapai
MONTH	March 2013


SIGNATURE OF NOTARY PUBLIC

MY COMMISSION EXPIRES

April 18, 2016

COMPANY NAME Antelope Lakes Water Company

YEAR ENDING 12/31/2012

INCOME TAXES

For this reporting period, provide the following:

Federal Taxable Income Reported To Be Determined
Estimated or Actual Federal Tax Liability _____

State Taxable Income Reported To Be Determined
Estimated or Actual State Tax Liability _____

Amount of Grossed-Up Contributions/Advances:

Amount of Contributions/Advances To Be Determined
Amount of Gross-Up Tax Collected _____
Total Grossed-Up Contributions/Advances _____

Decision No. 55774 states, in part, that the utility will refund any excess gross-up funds collected at the close of the tax year when tax returns are completed. Pursuant to this Decision, if gross-up tax refunds are due to any Payer or if any gross-up tax refunds have already been made, attach the following information by Payer: name and amount of contribution/advance, the amount of gross-up tax collected, the amount of refund due to each Payer, and the date the Utility expects to make or has made the refund to the Payer.

CERTIFICATION

The undersigned hereby certifies that the Utility has refunded to Payers all gross-up tax refunds reported in the prior year's annual report. This certification is to be signed by the President or Chief Executive Officer, if a corporation; the managing general partner, if a partnership; the managing member, if a limited liability company or the sole proprietor, if a sole proprietorship.



SIGNATURE

3-25-13

DATE

Paul D. Levie

PRINTED NAME

President

TITLE

**VERIFICATION
AND
SWORN STATEMENT
Intrastate Revenues Only**

MAR 27 2013

VERIFICATION

STATE OF Arizona

**I, THE UNDERSIGNED
OF THE**

COUNTY OF (COUNTY NAME) Yavapai
NAME (OWNER OR OFFICIAL) TITLE Paul D. Levie, President
COMPANY NAME Antelope Lakes Water Company

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2012

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2012 WAS:

Arizona Intrastate Gross Operating Revenues Only (\$)
\$ <u>528.38</u>

**(THE AMOUNT IN BOX ABOVE
INCLUDES \$ 34.15
IN SALES TAXES BILLED, OR COLLECTED)**

****REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED OR COLLECTED. IF FOR ANY OTHER REASON, THE REVENUE REPORTED ABOVE DOES NOT AGREE WITH TOTAL OPERATING REVENUES ELSEWHERE REPORTED, ATTACH THOSE STATEMENTS THAT RECONCILE THE DIFFERENCE. (EXPLAIN IN DETAIL)**



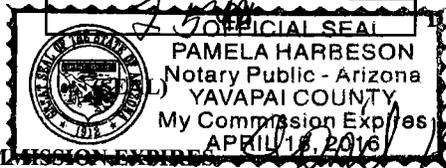
 SIGNATURE OF OWNER OR OFFICIAL
 928-717-2616

 TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

COUNTY NAME	Yavapai
MONTH	March 2013

THIS  DAY OF

MY COMMISSION EXPIRES APRIL 15, 2016



 SIGNATURE OF NOTARY PUBLIC

**VERIFICATION
AND
SWORN STATEMENT
RESIDENTIAL REVENUE
Intrastate Revenues Only**

MAR 27 2013

VERIFICATION

STATE OF ARIZONA

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME) Yavapai	
NAME (OWNER OR OFFICIAL) Paul D. Levie	TITLE President
COMPANY NAME Antelope Lakes Water Company	

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2012

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2012 WAS:

ARIZONA INTRASTATE GROSS OPERATING REVENUES
\$ 528.38

**THE AMOUNT IN BOX AT LEFT
INCLUDES \$ 34.15
IN SALES TAXES BILLED, OR COLLECTED)**

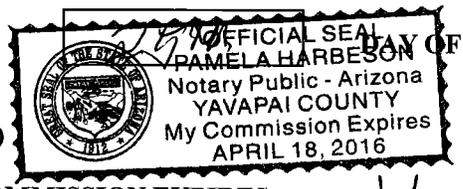
***RESIDENTIAL REVENUE REPORTED ON THIS PAGE
MUST INCLUDE SALES TAXES BILLED.**


SIGNATURE OF OWNER OR OFFICIAL

928-717-2616
TELEPHONE NUMBER

**SUBSCRIBED AND SWORN TO BEFORE ME
A NOTARY PUBLIC IN AND FOR THE COUNTY OF**

**THIS
(SEAL)**



MY COMMISSION EXPIRES

April 19, 2016

NOTARY PUBLIC NAME	
COUNTY NAME Yavapai	
MONTH <i>March</i>	20 <i>13</i>


SIGNATURE OF NOTARY PUBLIC