

## STATEMENT OF BANKRUPTCY OR RECEIVERSHIP

*Read the Instructions [C026i](#)*

- 1. ENTITY NAME** – give the exact name in Arizona as currently shown in A.C.C. records of the corporation that is in bankruptcy or receivership:

\_\_\_\_\_

- 2. A.C.C. FILE NUMBER:** \_\_\_\_\_  
 Find the A.C.C. file number on the upper corner of filed documents OR on our website at: <http://www.azcc.gov/Divisions/Corporations>

- 3. CASE INFORMATION** – complete all blanks:

**3.1 Case Caption:** \_\_\_\_\_

**3.2 Case or docket number:** \_\_\_\_\_

**3.3 Court:** \_\_\_\_\_

**3.4 State:** \_\_\_\_\_

**3.5 Date filed:** \_\_\_\_\_

- 3.6 Trustee or Receiver name and address:**

Bankruptcy Trustee or Receiver name		
Address 1		
Address 2 (optional)		
City	State or Province	Zip
Country		

- 4. OFFICERS** – list below all officers of the corporation within one year of the filing of the petition for bankruptcy or the appointment of the receiver. If more space is needed, check this box  and complete and attach the [Officer Attachment](#) form C085.

Officer Name			Officer Name		
Address 1			Address 1		
Address 2 (optional)			Address 2 (optional)		
City	State or Province	Zip	City	State or Province	Zip
Country			Country		
Date taking office:		Officer Title:	Date taking office:		Officer Title:

Officer Name				Officer Name			
Address 1				Address 1			
Address 2 (optional)				Address 2 (optional)			
City		State or Province	Zip	City		State or Province	Zip
Country				Country			
Date taking office:		Officer Title:		Date taking office:		Officer Title:	

**5. DIRECTORS** – list below all directors of the corporation within one year of the filing of the petition for bankruptcy or the appointment of the receiver. If more space is needed, check this box  and complete and attach the [Director Attachment](#) form C082.

Director Name				Director Name			
Address 1				Address 1			
Address 2 (optional)				Address 2 (optional)			
City		State or Province	Zip	City		State or Province	Zip
Country				Country			
Date taking office:				Date taking office:			
Director Name				Director Name			
Address 1				Address 1			
Address 2 (optional)				Address 2 (optional)			
City		State or Province	Zip	City		State or Province	Zip
Country				Country			
Date taking office:				Date taking office:			

**6. TRUSTEES** – list below all trustees, if any exist other than the bankruptcy trustee, of the corporation within one year of the filing of the petition for bankruptcy or the appointment of the receiver. If more space is needed, check this box  and complete and attach the [Trustee Attachment](#) form C088.

Trustee Name				Trustee Name			
Address 1				Address 1			
Address 2 (optional)				Address 2 (optional)			
City		State or Province	Zip	City		State or Province	Zip
Country				Country			
Date taking office:				Date taking office:			

**7. MAJOR STOCKHOLDERS** (for-profit entities only) – all major stockholders of the corporation within one year of the filing of the petition for bankruptcy or the appointment of the receiver must be listed. If more space is needed, check this box  and complete and attach the Statement of [Bankruptcy Major Stockholder Attachment](#) form C027.

“Major stockholder” means a shareholder possessing or controlling twenty per cent of the issued and outstanding shares or twenty per cent of any proprietary, beneficial or membership interest in the corporation. “Controlling” includes the total shares of stock issued to a husband and wife and their relatives to the first degree of consanguinity.

NOTE – if a major stockholder is a corporation, you must list the current president, chairman of the board of directors, and major stockholders of the corporation that is the major stockholder.

**7.1 REQUIRED** - Does the corporation have any major stockholders as defined above?

- Yes – complete the information below and then continue with number 8.
- No – go to number 8 and continue.

Major Stockholder Name			Major Stockholder Name		
Address 1			Address 1		
Address 2 (optional)			Address 2 (optional)		
City	State or Province	Zip	City	State or Province	Zip
Country			Country		
Is this major stockholder a corporation? <input type="checkbox"/> Yes <input type="checkbox"/> No If “yes,” complete the following:			Is this major stockholder a corporation? <input type="checkbox"/> Yes <input type="checkbox"/> No If “yes,” complete the following:		
President			President		
Chairman of the Board of Directors			Chairman of the Board of Directors		
For Major Stockholders of the corporate major stockholder – check this box <input type="checkbox"/> and complete and attach the <a href="#">Major Stockholder Attachment</a> form C027.			For Major Stockholders of the corporate major stockholder – check this box <input type="checkbox"/> and complete and attach the <a href="#">Major Stockholder Attachment</a> form C027.		

**8. OTHER CORPORATION BANKRUPTCY OR RECEIVERSHIP** - has any officer, director, trustee, or major stockholder been an officer, director, trustee, or major stockholder of any other corporation (not the one filing this Statement of Bankruptcy) within one year of the bankruptcy or receivership of the other corporation?

- Yes - complete the following (next page) for each other corporation – fill in all blanks. If more space is needed, check this box  and complete and attach the Statement of [Bankruptcy Other Corporation Attachment](#) form C028.
- No – go to Signature section.

Name of Individual involved			Name of Individual involved		
Name of other corporation			Name of other corporation		
Other Corporation Address 1			Other Corporation Address 1		
Address 2 (optional)		State	Zip	Address 2 (optional)	
City		State	Zip	City	
Country				Country	
State(s) of Incorporation:			State(s) of incorporation:		
State(s) of transaction of business:			State(s) of transaction of business:		
Dates of corporation operation:			Dates of corporate operation:		

**SIGNATURE:** By checking the box marked "I accept" below, I acknowledge *under penalty of perjury* that this document together with any attachments is submitted in compliance with Arizona law.

I ACCEPT

Signature

Printed Name

Date

**REQUIRED** – check only one:

<input type="checkbox"/> I am the <b>Chairman of the Board of Directors</b> of the corporation filing this document.	<input type="checkbox"/> I am a duly-authorized <b>Officer</b> of the corporation filing this document.	<input type="checkbox"/> I am a duly authorized <b>bankruptcy trustee</b> , receiver, or other court-appointed fiduciary for the corporation filing this document.
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Filing Fee: None (regular processing)  
 Expedited processing – add \$35.00 to filing fee.  
 All fees are nonrefundable - see Instructions.

Mail: Arizona Corporation Commission - Corporate Filings Section  
 1300 W. Washington St., Phoenix, Arizona 85007  
 Fax: 602-542-4100

Please be advised that A.C.C. forms reflect only the **minimum** provisions required by statute. You should seek private legal counsel for those matters that may pertain to the individual needs of your business.

All documents filed with the Arizona Corporation Commission are **public record** and are open for public inspection.  
 If you have questions after reading the Instructions, please call 602-542-3026 or (within Arizona only) 800-345-5819.