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ORIGINAL

LIBERTY UTILITIES (RIO RICO WATER & SEWER) CORP.

Sheet No. ii

DOCKET NO. WS-02676A-12-0196

Cancelling Sheet No. \_\_\_\_

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Effective: August 1, 2013

ISSUED BY:

Christopher D. Krygier, Utility Rates and Regulatory Manager  
Liberty Utilities (Rio Rico Water & Sewer) Corp.  
12725 W. Indian School Road, Suite D-101  
Avondale, AZ 85392

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LIBERTY UTILITIES (RIO RICO WATER &amp; SEWER) CORP.

Sheet No. 16

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Cancelling Sheet No. \_\_\_\_

Applies to all WASTEWATER service areas  
**STATEMENT OF CHARGES FOR WASTEWATER SERVICE**

**I. RATES**

In Opinion and Order No. 73996, dated July 30, 2013, the Commission approved the following rates and charges to become effective August 1, 2013:

**A. Monthly Minimum Charge**

<b><u>Meter Size (All Classes)</u></b> <b>Inches</b>	<b><u>Charge<sup>2</sup></u></b> <b>Per Month</b>
5/8" x 3/4" Meter	\$ 49.00
5/8" x 3/4" Meter (low income)	41.65
3/4" Meter	56.50
3/4" Meter (low income)	48.03
1" Meter	69.10
1" Meter (low income)	58.74
1 1/2" Meter	102.00
2" Meter	141.50
3" Meter	246.50
4" Meter	365.40
6" Meter	694.40
8" Meter	1,057.78
10" Meter	1,585.07
12" Meter	2,254.08

<sup>2</sup> A 5 percent discount is applicable to the public schools operated by the Santa Cruz County School District No. 35 receiving water and/or wastewater utility services from the Company.

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# ORIGINAL

LIBERTY UTILITIES (RIO RICO WATER & SEWER) CORP.

Sheet No. 17

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Applies to all WASTEWATER service areas  
**STATEMENT OF CHARGES FOR WASTEWATER SERVICE**

**B. Commodity Rates (All Meter Sizes)**

<b>Commercial and Multi-Tenant Only</b>	
0 to 7,000 gallons	\$0.00
Over 7,000 gallons	5.00

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LIBERTY UTILITIES (RIO RICO WATER & SEWER) CORP.

Sheet No. 18

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Applies to all WASTEWATER service areas  
**STATEMENT OF CHARGES FOR WASTEWATER SERVICE**

**C. Service Line and Meter Installation Charges**

Service Line Size	Charge
4" Meter	At Cost
6" Meter	At Cost
8" Meter	At Cost
10" Meter	At Cost
12" Meter	At Cost

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LIBERTY UTILITIES (RIO RICO WATER &amp; SEWER) CORP.

Sheet No. 19

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Applies to all WASTEWATER service areas  
**STATEMENT OF CHARGES FOR WASTEWATER SERVICE**

**D. Other Service Charges**

<u>Service</u>	<u>Charge</u>
Establishment	\$15.00
Reconnection (Delinquent)	15.00
Deposit	*
Deposit Interest	**
Re-Establishment (within 12 months)	***
NSF Check	\$25.00
Late Payment Penalty	1.5% per mo.
Deferred Payment	1.5% per mo.
After hours service charge at customer's request (a)	\$50.00

\* Per Commission Rule A.A.C. R14-2-603(B)

\*\* Per Commission Rule A.A.C. R14-2-603(B)

\*\*\* Per Commission Rule A.A.C. R14-2-603(D) – months off system times the monthly minimum.

(a) No charge for service calls during normal working hours.

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**ORIGINAL**

LIBERTY UTILITIES (RIO RICO WATER & SEWER) CORP.

Sheet No. 20

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Cancelling Sheet No. \_\_\_\_

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Applies to all WASTEWATER service areas  
**STATEMENT OF CHARGES FOR WASTEWATER SERVICE**

**II. TAXES AND ASSESSMENTS**

In addition to all other rates and charges authorized herein, the Company shall collect from its customers all applicable sales, transaction, privilege, regulatory or other taxes and assessments as may apply now or in the future, per Rule R14-2-608(D)(5).

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**ORIGINAL**

LIBERTY UTILITIES (RIO RICO WATER & SEWER) CORP.

Sheet No. 21

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Applies to all WASTEWATER service areas  
**STATEMENT OF CHARGES FOR WASTEWATER SERVICE**

**III. PERMITTED COSTS**

- A. Costs shall be verified by invoice.
- B. For services that are provided by the Company at cost, costs shall include labor, materials, other charges incurred, and overhead. However, prior to any such service being provided, the estimated cost of such service will be provided by the Company to the customer. After review of the cost estimate, the customer will pay the amount of the estimated cost to the Company.
- C. In the event that the actual cost is less than the estimated cost, the Company will refund the excess to the customer within 30 days after completion of the provision of the service or after Company's receipt of invoices, timesheets or other related documents, whichever is later.
- D. In the event the actual cost is more than the estimated cost, the Company will bill the customer for the amount due within 30 days after completion of the invoices, timesheets or other related documents, whichever is later. The amount so billed will be due and payable 30 days after the invoice date.
- E. At the customer's request, the Company shall make available to the customer all invoices, timesheets or related documents that support the cost for providing such service.
- F. Permitted costs shall include any Federal, State or local taxes that are or may be payable by the Company as a result of any tariff or contract for wastewater facilities under which the Customer advances or contributes funds or facilities to the Company.

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# ORIGINAL

LIBERTY UTILITIES (RIO RICO WATER &amp; SEWER) CORP.

Sheet No. 22

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Applies to all WASTEWATER service areas

## **STATEMENT OF TERMS AND CONDITIONS FOR WASTEWATER SERVICE**

### **I. CUSTOMER DISCHARGE TO SYSTEM**

#### **A. Service Subject to Regulation**

The Company provides wastewater service using treatment and collection facilities that are regulated by numerous county, state and federal Statutes and Regulations. Those Regulations include limitations as to domestic strength wastewater and the type of wastewater that may be discharged into the system by any person directly or indirectly connected to the plant.

#### **B. Waste Limitations**

The Company has established the permissible limits of concentration as domestic strength wastewater and will limit concentration for various specific substances, materials, waters, or wastes that can be accepted in the sewer system, and to specify those substances, materials, waters, or wastes that are prohibited from entering the sewer system. Each permissible limit so established shall be placed on file in the business office of the Company, with a copy filed with the Commission. No person shall discharge, or cause to be discharged, any new sources of inflow including, but not limited to, storm water, surface water, groundwater, roof runoffs, subsurface drainage, cooling water, or polluted industrial process waters into the sanitary sewer. The Company will require an affidavit from all commercial and industrial customers, and their professional engineer, stating that the wastewater discharged to the system does not exceed domestic strength.

#### **C. Inspection and Right of Entry**

Every facility that is involved directly or indirectly with the discharge of wastewater to the Treatment Plant may be inspected by the Company as it deems necessary. These facilities shall include but not be limited to sewer; sewage pumping plants; all processes; devices and connection sewer; and all similar sewerage facilities. Inspections may be made to determine that such facilities are maintained and operated properly and are adequate to meet the provisions of these rules. Inspections may include the collection of samples. Authorized personnel of the Company shall be provided immediate access to all of the above facilities or to other facilities directly or indirectly connected to the Treatment Plant at all reasonable times including those occasioned by emergency conditions. Any permanent or temporary obstruction to easy access to the user's facility to be inspected shall promptly be removed by the facility user or owner at

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# ORIGINAL

LIBERTY UTILITIES (RIO RICO WATER & SEWER) CORP.

Sheet No. 23

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Applies to all WASTEWATER service areas

**STATEMENT OF TERMS AND CONDITIONS FOR WASTEWATER SERVICE**

the written or verbal request of the Company and shall not be replaced. No person shall interfere with, delay, resist or refuse entrance to an authorized Company representative attempting to inspect any facility involved directly or indirectly with a discharge of wastewater to the Treatment Plant. Adequate identification shall be provided by the Company for all inspectors and other authorized personnel and these persons shall identify themselves when entering any property for inspection purposes or when inspecting the work of any contractor.

All transient motor homes, travel trailers and other units containing holding tanks must arrive at the Company's service area in an empty condition. Inspection will be required of said units prior to their being allowed to hookup to the wastewater system.

**D. Termination of Water Service for Violation of Wastewater Rules and Regulations**

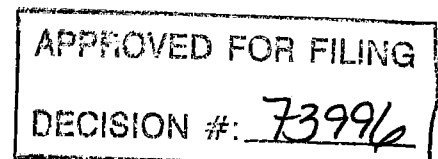
The Company is authorized to discontinue water service to any person connected to both its water and sewer systems who violates the Company's wastewater terms and conditions as set forth in this section or in any way creates a public health hazard or the likelihood of such a public health hazard. This termination authority does not apply to non-payment for water or wastewater services.

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**ORIGINAL**

LIBERTY UTILITIES (RIO RICO WATER & SEWER) CORP.

Sheet No. 24

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Applies to all WASTEWATER service areas  
**STATEMENT OF TERMS AND CONDITIONS FOR WASTEWATER SERVICE**

**II. RULES AND REGULATIONS**

The Company has adopted the Rules and Regulations established by the Commission as the basis for its operating procedures. A.A.C. R14-2-601 through A.A.C. R14-2-609 will be controlling of Company procedures, unless specifically approved tariffs or Commission Order(s) provide otherwise.

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# ORIGINAL

LIBERTY UTILITIES (RIO RICO WATER & SEWER) CORP.

Sheet No. 25

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Applies to all WATER and WASTEWATER service areas  
**ALTERNATE RATES FOR WATER AND WASTEWATER (ARWW)**  
**DOMESTIC SERVICE – SINGLE FAMILY ACCOMMODATION**

**APPLICABILITY**

Applicable to residential water and wastewater service for domestic use rendered to low-income households where the customer meets all the program qualifications and special conditions of this rate schedule.

**TERRITORY**

Within all customer service areas served by the Liberty Utilities (Rio Rico Water & Sewer) Corp. ("Liberty Utilities").

**RATES**

Fifteen percent (15%) discount applied to the regular filed tariff.

**PROGRAM QUALIFICATIONS**

1. The Liberty Utilities bill must be in your name and the address must be your primary residence or you must be a tenant receiving water service by a sub-metered system.
2. You may not be claimed as a dependent on another person's tax return.
3. You must reapply each time you move residences.
4. You must renew your application once every two (2) years, or sooner, if requested.
5. You must recertify each year by submitting a declaration attesting to your continuing eligibility, and provide one of the following items as proof of eligibility: 1) copy of tax return from prior year; or 2) copy of W2 form from prior year; or 3) copy of welfare / food stamp cards.
6. You must notify Liberty Utilities within thirty (30) days if you become ineligible for ARWW.
7. Your total gross annual income of all persons living in your household cannot exceed the income levels below:

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# ORIGINAL

LIBERTY UTILITIES (RIO RICO WATER &amp; SEWER) CORP.

Sheet No. 26

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Applies to all **WATER** and **WASTEWATER** service areas  
**ALTERNATE RATES FOR WATER AND WASTEWATER (ARWW)**  
**DOMESTIC SERVICE – SINGLE FAMILY ACCOMMODATION**

**Effective: January 1, 2013**

<u>No. of Person in Household</u>	<u>Total Gross Annual Income</u>
1	\$11,490
2	15,510
3	19,530
4	23,550
5	27,570
6	31,590

For each additional person residing in the household, add \$4,020

For the purpose of the program the “gross household income” means all money and non cash benefits, available for living expenses, from all sources, both taxable and non taxable, before deductions for all people who live in your home. This includes, but is not limited to:

Wages or salaries	Social Security, SSI, SSP	Rental or royalty income
Interest or dividends from:	Scholarships, grants, or other aid	Profit from self-employment
Savings account, stocks or bonds	used for living expenses	(IRS form Schedule C, Line 29)
Unemployment benefits	Disability payments	Worker's Compensation
TANF (AFDC)	Food Stamps	Child Support
Pensions	Insurance settlements	Spousal Support
Gifts		

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**ORIGINAL**

LIBERTY UTILITIES (RIO RICO WATER & SEWER) CORP.

Sheet No. 27

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Applies to all WATER and WASTEWATER service areas  
**ALTERNATE RATES FOR WATER AND WASTEWATER (ARWW)**  
**DOMESTIC SERVICE – SINGLE FAMILY ACCOMMODATION**

**SPECIAL CONDITIONS**

1. Application: An application on a form authorized by the Commission is required for each request for service under this schedule. A customer must reapply every two (2) years.
2. Recertification: A customer enrolled in the ARWW program must, each year, recertify by submitting a declaration attesting to continuing eligibility, and provide one of the following items as proof of eligibility: 1) copy of tax return from prior year; or 2) copy of W2 form from prior year; or 3) copy of welfare / food stamp cards.
3. Commencement of Rate: Eligible customers whose applications have been approved shall be billed on this schedule commencing with the next regularly scheduled billing period that follows receipt of application by Liberty Utilities.
4. Verification: Information provided by the applicant is subject to verification by Liberty Utilities. Refusal or failure of a customer to provide documentation of eligibility acceptable to Liberty Utilities, upon request by Liberty Utilities, shall result in removal from this rate schedule.
5. Notice from Customer: It is the customer's responsibility to notify Liberty Utilities if there is a change of eligibility status.
6. Rebilling: Customers may be re-billed retroactively for periods of ineligibility under the applicable rate schedule.
7. Master-metered: A reduction will be calculated in the bill of master-metered customers, who have sub-metered tenants that meet the income eligibility criteria, so an equivalent discount (15%) can be passed through to eligible customer(s).
8. Participation Cap: The ARWW program is limited to 2,200 water division customers and 725 wastewater division customers. Applications will be reviewed and approved on a first come, first served basis. Applicants will be placed on a waiting list if the participation cap has been met.

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# ORIGINAL

LIBERTY UTILITIES (RIO RICO WATER &amp; SEWER) CORP.

Sheet No. 28

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**Application for  
Alternate Rates for Water and Wastewater (ARWW)**

To qualify for Liberty Utilities ARWW please check (✓) all that apply:

- ☐ I am a Liberty Utilities residential customer and the Liberty Utilities account is in my name.
- ☐ I am a sub-metered tenant within the Liberty Utilities service area.
- ☐ My household income is at or below the income level in the listing below.

Household Size	Total Gross Annual Income from All Sources
1	\$16,245
2	\$21,855
3	\$27,465
4	\$33,075
5	\$38,685
6	\$44,295

For each additional person residing in the household, add \$5,610.

The definition of "gross household income" (before taxes) is all money and non cash benefits available for living expenses from all sources, both taxable and non taxable, before deductions, including expenses, for all people who live in your home. **This includes, but is not limited to the following (please check (✓) all that apply):**

- |  |  |
|--|--|
| <input type="checkbox"/> Wages, salaries or profit from self-employment                          | <input type="checkbox"/> Social Security, SSI or SSP     |
| <input type="checkbox"/> Disability and/or Workers' Compensation payments                        | <input type="checkbox"/> Food Stamps                     |
| <input type="checkbox"/> Insurance and/or legal settlements                                      | <input type="checkbox"/> TANF (AFDC)                     |
| <input type="checkbox"/> Pensions  | <input type="checkbox"/> Veterans Affairs benefits       |
| <input type="checkbox"/> Spousal and/or child support  | <input type="checkbox"/> Unemployment benefits           |
| <input type="checkbox"/> Scholarships, grants, or other aid used for living                      | <input type="checkbox"/> Rental and/or royalty income    |
| <input type="checkbox"/> Interest/dividends from: savings, stocks, bonds, or retirement accounts | <input type="checkbox"/> Cash, gifts and/or other income |

Please print the following information. **Incomplete information will delay your discount.** The name used to apply for the discount must be the same as the name on the Liberty Utilities statement.

<b>PLEASE PRINT LEGIBLY</b>															
Liberty Utilities Account Number (As shown on statement)															
Total No. of persons living in household:				Household's Total Gross Annual Income: \$				Contact Phone Number							
Name as shown on Liberty Utilities statement															
Liberty Utilities Service Address															
City				State				Zip Code							

Please attach one of the items listed as proof of income for eligibility verification: Copy of tax return from prior year, or copy of W2 from prior year, or copy of welfare / food stamp cards.

By signing below, I certify under penalty of perjury that this information is true and correct under the laws of the State of Arizona. I will provide proof of income and I will notify Liberty Utilities of any changes that affect my eligibility. I understand that if I receive the discount without meeting the qualifications for it, I may be required to pay back the discount I received.

Customer Signature \_\_\_\_\_

Date \_\_\_\_\_

**Note:** An Application for ARWW must be submitted every two years. A Declaration of Eligibility must be submitted annually for verification. Please allow 30-45 days for processing.

Office Use Only: Date Verified \_\_\_\_\_

Verified By \_\_\_\_\_

Expires \_\_\_\_\_

APPROVED FOR FILING

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**ORIGINAL**

LIBERTY UTILITIES (RIO-RICO WATER & SEWER) CORP.

Sheet No. 29

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Cancelling Sheet No. \_\_

**Declaration of Eligibility  
Alternate Rates for Water and Wastewater (ARWW)**

To recertify enrollment in the ARWW Program please fill out the following attesting to continuing eligibility:

<b>PLEASE PRINT LEGIBLY</b>															
Name as shown on Liberty Utilities statement															
Liberty Utilities Account Number (As shown on statement)															
Liberty Utilities Service Address															
City				State				Zip Code							
Contact Phone Number								Work Phone Number							

I,

\_\_\_\_\_  
Your Name (Please Print)

Last submitted an Application for Alternative Rates (ARWW)  
on

\_\_\_\_\_  
(dd/mm/yyyy)

and hereby confirm my eligibility for the year ending

\_\_\_\_\_  
(dd/mm/yyyy)

**Please attach one of the items listed below as proof of income for eligibility verification:**

**Copy of tax return from prior year,  
or copy of W2 form from prior year,  
or copy of welfare / food stamp cards.**

By signing below, I certify under penalty of perjury that this information is true and correct under the laws of the State of Arizona. I will provide proof of income and I will notify Liberty Utilities of any changes that affect my eligibility. I understand that if I receive the discount without meeting the qualifications for it, I may be required to pay back the discount I received.

\_\_\_\_\_  
Customer Signature

\_\_\_\_\_  
Date

**Note:** An Application for ARWW must be submitted every two years. A Declaration of Eligibility must be submitted annually for verification.

**APPROVED FOR FILING**  
**DECISION #:** 73996



## Liberty Utilities Alternate Rates for Water and Wastewater (ARWW)

### Applicability

Applicable to residential water and wastewater service for domestic use rendered to low-income households where the customer meets all the Program Qualifications and Special Conditions of this rate schedule.

### Territory

Within all customer service areas served by Liberty Utilities (Rio Rico Water & Sewer) Corp.

### Discount

Fifteen percent (15%) discount applied to the regular filed tariff. The discount will be applied to the customer's total bill before any adjustments and application of any other taxes, credit, penalties or fees.

### Program Qualifications

- The Liberty Utilities account must be in your name and the address must be your primary residence in our service area or you must be a tenant receiving water service by a sub-metered system.
- You may not be claimed as a dependent on another person's tax return.
- You must reapply each time you move residences.
- You must renew your application once every two (2) years or sooner if requested.
- You must recertify each year by submitting a declaration attesting to your continuing eligibility, and provide one of the following items as proof of eligibility: 1) copy of tax return from prior year; or 2) copy of W2 form from prior year; or 3) copy of welfare/food stamp cards.
- You must notify Liberty Utilities within thirty (30) days if you become ineligible for ARWW.
- Your total gross annual income of all persons living in your household cannot exceed the income levels provided on the application.

### Special Conditions

- You must fill out and sign the ARWW Application completely. Incomplete information will delay your discount. You must reapply every two (2) years.
- You must recertify your enrollment in the ARWW annually by submitting a Declaration of Eligibility and providing one of the following items as proof of eligibility: 1) copy of tax return from prior year; or 2) copy of W2 form from prior year; or 3) copy of welfare/food stamp cards.
- Customers shall be billed on this schedule commencing with the next regularly scheduled billing period that follows the receipt and approval of the application by Liberty Utilities.
- Documentation of your gross annual income must be provided to Liberty Utilities for verification of eligibility for ARWW. Refusal or failure to provide documentation of acceptable eligibility to Liberty Utilities shall result in removal from this rate schedule.
- It is the customer's responsibility to notify Liberty Utilities if there is a change in eligibility status.
- You may be re-billed for any periods of ineligibility under the applicable rate schedule.
- Master-metered customers who have sub-metered tenants will receive a reduction in the billing. Sub-metered tenants must qualify and meet the income eligibility criteria so an equivalent discount (15%) can be passed through to eligible customer(s).
- The ARWW program is limited to 2,200 water division customers and 725 wastewater division customers.

### How to Submit Completed ARWW Application and/or Declaration of Eligibility

Mail, Fax or Email your ARWW Application and Declaration of Eligibility to:

Liberty Utilities (Rio Rico Water & Sewer) Corp.

1060 Yavapai Drive, Suite 9

Rio Rico, AZ 85648

Fax: 520-281-7433

Email: [customerservice@libertywater.com](mailto:customerservice@libertywater.com)

APPROVED FOR FILING  
DECISION #: 73996

**LIBERTY UTILITIES (RIO RICO WATER & SEWER) CORP.**

Sheet No. \_\_\_\_

DOCKET NO. W-02465A-15-0367, et al.

Cancelling Sheet No. \_\_\_\_

Applies to all service areas

**ALTERNATE RATES FOR WATER AND WASTEWATER (ARWW)  
SURCHARGE****APPLICABILITY**

Applicable to all customers of the Company not participating in the Alternate Rates for Water and Wastewater (ARWW) program, through which residential water and wastewater service for domestic use is rendered to low income households where the customer meets all the ARWW program qualifications and special conditions of the ARWW rate schedule.

**TERRITORY**

Within all customer service areas served by the Company.

**RATES****Water Division**

A surcharge of \$0.34 will be applied each month to the bills of non-participating water division customers for recovery of the costs (discounts, direct costs, and carrying charges) associated with the ARWW program. The surcharge is the amount resulting from dividing the total program costs by the number of bills issued to non-participating water division customers in the past 12-month tracking period.

**Wastewater Division**

A surcharge of \$1.01 will be applied each month to the bills of non-participating wastewater division customers for recovery of the costs (discounts, direct costs, and carrying charges) associated with the ARWW program. The surcharge is the amount resulting from dividing the total program costs by the number of bills issued to non-participating wastewater division customers in the past 12-month tracking period.

Issued: January 31, 2018

Effective: February 1, 2018

**ISSUED BY:**

Matthew Garlick, President  
Liberty Utilities (Rio Rico Water & Sewer) Corp.  
12725 W. Indian School Road, Suite D-101  
Avondale, AZ 85392  
Decision No. 75809 (November 21, 2016)

**APPROVED FOR FILING**DECISION #: 16034