ARIZONA CORPORATION COMMISSION
UTILITIES DIVISION

ANNUAL REPORT MAILING LABEL – MAKE CHANGES AS NECESSARY

F

W-02524A
FORT MOHAVE TRIBAL UTILITIES AUTHORITY
8678 HIGHWAY 95
P. O. BOX 5559
MOHAVE VALLEY AZ 86440-

ARIZONA CORPORATION COMMISSION
RECEIVED
MAY 1 6 2002
Director of Utilities

ANNUAL REPORT

FOR YEAR ENDING

12	31	2001

FOR COMMISSION USE

ANN04	01

Processed by:
5-16-02

SCANNED

COMPANY INFORMATION

Company Name (Business Name) FORT MOJAVE TRIBAL UTILITIES AUTHORITY

Mailing Address P.O. BOX 5559
(Street)

Mohave Valley (City) AZ (State) 86440 (Zip)

(928) 346-1909 Telephone No. (Include Area Code) (928) 346-1553 Fax No. (Include Area Code) Pager/Cell No. (Include Area Code)

Email Address omifor@omiinc.com

Local Office Mailing Address SAME
(Street)

(City) (State) (Zip)

Local Office Telephone No. (Include Area Code) Fax No. (Include Area Code) Pager/Cell No. (Include Area Code)

Email Address

MANAGEMENT INFORMATION

Management Contact: DAVID MORTENSEN (Name) DIRECTOR (Title)

P.O. BOX 5559 (Street), Mohave Valley (City) AZ (State) 86440 (Zip)

Telephone No. (Include Area Code) Fax No. (Include Area Code) Pager/Cell No. (Include Area Code)

Email Address dmorten1@omiinc.com

On Site Manager: Same
(Name)

(Street) (City) (State) (Zip)

Telephone No. (Include Area Code) Fax No. (Include Area Code) Pager/Cell No. (Include Area Code)

Email Address

Statutory Agent: _____
(Name)

_____
(Street) (City) (State) (Zip)

_____
Telephone No. (Include Area Code) Fax No. (Include Area Code Pager/Cell No. (Include Area Code)

Attorney: Fredericks, Pelcyger & Hester, LLC
(Name)

1075 S. Boulder Rd., Suite 305, Loisville CO 80027
(Street) (City) (State) (Zip)

(303) 673-9600 (303) 673-9155
Telephone No. (Include Area Code) Fax No. (Include Area Code) Pager/Cell No. (Include Area Code)

OWNERSHIP INFORMATION

Check the following box that applies to your company:

- ☐ **Sole Proprietor (S)**
- ☐ **C Corporation (C) (Other than Association/Co-op)**
- ☐ **Partnership (P)**
- ☐ **Subchapter S Corporation (Z)**
- ☐ **Bankruptcy (B)**
- ☐ **Association/Co op (A)**
- ☐ **Receivership (R)**
- ☐ **Limited Liability Company**
- ☒ **Other (Describe)** COMPONENT UNIT OF TRIBAL GOVERNMENT

COUNTIES SERVED

Check the box below for the county/ies in which you are certificated to provide service:

☐ **APACHE**	☐ **COCHISE**	☐ **COCONINO**
☐ **GILA**	☐ **GRAHAM**	☐ **GREENLEE**
☐ **LA PAZ**	☐ **MARICOPA**	☒ **MOHAVE**
☐ **NAVAJO**	☐ **PIMA**	☐ **PINAL**
☐ **SANTA CRUZ**	☐ **YAVAPAI**	☐ **YUMA**
☐ **STATEWIDE**		

COMPANY NAME FORT MOJAVE TRIBAL UTILITIES AUTHORITY

UTILITY PLANT IN SERVICE

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
301	Organization	4,779	—	4,779
302	Franchises	—	—	—
303	Land and Land Rights	2,616	—	2,616
304	Structures and Improvements	9,770	5,864	3,906
307	Wells and Springs	5,948	3,269	2,679
311	Pumping Equipment	20,175	12,126	8,049
320	Water Treatment Equipment	437	264	173
330	Distribution Reservoirs and Standpipes	10,717	6,432	4,285
331	Transmission and Distribution Mains	271,281	162,768	108,513
333	Services	15,359	9,216	6,143
334	Meters and Meter Installations	28,355	17,014	11,341
335	Hydrants	1,477	888	589
336	Backflow Prevention Devices	—	—	—
339	Other Plant and Misc. Equipment	—	—	—
340	Office Furniture and Equipment	23,529	23,529	—
341	Transportation Equipment	46,963	46,963	—
343	Tools, Shop and Garage Equipment	10,894	10,894	—
344	Laboratory Equipment	600	600	—
345	Power Operated Equipment	23,000	23,000	—
346	Communication Equipment	3,023	3,023	—
347	Miscellaneous Equipment	1,899	1,899	—
348	Other Tangible Plant	—	—	—
	TOTALS	480,822	327,749	153,073

This amount goes on the Balance Sheet Acct. No. 108

COMPANY NAME FORT MOJAVE TRIBAL UTILITIES AUTHORITY

CALCULATION OF DEPRECIATION EXPENSE FOR CURRENT YEAR

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1x2)
301	Organization	4,779	—	4,779
302	Franchises	—	—	—
303	Land and Land Rights	2,616	—	—
304	Structures and Improvements	9,770	5%	489
307	Wells and Springs	5,948	5%	297
311	Pumping Equipment	20,175	5%	1009
320	Water Treatment Equipment	437	5%	22
330	Distribution Reservoirs and Standpipes	10,717	5%	536
331	Transmission and Distribution Mains	271,281	5%	13,564
333	Services	15,359	5%	768
334	Meters and Meter Installations	28,355	5%	1,418
335	Hydrants	1,477	5%	74
336	Backflow Prevention Devices	—	—	—
339	Other Plant and Misc. Equipment	—	—	—
340	Office Furniture and Equipment	23,529	20%	—
341	Transportation Equipment	46,963	20%	—
343	Tools, Shop and Garage Equipment	10,894	20%	—
344	Laboratory Equipment	600	20%	—
345	Power Operated Equipment	23,000	20%	—
346	Communication Equipment	3,023	20%	—
347	Miscellaneous Equipment	1,899	20%	—
348	Other Tangible Plant	—	—	—
	TOTALS	480,822		18,177

This amount goes on Comparative Statement of Income and Expense Acct. No. 403.

COMPANY NAME FORT MOJAVE TRIBAL UTILITIES AUTHORITY

BALANCE SHEET

Acct. No.	ASSETS	BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	CURRENT AND ACCRUED ASSETS		
131	Cash	$ 82,731	$
134	Working Funds	–	
135	Temporary Cash Investments	–	
141	Customer Accounts Receivable	36,852	
146	Notes/Receivables from Associated Companies	–	
151	Plant Material and Supplies	296	
162	Prepayments	–	
174	Miscellaneous Current and Accrued Assets	–	
	TOTAL CURRENT AND ACCRUED ASSETS	$ 119,879	$
	FIXED ASSETS		
101	Utility Plant in Service	$ 480,822	$ 480,822
103	Property Held for Future Use		
105	Construction Work in Progress		
108	Accumulated Depreciation – Utility Plant	(309,572)	(327,749)
121	Non-Utility Property		
122	Accumulated Depreciation – Non Utility		
	TOTAL FIXED ASSETS	$ 171,250	$ 153,073
	TOTAL ASSETS	$ 291,129	$

NOTE: The Assets on this page should be equal to **Total Liabilities and Capital** on the following page.

COMPANY NAME

BALANCE SHEET (CONTINUED)

Acct. No.	LIABILITIES	BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	CURRENT LIABILITES		
231	Accounts Payable	$	$
232	Notes Payable (Current Portion)		
234	Notes/Accounts Payable to Associated Companies		
235	Customer Deposits		
236	Accrued Taxes		
237	Accrued Interest		
241	Miscellaneous Current and Accrued Liabilities		
	TOTAL CURRENT LIABILITIES	$	$
	LONG-TERM DEBT (Over 12 Months)		
224	Long-Term Notes and Bonds	$	$
	DEFERRED CREDITS		
251	Unamortized Premium on Debt	$	$
252	Advances in Aid of Construction		
255	Accumulated Deferred Investment Tax Credits		
271	Contributions in Aid of Construction		
272	Less: Amortization of Contributions		
281	Accumulated Deferred Income Tax		
	TOTAL DEFERRED CREDITS	$	$
	TOTAL LIABILITIES	$	$
	CAPITAL ACCOUNTS		
201	Common Stock Issued	$	$
211	Paid in Capital in Excess of Par Value		
215	Retained Earnings		
218	Proprietary Capital (Sole Props and Partnerships)		
	TOTAL CAPITAL	$	$
	TOTAL LIABILITIES AND CAPITAL	**$**	**$**

COMPANY NAME

COMPARATIVE STATEMENT OF INCOME AND EXPENSE

Acct. No.	OPERATING REVENUES	PRIOR YEAR	CURRENT YEAR
461	Metered Water Revenue	$ 246,895	$ 236,902
460	Unmetered Water Revenue		
474	Other Water Revenues		
	TOTAL REVENUES	$ 246,895	$ 236,902
	OPERATING EXPENSES		
601	Salaries and Wages	$ 108,540	$ 126,419
610	Purchased Water	37,483	32,940
615	Purchased Power		
618	Chemicals		
620	Repairs and Maintenance		
621	Office Supplies and Expense		
630	Outside Services		
635	Water Testing		
641	Rents		
650	Transportation Expenses		
657	Insurance – General Liability		
659	Insurance - Health and Life		
666	Regulatory Commission Expense – Rate Case		
675	Miscellaneous Expense	56,373	74,722
403	Depreciation Expense	18,177	18,177
408	Taxes Other Than Income	15,175	8,502
408.11	Property Taxes	6,700	2,861
409	Income Tax		
	TOTAL OPERATING EXPENSES	$ 242,448	$ 263,621
	OTHER INCOME/EXPENSE		
419	Interest and Dividend Income	$	$
421	Non-Utility Income		
426	Miscellaneous Non-Utility Expenses		
427	Interest Expense		
	TOTAL OTHER INCOME/EXP	$	$
	NET INCOME/(LOSS)	$ 4,447	$ (26,719)

COMPANY NAME

SUPPLEMENTAL FINANCIAL DATA
Long-Term Debt

	LOAN #1	LOAN #2	LOAN #3	LOAN #4
Date Issued				
Source of Loan				
ACC Decision No.				
Reason for Loan				
Dollar Amount Issued	$	$	$	$
Amount Outstanding	$	$	$	$
Date of Maturity				
Interest Rate				
Current Year Interest	$	$	$	$
Current Year Principle	$	$	$	$

Meter Deposit Balance at Test Year End $ _____

Meter Deposits Refunded During the Test Year $ _____

COMPANY NAME	FORT MOJAVE TRIBAL UTILITIES AUTHORITY

WATER COMPANY PLANT DESCRIPTION

WELLS

ADWR ID Number	Pump Horsepower	Pump Yield (Gpm)	Casing Size (inches)	Meter Size (inches)
B(17-22) 15 ABB	15	150	16	x

OTHER WATER SOURCES

Name or Description	Capacity (gpm)	Gallons Purchased or Obtained (in thousands)

TOTAL GALLONS PUMPED (NOT SOLD) THIS YEAR (thous.) = 6.61 Million * Serves both on & off Reservation

BOOSTER PUMPS		FIRE HYDRANTS	
Horsepower	Quantity	Quantity Standard	Quantity Other
		20	

STORAGE TANKS		PRESSURE TANKS	
Capacity	Quantity	Capacity	Quantity
		1500 gallon	1

STATISTICAL INFORMATION

Total number of customers 643

Total number of gallons sold 5.54 Million gallons

COMPANY NAME FORT MOJAVE TRIBAL UTILITIES AUTHORITY **YEAR ENDING 12/31/2001**

INCOME TAXES

For this reporting period, provide the following:

Federal Taxable Income Reported	NONE
Estimated or Actual Federal Tax Liability	NONE
State Taxable Income Reported	NONE
Estimated or Actual State Tax Liability	NONE

Amount of Grossed-Up Contributions/Advances:

Amount of Contributions/Advances	NONE
Amount of Gross-Up Tax Collected	NONE
Total Grossed-Up Contributions/Advances	NONE

Decision No. 55774 states, in part, that the utility will refund any excess gross-up funds collected at the close of the tax year when tax returns are completed. Pursuant to this Decision, if gross-up tax refunds are due to any Payer or if any gross-up tax refunds have already been made, attach the following information by Payer: name and amount of contribution/advance, the amount of gross-up tax collected, the amount of refund due to each Payer, and the date the Utility expects to make or has made the refund to the Payer.

CERTIFICATION

The undersigned hereby certifies that the Utility has refunded to Payers all gross-up tax refunds reported in the prior year's annual report. This certification is to be signed by the President or Chief Executive Officer, if a corporation; the managing general partner, if a partnership; the managing member, if a limited liability company or the sole proprietor, if a sole proprietorship.

SIGNATURE	5/14/02 **DATE**
DAVID MORTENSEN **PRINTED NAME**	DIRECTOR **TITLE**

COMPANY NAME Fort Mojave Tribal Utilities Authority YEAR ENDING 12/31/2001

WATER AND SEWER UTILITIES ONLY

PROPERTY TAXES

Indicate the amount of actual property taxes paid during this reporting period (Calendar Year 2001)

$ 2,861

Attach to this annual report proof (e.g. property tax bills stamped "paid in full" or copies of cancelled Checks for property tax payments) of any and all property taxes paid during the calendar year.

If no property taxes paid, explain reasons below:

VERIFICATION
AND
SWORN STATEMENT
Intrastate Revenues Only

ARIZONA CORPORATION COMMISSION
RECEIVED
MAY 16 2002

VERIFICATION

STATE OF _____

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME)	MOHAVE
NAME (OWNER OR OFFICIAL) TITLE	DAVID MORTENSEN
COMPANY NAME	FORT MOJAVE TRIBAL UTILITIES AUTHORITY

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA COPRORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2001

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2001 WAS:

Arizona IntraState Gross Operating Revenues Only ($)
$ 236,902

(THE AMOUNT IN BOX ABOVE INCLUDES $ 8,502 IN SALES TAXES BILLED, OR COLLECTED

****REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED OR COLLECTED. IF FOR ANY OTHER REASON, THE REVENUE REPORTED ABOVE DOES NOT AGREE WITH TOTAL OPERATING REVENUES ELSEWHERE REPORTED , ATTACH THOSE STATEMENTS THAT RECONCILE THE DIFFERENCE. (EXPLAIN IN DETAIL)**

SIGNATURE OF OWNER OR OFFICIAL

928-346-1909
TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

COUNTY NAME	ARAPAHOE	
MONTH	MAY	2002

THIS 15th **DAY OF** MAY

(SEAL)

Eric D. Smith
SIGNATURE OF NOTARY PUBLIC

MY COMMISSION EXPIRES _____

ERIC D. SMITH NOTARY PUBLIC STATE OF COLORADO

VERIFICATION
AND
SWORN STATEMENT
RESIDENTIAL REVENUE
INTRASTATE REVENUES ONLY

ARIZONA CORPORATION COMMISSION
RECEIVED
MAY 1 6 2002

VERIFICATION

STATE OF ARIZONA

I, THE UNDERSIGNED

OF THE

(COUNTY NAME)	MOJAVE		
NAME (OWNER OR OFFICIAL)	DAVID MORTENSEN	TITLE	DIRECTOR
COMPANY NAME	FORT MOJAVE TRIBAL UTILITIES AUTHORITY		

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2001

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2001 WAS:

ARIZONA INTRASTATE GROSS OPERATING REVENUES
$ 214,618

(THE AMOUNT IN BOX AT LEFT INCLUDES $ 7,512 IN SALES TAXES BILLED, OR COLLECTED

***RESIDENTIAL REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED.**

X _____
SIGNATURE OF OWNER OR OFFICIAL

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS _____ **DAY OF**

NOTARY PUBLIC NAME	ERIC D. SMITH	
COUNTY NAME	ARAPAHOE	
MONTH	MAY	2002

ERIC D. SMITH NOTARY PUBLIC STATE OF COLORADO

(SEAL)

MY COMMISSION EXPIRES:

X _____
SIGNATURE OF NOTARY PUBLIC

868

FT. MOJAVE TRIBAL UTILITIES AUTHORITY
8490 SOUTH HIGHWAY 95, STE 102
MOHAVE VALLEY, AZ 86440

1st NATIONAL BANK OF NEVADA
Casino Branch • 1775 Casino Dr. • Laughlin, NV 89029

94-172-1224

DATE 09-OCT-01

PAY *******TWO THOUSAND SIX HUNDRED NINETY-FOUR & 77/100********** DOLLARS $**2,694.77**

TO THE ORDER OF
MOHAVE COUNTY TREASURER
DORA E GOODMILLER

Not NEGOTIABLE

⑈000868⑈ ⑆122401723⑆ 001⑈306049⑈

FT. MOJAVE TRIBAL UTILITIES AUTHORITY

DELUXE BUSINESS FORMS 1+800-328-0304 www.deluxeforms.com
DELUXE - FORM WVCB-2 V-2

DATE	DESCRIPTION	AMOUNT
10/09/01	FIRST HALF PAYMENT OF JAN 01 TO DEC 31, 2001 PROPERTY TAX	$2,694.77

V-2