

ARIZONA CORPORATION COMMISSION
UTILITIES DIVISION

ANNUAL REPORT MAILING LABEL – MAKE CHANGES AS NECESSARY

W-01671A

Sherman Pines Homeowners Assoc., Inc.
1203 E. Pine Ridge Dr.
Prescott, AZ 86303

RECEIVED

FEB 12 2015

AZ CORP COMM
Director - Utilities

Please click here if pre-printed Company name on this form is not your current Company name or dba name is not included.

Please list current Company name including dba here:

ANNUAL REPORT

Water

FOR YEAR ENDING

12	31	2014
----	----	------

FOR COMMISSION USE

ANN 04	14
--------	----

2-17-15

COMPANY INFORMATION

Company Name (Business Name) Sherman Pines Homeowners Assoc. Inc.

Mailing Address 1203 E Pine Ridge Dr

(Street)

Prescott

(City)

AZ

(State)

86303

(Zip)

(928) 778-5654 (president& treasurer)

Telephone No. (Include Area Code)

none

Fax No. (Include Area Code)

(928) 925-0807

Cell No. (Include Area Code)

Email Address (treasurer) genealogy@cableone.net

Local Office Mailing Address same

(Street)

(City)

(State)

(Zip)

Local Customer Service Phone No. (Include Area Code)

(1-800 or other long distance Customer Service Phone No.)

Email Address _____ Website address none _____

MANAGEMENT INFORMATION

Regulatory Contact:

Management Contact: Terry Hill, President

(Name)

(Title)

1280 E Pine Ridge Dr, Prescott AZ 86303

(Street)

(City)

(State)

(Zip)

(928) 778-5654

Telephone No. (Include Area Code)

non

Fax No. (Include Area Code)

(928) 848-9443

Cell No. (Include Area Code)

Email Address bunkerhill@cableone.net

On Site Manager: None—emergencies handled by 5 resident board members

(Name)

(Street)

(City)

(State)

(Zip)

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Cell No. (Include Area Code)

Email Address _____

Statutory Agent: L Richard Mabery

(Name)

234 N Montezuma St, Prescott AZ 86301

(Street)

(City)

(State)

(Zip)

(928) 778-1116

Telephone No. (Include Area Code)

unkn

Fax No. (Include Area Code)

unkn

Cell No. (Include Area Code)

Attorney: see above

(Name)

(Street)

(City)

(State)

(Zip)

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Cell No. (Include Area Code)

Email Address unkn

OWNERSHIP INFORMATION

Check the following box that applies to your company:

Sole Proprietor (S)

C Corporation (C) (Other than Association/Co-op)

Partnership (P)

Subchapter S Corporation (Z)

Bankruptcy (B)

Association/Co-op (A)

Receivership (R)

Limited Liability Company

Other (Describe) We are a corporation formed only to administer our member-owned water company.

COUNTIES SERVED

Check the box below for the county/ies in which you are certificated to provide service:

APACHE

COCHISE

COCONINO

GILA

GRAHAM

GREENLEE

LA PAZ

MARICOPA

MOHAVE

NAVAJO

PIMA

PINAL

SANTA CRUZ

YAVAPAI

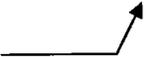
YUMA

STATEWIDE

COMPANY NAME: Sherman Pines Homeowners Assoc. Inc.

UTILITY PLANT IN SERVICE

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
301	Organization	Unkn		
302	Franchises	Unkn		
303	Land and Land Rights	Unkn		
304	Structures and Improvements	Unkn		
307	Wells and Springs	Unkn		
311	Pumping Equipment	Unkn		
320	Water Treatment Equipment	Unkn		
320.1	Water Treatment Plants	0		
320.2	Solution Chemical Feeders	0		
330	Distribution Reservoirs and Standpipes	0		
330.1	Storage Tanks	Unkn		
330.2	Pressure Tanks	Unkn		
331	Transmission and Distribution Mains	Unkn		
333	Services	Unkn		
334	Meters and Meter Installations	Unkn		
335	Hydrants	0		
336	Backflow Prevention Devices	Unkn		
339	Other Plant and Misc. Equipment	Unkn		
340	Office Furniture and Equipment	0		
340.1	Computers & Software	0		
341	Transportation Equipment	0		
343	Tools, Shop and Garage Equipment	0		
344	Laboratory Equipment	0		
345	Power Operated Equipment	0		
346	Communication Equipment	0		
347	Miscellaneous Equipment	0		
348	Other Tangible Plant	0		
	TOTALS	Unknown/unobtainable/acquired via court order		

This amount goes on the Balance Sheet Acct. No. 108 

COMPANY NAME: Sherman Pines Homeowners Assoc. Inc.

WATER UTILITY CALCULATION OF DEPRECIATION EXPENSE FOR CURRENT YEAR

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1 x 2)
301	Organization			
302	Franchises			
303	Land and Land Rights			
304	Structures and Improvements			
307	Wells and Springs			
311	Pumping Equipment			
320	Water Treatment Equipment			
320.1	Water Treatment Plants			
320.2	Solution Chemical Feeders			
330	Distribution Reservoirs and Standpipes			
330.1	Storage Tanks			
330.2	Pressure Tanks			
331	Transmission and Distribution Mains			
333	Services			
334	Meters and Meter Installations			
335	Hydrants			
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment			
340	Office Furniture and Equipment			
340.1	Computers & Software			
341	Transportation Equipment			
343	Tools, Shop and Garage Equipment			
344	Laboratory Equipment			
345	Power Operated Equipment			
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	SUBTOTAL			
	LESS CIAC Amortization			
	TOTALS *	See above		

*This amount goes on the Comparative Statement of Income and Expense Acct. No. 403

WATER UTILITY BALANCE SHEET

See enclosed cash flow statement for most recent fiscal year.

Acct No.	ASSETS	BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	CURRENT AND ACCRUED ASSETS		
131	Cash	\$	\$
134	Working Funds		
135	Temporary Cash Investments		
141	Customer Accounts Receivable		
146	Notes/Receivables from Associated Companies		
151	Plant Material and Supplies		
162	Prepayments		
174	Miscellaneous Current and Accrued Assets		
	TOTAL CURRENT AND ACCRUED ASSETS	\$	\$
	FIXED ASSETS		
101	Utility Plant in Service	\$	\$
103	Property Held for Future Use		
105	Construction Work in Progress		
108	Accumulated Depreciation – Utility Plant		
121	Non-Utility Property		
122	Accumulated Depreciation – Non Utility		
	TOTAL FIXED ASSETS	\$	\$
	TOTAL ASSETS	\$	\$

NOTE: The Assets on this page should be equal to **Total Liabilities and Capital** on the following page.

WATER UTILITY BALANCE SHEET (CONTINUED)

Acct. No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	LIABILITIES		
	CURRENT LIABILITES		
231	Accounts Payable	\$	\$
232	Notes Payable (Current Portion)		
234	Notes/Accounts Payable to Associated Companies		
235	Customer Deposits		
236	Accrued Taxes		
237	Accrued Interest		
241	Miscellaneous Current and Accrued Liabilities		
	TOTAL CURRENT LIABILITIES	\$	\$
	LONG-TERM DEBT (Over 12 Months)		
224	Long-Term Notes and Bonds	\$	\$
	DEFERRED CREDITS		
251	Unamortized Premium on Debt	\$	\$
252	Advances in Aid of Construction		
255	Accumulated Deferred Investment Tax Credits		
271	Contributions in Aid of Construction		
272	Less: Amortization of Contributions		
281	Accumulated Deferred Income Tax		
	TOTAL DEFERRED CREDITS	\$	\$
	TOTAL LIABILITIES	\$	\$
	CAPITAL ACCOUNTS		
201	Common Stock Issued	\$	\$
211	Paid in Capital in Excess of Par Value		
215	Retained Earnings		
218	Proprietary Capital (Sole Props and Partnerships)		
	TOTAL CAPITAL	\$	\$
	TOTAL LIABILITIES AND CAPITAL	\$	\$

COMPANY NAME: Sherman Pines Homeowners Assoc. Inc.

WATER UTILITY COMPARATIVE STATEMENT OF INCOME AND EXPENSE

See most recent fiscal year cash flow statement enclosed.

Acct. No.	OPERATING REVENUES	PRIOR YEAR	CURRENT YEAR
461	Metered Water Revenue	\$	\$
460	Unmetered Water Revenue		
474	Other Water Revenues		
	TOTAL REVENUES	\$	\$
	OPERATING EXPENSES		
601	Salaries and Wages	\$	\$
610	Purchased Water		
615	Purchased Power		
618	Chemicals		
620	Repairs and Maintenance		
621	Office Supplies and Expense		
630	Outside Services		
635	Water Testing		
641	Rents		
650	Transportation Expenses		
657	Insurance – General Liability		
659	Insurance - Health and Life		
666	Regulatory Commission Expense – Rate Case		
675	Miscellaneous Expense		
403	Depreciation Expense		
408	Taxes Other Than Income		
408.11	Property Taxes		
409	Income Tax		
	TOTAL OPERATING EXPENSES	\$	\$
	OPERATING INCOME/(LOSS)	\$	\$
	OTHER INCOME/(EXPENSE)		
419	Interest and Dividend Income	\$	\$
421	Non-Utility Income		
426	Miscellaneous Non-Utility Expenses		
427	Interest Expense		
	TOTAL OTHER INCOME/(EXPENSE)	\$	\$
	NET INCOME/(LOSS)	\$	\$

COMPANY NAME: Sherman Pines Homeowners Assoc. Inc.

Name of System: Sherman Pines HOA Inc. **ADEQ Public Water System Number:** AZ04-13049

WATER UTILITY PLANT DESCRIPTION

WELLS

ADWR ID Number*	Pump Horsepower	Pump Yield (gpm)	Casing Depth (Feet)	Casing Diameter (Inches)	Meter Size (inches)	Year Drilled
55-592229	1	Unkn	Abt 480'	Unkn	5/8" x 3/4"	2002
55-624864	1/2	Unkn	Unkn	Unkn	5/8" x 3/4"	1960's?
55-624866	Not in service during entire 2014 year					

* Arizona Department of Water Resources Identification Number

OTHER WATER SOURCES

Name or Description	Capacity (gpm)	Gallons Purchased or Obtained (in thousands)
none		

BOOSTER PUMPS		FIRE HYDRANTS	
Horsepower	Quantity	Quantity Standard	Quantity Other
1.5	2	none	none

STORAGE TANKS		PRESSURE TANKS	
Capacity	Quantity	Capacity	Quantity
10,000 gal	1	2000 gal	1

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME: Sherman Pines Homeowners Assoc. Inc.
Name of System: Sherman Pines HOA **ADEQ Public Water System Number:** AZ04-13049

WATER UTILITY PLANT DESCRIPTION (CONTINUED)

MAINS: Unknown/unobtainable

Size (in inches)	Material	Length (in feet)
2		
3		
4		
5		
6		
8		
10		
12		

CUSTOMER METERS

Size (in inches)	Quantity
5/8 X 3/4	44
3/4	
1	
1 1/2	
2	
Comp. 3	
Turbo 3	
Comp. 4	
Turbo 4	
Comp. 6	
Turbo 6	

For the following three items, list the utility owned assets in each category for each system.

TREATMENT EQUIPMENT:

Chlorinator _____

STRUCTURES: One small building that houses all our equipment and supplies.

OTHER:

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME: Sherman Pines Homeowners Assoc. Inc.

Name of System: Sherman Pines HOA ADEQ Public Water System Number: AZ04-13049

WATER USE DATA SHEET BY MONTH FOR CALENDAR YEAR 2014

MONTH	NUMBER OF CUSTOMERS	GALLONS SOLD (Thousands)	GALLONS PUMPED (Thousands)	GALLONS PURCHASED (Thousands)
JANUARY	44	64.93	70.31	
FEBRUARY	44	48.10	51.07	
MARCH	44	58.68	62.37	
APRIL	44	58.49	59.74	
MAY	44	79.49	81.29	
JUNE	44	75.48	77.83	
JULY	44	89.37	90.17	
AUGUST	44	82.22	80.06	
SEPTEMBER	44	63.90	67.35	
OCTOBER	44	67.46	68.64	
NOVEMBER	44	62.93	70.32	
DECEMBER	44	55.99	63.40	
TOTALS →		807.04	842.55	0

What is the level of arsenic for each well on your system? 0.010 mcl mg/l
(If more than one well, please list each separately.)

If system has fire hydrants, what is the fire flow requirement? ___ GPM for ___ hrs

If system has chlorination treatment, does this treatment system chlorinate continuously?
 Yes No

Is the Water Utility located in an ADWR Active Management Area (AMA)?
 Yes No

Does the Company have an ADWR Gallons Per Capita Per Day (GPCPD) requirement?
 Yes No

If yes, provide the GPCPD amount: _____

Note: If you are filing for more than one system, please provide separate data sheets for each system.

COMPANY NAME: Sherman Pines Homeowners Assoc. Inc.
Name of System: Sherman Pines HOA **ADEQ Public Water System Number:** AZ04-13049

UTILITY SHUTOFFS / DISCONNECTS

MONTH	Termination without Notice R14-2-410.B	Termination with Notice R14-2-410.C	OTHER
JANUARY			
FEBRUARY			
MARCH			
APRIL			
MAY			
JUNE			
JULY			
AUGUST			
SEPTEMBER			
OCTOBER			
NOVEMBER			
DECEMBER			
TOTALS →	0	0	0

OTHER (description):

COMPANY NAME: Sherman Pines Homeowners Assoc. Inc. YEAR ENDING 12/31/2014

PROPERTY TAXES

Amount of actual property taxes paid during Calendar Year 2014 was: \$ **34.20** _____

Attach to this annual report proof (e.g. property tax bills stamped "paid in full" or copies of cancelled checks for property tax payments) of any and all property taxes paid during the calendar year.

If no property taxes paid, explain why. _____

VERIFICATION
AND
SWORN STATEMENT
Taxes

RECEIVED

FEB 12 2015

AZ CORP COMM
Director - Utilities

VERIFICATION

STATE OF Arizona
I, THE UNDERSIGNED
OF THE

COUNTY OF (COUNTY NAME)	<u>Yavapai</u>
NAME (OWNER OR OFFICIAL) TITLE	<u>Linda Hill, Treasurer</u>
COMPANY NAME	<u>Sherman Pines Homeowners Assoc. Inc.</u>

DO SAY THAT THIS ANNUAL UTILITY PROPERTY TAX AND SALES TAX REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
<u>12</u>	<u>31</u>	<u>2014</u>

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

I HEREBY ATTEST THAT ALL PROPERTY TAXES FOR SAID COMPANY ARE CURRENT AND PAID IN FULL.

I HEREBY ATTEST THAT ALL SALES TAXES FOR SAID COMPANY ARE CURRENT AND PAID IN FULL.

Linda Hill

SIGNATURE OF OWNER OR OFFICIAL

(928) 778-5654

TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS

5

DAY OF

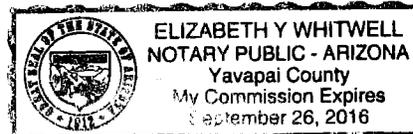
COUNTY NAME	<u>Yavapai</u>	
MONTH	<u>February</u>	<u>2015</u>

(SEAL)

Elizabeth Whitwell

SIGNATURE OF NOTARY PUBLIC

MY COMMISSION EXPIRES Sept. 26 2016



**VERIFICATION
AND
SWORN STATEMENT
Intrastate Revenues Only**

RECEIVED

VERIFICATION

STATE OF Arizona

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME) Yavapai
NAME (OWNER OR OFFICIAL) TITLE Linda Hill, Treasurer
COMPANY NAME Sherman Pines Homeowners Assoc. Inc.

FEB 12 2015

AZ CORP COMM
Director - Utilities

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2014

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2014 WAS:

Arizona Intrastate Gross Operating Revenues Only (\$)
\$ <u>21,404.78</u>

(THE AMOUNT IN BOX ABOVE
INCLUDES \$ 1260.81
IN SALES TAXES BILLED, OR COLLECTED)

****REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED OR COLLECTED. IF FOR ANY OTHER REASON, THE REVENUE REPORTED ABOVE DOES NOT AGREE WITH TOTAL OPERATING REVENUES ELSEWHERE REPORTED, ATTACH THOSE STATEMENTS THAT RECONCILE THE DIFFERENCE. (EXPLAIN IN DETAIL)**

Linda Hill
SIGNATURE OF OWNER OR OFFICIAL
(928) 778-5654
TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS 4th 5 DAY OF

COUNTY NAME	<u>Yavapai</u>
MONTH	<u>February</u> , <u>2015</u>

(SEAL)

MY COMMISSION EXPIRES Sept. 26 2016

Elizabeth Y Whitwell
SIGNATURE OF NOTARY PUBLIC
ELIZABETH Y WHITWELL
NOTARY PUBLIC - ARIZONA
Yavapai County
My Commission Expires
September 26, 2016

**VERIFICATION
AND
SWORN STATEMENT
RESIDENTIAL REVENUE
Intrastate Revenues Only**

RECEIVED
FEB 12 2015
AZ CORP COMM
Director - Utilities

VERIFICATION

STATE OF ARIZONA

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME) Yavapai	
NAME (OWNER OR OFFICIAL) Linda Hill	TITLE Treasurer
COMPANY NAME Sherman Pines Homeowners Assoc. Inc.	

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2014

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2014 WAS:

ARIZONA INTRASTATE GROSS OPERATING REVENUES
\$ <u>21,404.78</u>

**THE AMOUNT IN BOX AT LEFT
INCLUDES \$ 1260.81
IN SALES TAXES BILLED, OR COLLECTED)**

***RESIDENTIAL REVENUE REPORTED ON THIS PAGE
MUST INCLUDE SALES TAXES BILLED.**



SIGNATURE OF OWNER OR OFFICIAL

(928) 778-5654

TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS

6

DAY OF

Elizabeth Y. Whitwell

NOTARY PUBLIC NAME Yavapai	
COUNTY NAME Yavapai	
MONTH February	. 2015

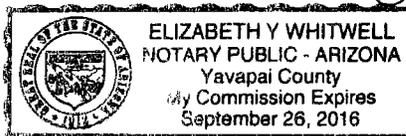
(SEAL)

MY COMMISSION EXPIRES

Sept. 26 2016



SIGNATURE OF NOTARY PUBLIC



Sherman Pines Homeowners Association
Water Company
An Arizona Nonprofit Corporation

CASH FLOW STATEMENT
For fiscal year Sept. 1, 2013 through Aug. 31, 2014

SPHA Water Co. Acct. #011007333 opening balance 9/1/13			\$25,351.65
Petty Cash			.61
Beginning cash available			\$ 25,352.26
Income: Water usage billing receipts	Sep	1335.96	
	Oct	2755.48	
	Nov	1538.51	
	Dec	1801.76	
	Jan	1844.20	
	Feb	1997.63	
	Mar	1751.27	
	Apr	1474.40	
	May	1501.78	
	Jun	1978.72	
	Jul	1839.74	
	Aug	1885.94	
Total income received from monthly billing:			21,705.39
Security deposits:			
Received		150.00	
Returned		0	
Net income from deposits			150.00
Total income			<u>21,855.39</u>
Total cash available:			47,207.65
Recurring Expenses:			
ADEQ for annual water quality test (MAP)		357.94	
Billing fees		2573.32	
Blue Stake		25.00	
Bookkeeping		1200.00	
Equipment Maintenance/Repairs		1330.00	
Liability Insurance		1925.00	
Meter readings		300.00	
Quality tests-Yavapai Water Sampling		4016.00	
Supplies (Chemicals & Filters)		271.65	
Supplies (Office, incl. postage)		70.41	
Taxes:			
State of Arizona water usage taxes	1284.23		
Yavapai County property taxes	34.20	1318.43	
Utilities:			
APS-electricity		970.00	
Total recurring expenses:			<u>14,357.75</u>

Non-recurring expenses:		0	
Less total expenses:			<u>14357.75</u>
Net cash available after expenses for fiscal year 2013-2014:			\$ 32,849.90
SPHA Water Co. Acct. #011007333 balance as of 8/31/14:			\$32,849.29
Petty Cash			<u>.61</u>
Net cash balance			\$ 32,849.90