

ARIZONA CORPORATION COMMISSION
UTILITIES DIVISION

ANNUAL REPORT MAILING LABEL - MAKE CHANGES AS NECESSARY

WS-04245A

Red Rock Utilities, LLC
2200 E. River Road, Ste 115
Tucson, AZ 85718

RECEIVED

MAR 14 2014

AZ CORP COMM
Director - Utilities

ANNUAL REPORT
Water & Sewer

FOR YEAR ENDING

12	31	2013
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FOR COMMISSION USE

ANN 04-05	13
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3-19-14

COMPANY INFORMATION

Company Name (Business Name) Red Rock Utilities, LLC

Mailing Address 2200 E. River Road, Ste 115

(Street)

Tucson

(City)

AZ

(State)

85718

(Zip)

520-577-0200

Telephone No. (Include Area Code)

520-299-5602

Fax No. (Include Area Code)

Cell No. (Include Area Code)

Email Address mweinberg@diamondden.com

Local Office Mailing Address 2200 E. River Road, Ste 115

(Street)

Tucson

(City)

AZ

(State)

85718

(Zip)

520-577-0200

Local Office Telephone No. (Include Area Code)

520-299-5602

Fax No. (Include Area Code)

Cell No. (Include Area Code)

Email Address _____

MANAGEMENT INFORMATION

Regulatory Contact:

Management Contact: Mark Weingberg General Manager

(Name)

(Title)

2200 E. River Road, Ste 115

(Street)

Tucson

(City)

AZ

(State)

85718

(Zip)

520-577-0200

Telephone No. (Include Area Code)

520-299-5602

Fax No. (Include Area Code)

Cell No. (Include Area Code)

Email Address mweinberg@diamondden.com

On Site Manager: Chris Hill

(Name)

2200 E. River Road, Ste 115

(Street)

Tucson

(City)

AZ

(State)

85718

(Zip)

520-904-0741

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Cell No. (Include Area Code)

Email Address cwh2serv@msn.com

Statutory Agent: Chad Kolodisner
(Name)

2200 E. River Road, Ste 115 Tucson AZ 85718
(Street) (City) (State) (Zip)

520-577-0200 520-299-5602
Telephone No. (Include Area Code) Fax No. (Include Area Code) Cell No. (Include Area Code)

Attorney: Lawrence Robertson Jr.
(Name)

2247 E. Frontage Rd (PO BOX 1448) Tubac AZ 85646
(Street) (City) (State) (Zip)

520-398-0411
Telephone No. (Include Area Code) Fax No. (Include Area Code) Cell No. (Include Area Code)

Email Address tubaclawyer@aol.com

OWNERSHIP INFORMATION

Check the following box that applies to your company:

- | | |
|---|---|
| <input type="checkbox"/> Sole Proprietor (S) | <input type="checkbox"/> C Corporation (C) (Other than Association/Co-op) |
| <input type="checkbox"/> Partnership (P) | <input type="checkbox"/> Subchapter S Corporation (Z) |
| <input type="checkbox"/> Bankruptcy (B) | <input type="checkbox"/> Association/Co-op (A) |
| <input type="checkbox"/> Receivership (R) | <input checked="" type="checkbox"/> Limited Liability Company |
| <input type="checkbox"/> Other (Describe) _____ | |

COUNTIES SERVED

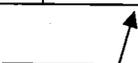
Check the box below for the county/ies in which you are certificated to provide service:

- | | | |
|-------------------------------------|--|---|
| <input type="checkbox"/> APACHE | <input type="checkbox"/> COCHISE | <input type="checkbox"/> COCONINO |
| <input type="checkbox"/> GILA | <input type="checkbox"/> GRAHAM | <input type="checkbox"/> GREENLEE |
| <input type="checkbox"/> LA PAZ | <input type="checkbox"/> MARICOPA | <input type="checkbox"/> MOHAVE |
| <input type="checkbox"/> NAVAJO | <input checked="" type="checkbox"/> PIMA | <input checked="" type="checkbox"/> PINAL |
| <input type="checkbox"/> SANTA CRUZ | <input type="checkbox"/> YAVAPAI | <input type="checkbox"/> YUMA |
| <input type="checkbox"/> STATEWIDE | | |

COMPANY NAME Red Rock Utilities, LLC

WATER UTILITY PLANT IN SERVICE

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
301	Organization	\$54,297		\$54,297
302	Franchises	95,236	31,485	63,751
303	Land and Land Rights	32,810	11,484	21,326
304	Structures and Improvements	8,049	3,034	5,016
307	Wells and Springs	691,317	248,396	442,921
311	Pumping Equipment	51,082	17,024	34,058
320	Water Treatment Equipment	573,066	153,438	419,628
320.1	Water Treatment Plants			
320.2	Solution Chemical Feeders			
330	Distribution Reservoirs and Standpipes	1,454,518	504,863	949,655
330.1	Storage Tanks			
330.2	Pressure Tanks			
331	Transmission and Distribution Mains	2,343,550	668,109	1,675,441
333	Services	518,785	146,418	372,367
334	Meters and Meter Installations	154,334	39,872	114,462
335	Hydrants	208,018	59,128	148,890
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment	651	212	439
340	Office Furniture and Equipment	5,752	1,887	3,864
340.1	Computers & Software			
341	Transportation Equipment	8,224	2,673	5,551
343	Tools, Shop and Garage Equipment	6,692	2,246	4,446
344	Laboratory Equipment	14,718	5,152	9,566
345	Power Operated Equipment	18,224	5,923	12,301
346	Communication Equipment			
347	Miscellaneous Equipment	6,124	459	5,664
348	Other Tangible Plant			
	TOTALS	6,245,447	1,901,802	4,343,644

This amount goes on the Balance Sheet Acct. No. 108 

WATER CALCULATION OF DEPRECIATION EXPENSE FOR CURRENT YEAR

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1x2)
301	Organization	\$54,297	0.0%	
302	Franchises	95,236	5.0%	4,762
303	Land and Land Rights	32,810	5.0%	1,641
304	Structures and Improvements	8,049	5.0%	402
307	Wells and Springs	691,317	5.0%	34,566
311	Pumping Equipment	51,082	5.0%	2,554
320	Water Treatment Equipment	573,066	5.0%	28,653
320.1	Water Treatment Plants		5.0%	
320.2	Solution Chemical Feeders		5.0%	
330	Distribution Reservoirs and Standpipes	1,454,518	5.0%	72,726
330.1	Storage Tanks		5.0%	
330.2	Pressure Tanks		5.0%	
331	Transmission and Distribution Mains	2,343,550	5.0%	117,178
333	Services	518,785	5.0%	25,939
334	Meters and Meter Installations	154,334	5.0%	7,450
335	Hydrants	208,018	5.0%	10,401
336	Backflow Prevention Devices		5.0%	
339	Other Plant and Misc. Equipment	651	5.0%	33
340	Office Furniture and Equipment	5,752	5.0%	288
340.1	Computers & Software		5.0%	
341	Transportation Equipment	8,224	5.0%	411
343	Tools, Shop and Garage Equipment	6,692	5.0%	335
344	Laboratory Equipment	14,718	5.0%	736
345	Power Operated Equipment	18,224	5.0%	911
346	Communication Equipment		5.0%	
347	Miscellaneous Equipment	6,124	5.0%	306
348	Other Tangible Plant		5.0%	
	TOTALS	6,245,447		309,291

This amount (less current year amortization of Contributions in Aid of Construction of \$11,686) goes on the Comparative Statement of Income and Expense Acct. No. 403

COMPANY NAME Red Rock Utilities, LLC

SEWER UTILITY PLANT IN SERVICE

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
351	Organization	\$11,982		\$11,982
352	Franchises	59,751	20,103	39,648
353	Land and Land Rights	6,539	2,289	4,250
354	Structures and Improvements	14,298	4,117	10,181
355	Power Generation Equipment			
360	Collection Sewers – Force			
361	Collection Sewers – Gravity	5,978,395	1,717,033	4,261,362
362	Special Collecting Structures			
363	Services to Customers			
366	Reuse Services	26,931	7,336	19,595
374	Reuse Distribution Reservoirs	280,410	62,011	218,400
375	Reuse Treatment	625,072	165,662	459,410
380	Treatment and Disposal Equip.	5,240,124	1,797,486	3,442,639
381	Plant Sewers			
382	Outfall Sewer Lines			
389	Other Plant and Misc. Equipment			
390	Office Furniture and Equipment	10,039	3,359	6,681
390.1	Computers & Software			
391	Transportation Equipment	8,224	2,673	5,551
393	Tools, Shop and Garage Equip.			
394	Laboratory Equipment	790	139	650
395	Power Operated Equipment			
398	Other Tangible Plant			
	TOTALS	12,262,554	3,782,207	8,480,348

This amount goes on the Balance Sheet Acct. No. 108



SEWER CALCULATION OF DEPRECIATION EXPENSE

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1x2)
351	Organization	\$11,982	0.0%	\$0
352	Franchises	59,751	5.0%	2,988
353	Land and Land Rights	6,539	5.0%	327
354	Structures and Improvements	14,298	5.0%	715
355	Power Generation Equipment		5.0%	
360	Collection Sewers – Force		5.0%	
361	Collection Sewers – Gravity	5,978,395	5.0%	298,920
362	Special Collecting Structures		5.0%	
363	Services to Customers		5.0%	
366	Reuse Services	26,931	5.0%	1,347
374	Reuse Distribution Reservoirs	280,410	5.0%	14,021
375	Reuse Treatment	625,072	5.0%	31,254
380	Treatment and Disposal Equip.	5,240,124	5.0%	261,082
381	Plant Sewers		5.0%	
382	Outfall Sewer Lines		5.0%	
389	Other Plant and Misc. Equipment		5.0%	
390	Office Furniture and Equipment	10,039	5.0%	502
390.1	Computers & Software		5.0%	
391	Transportation Equipment	8,224	5.0%	411
393	Tools, Shop and Garage Equip.		5.0%	
394	Laboratory Equipment	790	5.0%	39
395	Power Operated Equipment		5.0%	
398	Other Tangible Plant		5.0%	
	TOTALS	12,262,554		611,604

This amount (less current year amortization of Contribution in Aid of Construction of \$27,452) goes on the Comparative Statement of Income and Expense Acct. 403

COMPANY NAME Red Rock Utilities, LLC

BALANCE SHEET

Acct No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	ASSETS		
	CURRENT AND ACCRUED ASSETS		
131	Cash	\$50,195	\$10,306
134	Working Funds		
135	Temporary Cash Investments	857,872	857,879
141	Customer Accounts Receivable	29,419	44,804
146	Notes/Receivables from Associated Companies		
151	Plant Material and Supplies		
162	Prepayments		
174	Miscellaneous Current and Accrued Assets	1,500	0
	TOTAL CURRENT AND ACCRUED ASSETS	\$938,936	\$912,989
	FIXED ASSETS		
101	Utility Plant in Service	\$18,460,374	\$18,507,999
103	Property Held for Future Use		
105	Construction Work in Progress	709,407	719,573
108	Accumulated Depreciation – Utility Plant	(4,763,112)	(5,684,008)
121	Non-Utility Property		
122	Accumulated Depreciation – Non Utility		
	TOTAL FIXED ASSETS	\$14,406,669	\$13,543,564
	TOTAL ASSETS	\$15,345,655	\$14,456,553

NOTE: The Assets on this page should be equal to **Total Liabilities and Capital** on the following page.

BALANCE SHEET (CONTINUED)

Acct. No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	LIABILITIES		
	CURRENT LIABILITIES		
231	Accounts Payable	\$0	\$(664)
232	Notes Payable (Current Portion)		
234	Notes/Accounts Payable to Associated Companies		
235	Customer Deposits	184,268	168,677
236	Accrued Taxes	15,761	17,544
237	Accrued Interest		
241	Miscellaneous Current and Accrued Liabilities		
	TOTAL CURRENT LIABILITIES	\$200,029	\$185,557
	LONG-TERM DEBT (Over 12 Months)		
224	Long-Term Notes and Bonds	\$0	\$0
	DEFERRED CREDITS		
251	Unamortized Premium on Debt	\$0	\$0
252	Advances in Aid of Construction	9,364,112	9,285,897
255	Accumulated Deferred Investment Tax Credits		
271	Contributions in Aid of Construction	782,758	782,758
272	Less: Amortization of Contributions	(136,982)	(176,120)
281	Accumulated Deferred Income Tax		
	TOTAL DEFERRED CREDITS	\$10,009,888	\$9,892,535
	TOTAL LIABILITIES	\$10,209,917	\$10,078,092
	CAPITAL ACCOUNTS		
201	Common Stock Issued	\$0	\$0
211	Paid in Capital in Excess of Par Value		
215	Retained Earnings		
218	Proprietary Capital (Sole Props and Partnerships)	5,135,738	4,378,460
	TOTAL CAPITAL	\$5,135,738	\$4,378,460
	TOTAL LIABILITIES AND CAPITAL	\$15,345,655	\$14,456,553

WATER COMPARATIVE STATEMENT OF INCOME AND EXPENSE

Acct. No.	OPERATING REVENUES	PRIOR YEAR	CURRENT YEAR
461	Metered Water Revenue	\$301,854	\$359,204
460	Unmetered Water Revenue		
474	Other Water Revenues	45,713	9,276
	TOTAL REVENUES	\$347,567	\$368,480
	OPERATING EXPENSES		
601	Salaries and Wages	\$60,838	\$50,692
610	Purchased Water		
615	Purchased Power	18,898	20,219
618	Chemicals	4,958	2,365
620	Repairs and Maintenance	31,393	37,989
621	Office Supplies and Expense	796	1,041
630	Outside Services	101,797	104,690
635	Water Testing	1,458	1,378
641	Rents		
650	Transportation Expenses		
657	Insurance – General Liability	8,834	11,438
659	Insurance - Health and Life		
666	Regulatory Commission Expense – Rate Case		
675	Miscellaneous Expense	21,020	15,480
403	Depreciation Expense	297,005	297,605
408	Taxes Other Than Income	22,667	23,977
408.11	Property Taxes	1,998	2,129
409	Income Tax		
	TOTAL OPERATING EXPENSES	\$571,662	\$569,003
	OPERATING INCOME/(LOSS)	\$(224,095)	\$(200,523)
	OTHER INCOME/(EXPENSE)		
419	Interest and Dividend Income	\$299	\$0
421	Non-Utility Income		
426	Miscellaneous Non-Utility Expenses		
427	Interest Expense		
	TOTAL OTHER INCOME/(EXPENSE)	\$299	\$0
	NET INCOME/(LOSS)	\$(223,796)	\$(200,523)

SEWER COMPARATIVE STATEMENT OF INCOME AND EXPENSE

Acct. No.	OPERATING REVENUES	PRIOR YEAR	CURRENT YEAR
521	Flat Rate Revenues	\$255,172	\$275,732
522	Measured Revenues		
536	Other Wastewater Revenues	20,415	12,217
	TOTAL REVENUES	\$275,587	\$287,949
	OPERATING EXPENSES		
701	Salaries and Wages	\$19,629	\$19,268
710	Purchased Wastewater Treatment		
711	Sludge Removal Expense		
715	Purchased Power	57,827	59,605
719	Purchased Water	460	2,672
720	Materials and Supplies	71,228	30,232
721	Office Supplies and Expenses	3,515	3,282
731	Contractual Services – Professional	50,285	33,278
734	Contractual Services – Management Fees	56,000	56,000
735	Contractual Services – Testing	20,596	13,797
736	Contractual Services – Other	210,910	227,431
750	Transportation Expense		
755	Insurance Expense	13,686	11,902
765	Regulatory Commission Expense		
775	Miscellaneous Expense	21,295	11,744
403	Depreciation Expense	582,965	584,152
408	Taxes Other Than Income		
408.11	Property Taxes	29,544	31,199
409	Income Taxes		
	TOTAL OPERATING EXPENSES	\$1,137,937	\$1,084,562
	OTHER INCOME/EXPENSE		
419	Interest and Dividend Income	\$1,976	\$4,859
421	Non-Utility Income		
426	Miscellaneous Non-Utility Expenses		
427	Interest Expense		
	TOTAL OTHER INCOME/EXPENSE	\$1,976	\$4,859
	NET INCOME/(LOSS)	\$(860,374)	\$(791,754)

COMPANY NAME Red Rock Utilities, LLC

SUPPLEMENTAL FINANCIAL DATA
Long-Term Debt

	LOAN #1	LOAN #2	LOAN #3	LOAN #4
Date Issued	N/A	N/A	N/A	N/A
Source of Loan				
ACC Decision No.				
Reason for Loan				
Dollar Amount Issued	\$	\$	\$	\$
Amount Outstanding	\$	\$	\$	\$
Date of Maturity				
Interest Rate	%	%	%	%
Current Year Interest	\$	\$	\$	\$
Current Year Principle	\$	\$	\$	\$

Meter Deposit Balance at Test Year End	<u>\$124,173</u>
Meter Deposits Refunded During the Test Year	<u>\$22,367</u>

COMPANY NAME	Red Rock Utilities, LLC		
Name of System:	Red Rock Utilities, LLC	ADEQ Public Water System Number: 11-160	

WATER COMPANY PLANT DESCRIPTION

WELLS

ADWR ID Number*	Pump Horsepower	Pump Yield (gpm)	Casing Depth (Feet)	Casing Diameter (Inches)	Meter Size (inches)	Year Drilled
55-599556	75	750	800	16"	6"	2003
55-599557	75	750	900	16"	6"	2003

* Arizona Department of Water Resources Identification Number

OTHER WATER SOURCES

Name or Description	Capacity (gpm)	Gallons Purchased or Obtained (in thousands)
N/A		
N/A		

BOOSTER PUMPS		FIRE HYDRANTS	
Horsepower	Quantity	Quantity Standard	Quantity Other
7.5	1	64	
20	1		
50	2		

STORAGE TANKS		PRESSURE TANKS	
Capacity	Quantity	Capacity	Quantity
900,000	1	5,000 GLN	2

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME Red Rock Utilities, LLC
Name of System: Red Rock Utilities, LLC ADEQ Public Water System Number: 11-160

WATER COMPANY PLANT DESCRIPTION (CONTINUED)

MAINS

Size (in inches)	Material	Length (in feet)
2	0	
3	0	
4	0	
5	0	
6	C900	142
8	C900 PVC	28,247
10	0	
12	C900 PVC	12,980
6	Ductile (DIP)	2,130
12	Ductile (DIP)	735

CUSTOMER METERS

Size (in inches)	Quantity
5/8 X 3/4	635
3/4	
1	4
1 1/2	1
2	4
Comp. 3	
Turbo 3	
Comp. 4	
Turbo 4	
Comp. 6	
Turbo 6	

For the following three items, list the utility owned assets in each category for each system.

TREATMENT EQUIPMENT:

Ion Exchange / Removal

Water Treatment plant for Nitrate removal / remediation utilizing an Ion Exchange process at Well #1.

STRUCTURES:

CL 2 Building

OTHER:

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME: Red Rock Utilities, LLC
Name of System: Red Rock Utilities, LLC ADEQ Public Water System Number: 11-160

WATER USE DATA SHEET BY MONTH FOR CALENDAR YEAR 2013

MONTH	NUMBER OF CUSTOMERS	GALLONS SOLD (Thousands)	GALLONS PUMPED (Thousands)	GALLONS PURCHASED (Thousands)
JANUARY	609	2,778	3,161	0
FEBRUARY	609	3,070	2,627	0
MARCH	612	2,671	4,196	0
APRIL	612	3,624	4,092	0
MAY	621	6,060	6,680	0
JUNE	626	4,911	6,276	0
JULY	628	7,155	5,632	0
AUGUST	634	4,775	5,803	0
SEPTEMBER	641	5,685	4,773	0
OCTOBER	644	4,092	4,883	0
NOVEMBER	644	4,823	3,596	0
DECEMBER	644	3,004	3,137	0
TOTALS →		52,647	54,856	0

What is the level of arsenic for each well on your system? Well #1 0.002 mg/l
(If more than one well, please list each separately.) Well #2 0.002 mg/l

If system has fire hydrants, what is the fire flow requirement? 1,500 GPM for 2 hrs

If system has chlorination treatment, does this treatment system chlorinate continuously?
 Yes No

Is the Water Utility located in an ADWR Active Management Area (AMA)?
 Yes No

Does the Company have an ADWR Gallons Per Capita Per Day (GPCPD) requirement?
 Yes No

If yes, provide the GPCPD amount: _____

Note: If you are filing for more than one system, please provide separate data sheets for each system.

COMPANY NAME: Red Rock Utilities, LLC
Name of System: Red Rock Utilities, LLC ADEQ Public Water System Number: 11-160

UTILITY SHUTOFFS / DISCONNECTS

MONTH	Termination without Notice R14-2-410.B	Termination with Notice R14-2-410.C	OTHER
JANUARY	0	0	0
FEBRUARY	0	8	0
MARCH	0	0	0
APRIL	0	8	0
MAY	0	10	0
JUNE	0	16	0
JULY	0	4	0
AUGUST	0	6	0
SEPTEMBER	0	4	0
OCTOBER	0	0	0
NOVEMBER	0	0	0
DECEMBER	0	0	0
TOTALS →	0	56	0

OTHER (description):

COMPANY NAME Red Rock Utilities, LLC
Name of System: Red Rock Utilities, LLC Wastewater Inventory Number (if applicable): 105421

WASTEWATER COMPANY PLANT DESCRIPTION
TREATMENT FACILITY

TYPE OF TREATMENT (Extended Aeration, Step Aeration, Oxidation Ditch, Aerobic Lagoon, Anaerobic Lagoon, Trickling Filter, Septic Tank, Wetland, Etc.)	SBR Sequential Batch Reactor
DESIGN CAPACITY OF PLANT (Gallons Per Day)	0.300 MGD

LIFT STATION FACILITIES

Location	Quantity of Pumps	Horsepower Per Pump	Capacity Per Pump (GPM)	Wet Well Capacity (gals)
33786 Spirit Lane (WRF)	2	15	625	4,680

FORCE MAINS

Size	Material	Length (Feet)
4-inch	None	
6-inch	None	
8-inch	Ductile Iron	60 Ft

MANHOLES

Type	Quantity
Standard	155
Drop	0

CLEANOUTS

Quantity
6

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME Red Rock Utilities, LLC

Name of System: Red Rock Utilities, LLC **Wastewater Inventory Number (if applicable):** 105421

WASTEWATER COMPANY PLANT DESCRIPTION (CONTINUED)

COLLECTION MAINS

Size (in inches)	Material	Length (in feet)
4		
6		
8	SDR 35 PVC	58,128
10		
12	SDR 35 PVC	2,670
15	SDR 35 PVC	1,542
18	SDR 15 PVC	6,314
21		
24	Ductile Iron (DIP)	60
30		
18	Ductile	308

SERVICES

Size (in inches)	Material	Quantity
4	PVC	768
6	PVC	2
8		
12		
15		

FOR THE FOLLOWING FIVE ITEMS, LIST THE UTILITY OWNED ASSETS IN EACH CATEGORY PER WASTEWATER SYSTEM

SOLIDS PROCESSING AND HANDLING FACILITIES	Noxon Centrifuge
DISINFECTION EQUIPMENT (Chlorinator, Ultra-Violet, Etc.)	Sun Light Systems Ultra Violet Light
FILTRATION EQUIPMENT (Rapid Sand, Slow Sand, Activated Carbon, Etc.)	Miami Filters Sand Filter
STRUCTURES (Buildings, Fences, Etc.)	Red Rock WRF Operations Building
OTHER (Laboratory Equipment, Tools, Vehicles, Standby Power Generators, Etc.)	Incubator, Muffle Furnace, Laboratory, Refrigerator, Oven, Nitrate Analyzer, PH Analyzer, Electronic Scale, Generac 350 KW Standby Generator

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME Red Rock Utilities, LLC
Name of System: Red Rock Utilities, LLC Wastewater Inventory Number (if applicable): 105421

WASTEWATER FLOWS

MONTH/YEAR (Most Recent 12 Months)	NUMBER OF SERVICES	TOTAL MONTHLY SEWAGE FLOW	SEWAGE FLOW ON PEAK DAY
January	586	2,066,000	96,332 GPD
February	587	1,824,000	77,010
March	589	1,986,000	88,389
April	589	1,942,000	78,107
May	594	2,007,000	89,472
June	599	1,840,000	85,633
July	601	2,034,000	83,585
August	609	2,088,000	88,462
September	616	2,039,000	91,847
October	619	2,133,000	85,197
November	619	2,340,000	86,667
December	619	2,136,000	92,076

**PROVIDE THE FOLLOWING INFORMATION AS APPLICABLE
PER WASTEWATER SYSTEM**

Method of Effluent Disposal (leach field, surface water discharge, reuse, injection wells, groundwater recharge, evaporation ponds, etc.)	Surface Water Discharge
Groundwater Permit Number	
ADEQ Aquifer Protection Permit Number	P-105621
ADEQ Reuse Permit Number	R105978
EPA NPDES Permit Number	AZ0025143

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME: Red Rock Utilities, LLC
Name of System: Red Rock Utilities, LLC **Wastewater Inventory Number (if applicable):** 105421

UTILITY SHUTOFFS / DISCONNECTS

MONTH	Termination without Notice R14-2- 609.B	Termination with Notice R14-2- 609.C	OTHER
JANUARY			
FEBRUARY			
MARCH			
APRIL			
MAY			
JUNE			
JULY			
AUGUST			
SEPTEMBER			
OCTOBER			
NOVEMBER			
DECEMBER			
TOTALS →	0	0	0

OTHER (description):

PROPERTY TAXES

Amount of actual property taxes paid during Calendar Year 2013 was: **\$33,328.24**

Attach to this annual report proof (e.g. property tax bills stamped "paid in full" or copies of cancelled checks for property tax payments) of any and all property taxes paid during the calendar year.

If no property taxes paid, explain why. _____

VERIFICATION
AND
SWORN STATEMENT
Taxes

RECEIVED

MAR 14 2014

AZ CORP COMM
Director - Utilities

VERIFICATION

STATE OF _____

I, THE UNDERSIGNED
OF THE

COUNTY OF (COUNTY NAME) Pinal County
NAME (OWNER OR OFFICIAL) TITLE Diamond Ventures, Inc, an Arizona Corporation, Manager, by its Vice President, Mark Weinberg
COMPANY NAME Red Rock Utilities, LLC

DO SAY THAT THIS ANNUAL UTILITY PROPERTY TAX AND SALES TAX REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2013

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

I HEREBY ATTEST THAT ALL PROPERTY TAXES FOR SAID COMPANY ARE CURRENT AND PAID IN FULL.

I HEREBY ATTEST THAT ALL SALES TAXES FOR SAID COMPANY ARE CURRENT AND PAID IN FULL.

SIGNATURE OF OWNER OR OFFICIAL

520-577-0200

TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS

12th

DAY OF

COUNTY NAME PIMA
MONTH MARCH 20 14

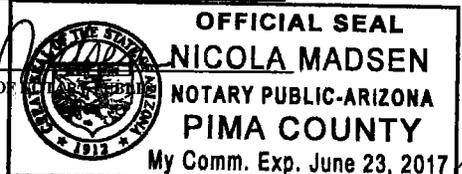
(SEAL)

MY COMMISSION EXPIRES

6/23/2017

SIGNATURE OF

Nicola Madsen



COMPANY NAME Red Rock Utilities, LLC YEAR ENDING 12/31/2013

INCOME TAXES

For this reporting period, provide the following:

Federal Taxable Income Reported 0
Estimated or Actual Federal Tax Liability 0

State Taxable Income Reported 0
Estimated or Actual State Tax Liability 0

Amount of Grossed-Up Contributions/Advances:

Amount of Contributions/Advances 0
Amount of Gross-Up Tax Collected 0
Total Grossed-Up Contributions/Advances 0

Decision No. 55774 states, in part, that the utility will refund any excess gross-up funds collected at the close of the tax year when tax returns are completed. Pursuant to this Decision, if gross-up tax refunds are due to any Payer or if any gross-up tax refunds have already been made, attach the following information by Payer: name and amount of contribution/advance, the amount of gross-up tax collected, the amount of refund due to each Payer, and the date the Utility expects to make or has made the refund to the Payer.

CERTIFICATION

The undersigned hereby certifies that the Utility has refunded to Payers all gross-up tax refunds reported in the prior year's annual report. This certification is to be signed by the President or Chief Executive Officer, if a corporation; the managing general partner, if a partnership; the managing member, if a limited liability company or the sole proprietor, if a sole proprietorship.



SIGNATURE

Mark Weinberg
PRINTED NAME

3-12-2014

DATE

General Manager
TITLE

**VERIFICATION
AND
SWORN STATEMENT (WATER)
Intrastate Revenues Only**

VERIFICATION

STATE OF _____

COUNTY OF (COUNTY NAME) Pinal County

NAME (OWNER OR OFFICIAL) TITLE Diamond Ventures, Inc, an Arizona Corporation, Manager, by its Vice President, Mark Weinberg

I, THE UNDERSIGNED

COMPANY NAME Red Rock Utilities, LLC

OF THE

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2013

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

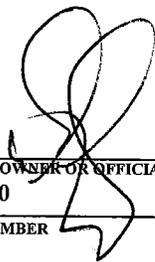
IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2013 WAS:

Arizona Intrastate Gross Operating Revenues Only (\$)

\$368,480

**(THE AMOUNT IN BOX ABOVE
INCLUDES \$23,977
IN SALES TAXES BILLED, OR COLLECTED)**

****REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED OR COLLECTED. IF FOR ANY OTHER REASON, THE REVENUE REPORTED ABOVE DOES NOT AGREE WITH TOTAL OPERATING REVENUES ELSEWHERE REPORTED, ATTACH THOSE STATEMENTS THAT RECONCILE THE DIFFERENCE. (EXPLAIN IN DETAIL)**



SIGNATURE OF OWNER OR OFFICIAL

520-577-0200

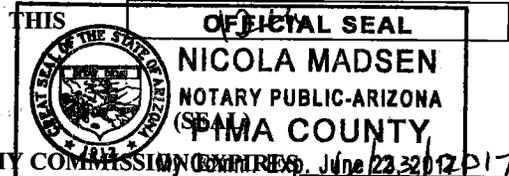
TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

COUNTY NAME PIMA

MONTH MARCH, 2014



DAY OF

Nicola Madsen
SIGNATURE OF NOTARY PUBLIC

**VERIFICATION
AND
SWORN STATEMENT
RESIDENTIAL REVENUE (WATER)
INTRASTATE REVENUES ONLY**

RECEIVED

MAR 14 2014

AZ CORP COM
Director - Utilities

**VERIFICATION
STATE OF _____**

**I, THE UNDERSIGNED
OF THE**

COUNTY OF (COUNTY NAME) Pinal County	
NAME (OWNER OR OFFICIAL) Diamond Ventures, Inc, an Arizona Corporation, Manager, by its Vice President, Mark Weinberg	TITLE Vice President / General Manager
COMPANY NAME Red Rock Utilities, LLC	

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2013

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2013 WAS:

ARIZONA INTRASTATE GROSS OPERATING REVENUES
\$290,530

(THE AMOUNT IN BOX AT LEFT INCLUDES \$18,905_ IN SALES TAXES BILLED, OR COLLECTED

***RESIDENTIAL REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED.**



 SIGNATURE OF OWNER OR OFFICIAL

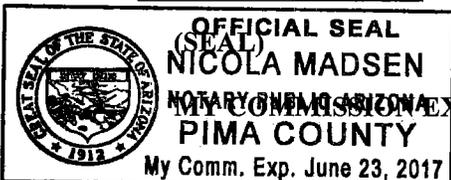
 520-577-0206
 TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS 12th **DAY OF**

NOTARY PUBLIC NAME Nicola Madsen	
COUNTY NAME PIMA	
MONTH MARCh	.2014



NOTARY PUBLIC IN ARIZONA EXPIRES 6/23/17



 SIGNATURE OF NOTARY PUBLIC

RECEIVED

VERIFICATION AND SWORN STATEMENT (SEWER) Intrastate Revenues Only

MAR 14 2014

AZ CORP COMM Director - Utilities

VERIFICATION

STATE OF

Form with fields: COUNTY OF (COUNTY NAME) Pinal County; NAME (OWNER OR OFFICIAL) TITLE Diamond Ventures, Inc, an Arizona Corporation, Manager, by its Vice President, Mark Weinberg; COMPANY NAME Red Rock Utilities, LLC

I, THE UNDERSIGNED

OF THE

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

Table with columns: MONTH, DAY, YEAR. Values: 12, 31, 2013

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2013 WAS:

Arizona Intrastate Gross Operating Revenues Only (\$) \$287,949

(THE AMOUNT IN BOX ABOVE INCLUDES \$0.00 IN SALES TAXES BILLED, OR COLLECTED)

**REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED OR COLLECTED. IF FOR ANY OTHER REASON, THE REVENUE REPORTED ABOVE DOES NOT AGREE WITH TOTAL OPERATING REVENUES ELSEWHERE REPORTED, ATTACH THOSE STATEMENTS THAT RECONCILE THE DIFFERENCE. (EXPLAIN IN DETAIL)

SIGNATURE OF OWNER OR OFFICIAL (handwritten signature) TELEPHONE NUMBER 520-577-0200

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

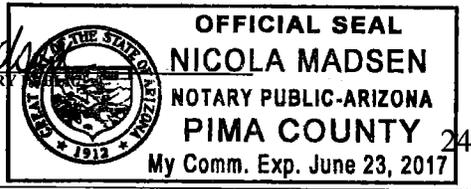
THIS 12th DAY OF

Form with fields: COUNTY NAME PIMA; MONTH MARCH; .2014

(SEAL)

SIGNATURE OF NOTARY (handwritten signature: Nicola Madsen)

MY COMMISSION EXPIRES 6/23/2017



**VERIFICATION
AND
SWORN STATEMENT
RESIDENTIAL REVENUE (SEWER)
Intrastate Revenues Only**

RECEIVED

MAR 14 2014

AZ CORP COMM
Director - Utilities

VERIFICATION

STATE OF ARIZONA

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME) Pinal County	
NAME (OWNER OR OFFICIAL) Diamond Ventures, Inc, an Arizona Corporation, Manager, by its Vice President, Mark Weinberg	TITLE Vice President / General Manager
COMPANY NAME Red Rock Utilities, LLC	

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2013

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SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2013 WAS:

ARIZONA INTRASTATE GROSS OPERATING REVENUES \$269,570

**THE AMOUNT IN BOX AT LEFT
INCLUDES \$0.00
IN SALES TAXES BILLED, OR COLLECTED)**

***RESIDENTIAL REVENUE REPORTED ON THIS PAGE
MUST INCLUDE SALES TAXES BILLED.**

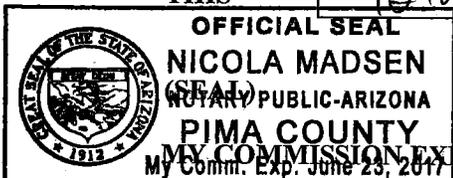


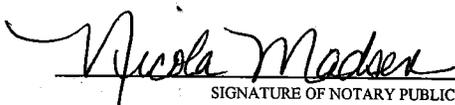
SIGNATURE OF OWNER OR OFFICIAL

20-577-0200
TELEPHONE NUMBER

**SUBSCRIBED AND SWORN TO BEFORE ME
A NOTARY PUBLIC IN AND FOR THE COUNTY OF
THIS 12th DAY OF**

NOTARY PUBLIC NAME Nicola Madsen	
COUNTY NAME PIMA	
MONTH MARCH	.20 14





SIGNATURE OF NOTARY PUBLIC