

ARIZONA CORPORATION COMMISSION
UTILITIES DIVISION

ANNUAL REPORT MAILING LABEL – MAKE CHANGES AS NECESSARY

OAK CREEK UTILITY CORPORATION
P.O. BOX 1020
APACHE JUNCTION, AZ 85117-4039

WS-02061A

RECEIVED

APR 28 2014

AZ CORP COMM
Director - Utilities

ANNUAL REPORT
Water & Sewer

FOR YEAR ENDING

12	31	2013
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FOR COMMISSION USE

ANN 04-05	13
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5-2-14

COMPANY INFORMATION

Company Name (Business Name) _____ Oak Creek Utility Corporation		
Mailing Address _____ P.O. Box 1020		
(Street)		
Apache Junction, AZ 85117		
(City)	(State)	(Zip)
480-677-6080	480-677-6082	
Telephone No. (Include Area Code)	Fax No. (Include Area Code)	Cell No. (Include Area Code)
Email Address _____ jlopez2027@aol.com		
Local Office Mailing Address _____ P O Box 1020		
(Street)		
Apache Junction, AZ 85117		
(City)	(State)	(Zip)
480-677-6080	480-677-6082	
Local Office Telephone No. (Include Area Code)	Fax No. (Include Area Code)	Cell No. (Include Area Code)
Email Address _____ jlopez2027@aol.com		

MANAGEMENT INFORMATION

<input type="checkbox"/> Regulatory Contact: Agent		
<input checked="" type="checkbox"/> Management Contact: _____ Judy Lopez _____ Agent		
(Name) (Title)		
P O Box 1020 Apache Junction, AZ 85117		
(Street)	(City)	(State) (Zip)
480-677-6080	480-677-6082	
Telephone No. (Include Area Code)	Fax No. (Include Area Code)	Cell No. (Include Area Code)
Email Address _____ jlopez2027@aol.com		
On Site Manager: _____ Randy Sosin _____		
(Name)		
P O Box 1796 Sedona, AZ 86339		
(Street)	(City)	(State) (Zip)
928-282-2528	928-282-1776	Emergency Only: 928-821-2004
Telephone No. (Include Area Code)	Fax No. (Include Area Code)	Cell No. (Include Area Code)
Email Address _____		

Statutory Agent: UNKNOWN
 (Name)

(Street) (City) (State) (Zip)

Telephone No. (Include Area Code) Fax No. (Include Area Code) Cell No. (Include Area Code)

Attorney: UNKNOWN
 (Name)

(Street) (City) (State) (Zip)

Telephone No. (Include Area Code) Fax No. (Include Area Code) Cell No. (Include Area Code)

Email Address _____

OWNERSHIP INFORMATION

Check the following box that applies to your company:

<input type="checkbox"/> Sole Proprietor (S)	<input type="checkbox"/> C Corporation (C) (Other than Association/Co-op)
<input type="checkbox"/> Partnership (P)	<input checked="" type="checkbox"/> Subchapter S Corporation (Z)
<input type="checkbox"/> Bankruptcy (B)	<input type="checkbox"/> Association/Co-op (A)
<input type="checkbox"/> Receivership (R)	<input type="checkbox"/> Limited Liability Company
<input type="checkbox"/> Other (Describe) _____	

COUNTIES SERVED

Check the box below for the county/ies in which you are certificated to provide service:

<input type="checkbox"/> APACHE	<input type="checkbox"/> COCHISE	<input checked="" type="checkbox"/> COCONINO
<input type="checkbox"/> GILA	<input type="checkbox"/> GRAHAM	<input type="checkbox"/> GREENLEE
<input type="checkbox"/> LA PAZ	<input type="checkbox"/> MARICOPA	<input type="checkbox"/> MOHAVE
<input type="checkbox"/> NAVAJO	<input type="checkbox"/> PIMA	<input type="checkbox"/> PINAL
<input type="checkbox"/> SANTA CRUZ	<input type="checkbox"/> YAVAPAI	<input type="checkbox"/> YUMA
<input type="checkbox"/> STATEWIDE		

COMPANY NAME
OAK CREEK UTILITY CORPORATION

WATER UTILITY PLANT IN SERVICE

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
301	Organization			
302	Franchises			
303	Land and Land Rights	30,000		30,000
304	Structures and Improvements	1,346	971	375
307	Wells and Springs	5,339	4,379	960
311	Pumping Equipment	17,380	15,110	2,270
320	Water Treatment Equipment			
330	Distribution Reservoirs and Standpipes	13,485	4,729	8,756
331	Transmission and Distribution Mains	10,550	10,107	443
333	Services	3,725	3,725	0
334	Meters and Meter Installations	6,739	6,739	0
335	Hydrants			
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment			
340	Office Furniture and Equipment	613	613	0
341	Transportation Equipment			
343	Tools, Shop and Garage Equipment	935	329	606
344	Laboratory Equipment			
345	Power Operated Equipment			
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	TOTALS	90,112	46,702	43,410

This amount goes on the Balance Sheet Acct. No. 108

COMPANY NAME
OAK CREEK UTILITY CORPORATION

WATER CALCULATION OF DEPRECIATION EXPENSE FOR CURRENT YEAR

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1x2)
301	Organization			
302	Franchises			
303	Land and Land Rights	30,000		
304	Structures and Improvements	1,346	3.33%	45
307	Wells and Springs	5,339	3.33%	178
311	Pumping Equipment	17,380	12.50%	2,172
320	Water Treatment Equipment			
330	Distribution Reservoirs and Standpipes	13,485	2.22%	299
331	Transmission and Distribution Mains	10,550	2.00%	211
333	Services	3,725	3.33%	0
334	Meters and Meter Installations	6,739	8.33%	13
335	Hydrants			
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment			
340	Office Furniture and Equipment	613	20.00%	0
341	Transportation Equipment			
343	Tools, Shop and Garage Equipment	935	5.00%	47
344	Laboratory Equipment			
345	Power Operated Equipment			
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	SUBTOTAL	90,112		2,965
	Less: Amortization of Contributions			
	TOTAL	90,112		2,965

This amount goes on Comparative Statement of Income and Expense Acct. No. 403.

COMPANY NAME OAK CREEK UTILITY CORPORATION

SEWER UTILITY PLANT IN SERVICE

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
351	Organization			
352	Franchises			
353	Land and Land Rights	10,000		10,000
354	Structures and Improvements			
355	Power Generation Equipment			
360	Collection Sewers - Force	9,305	6,694	2,611
361	Collection Sewers - Gravity			
362	Special Collecting Structures			
363	Services to Customers	1,610	1,157	453
364	Flow Measuring Devices			
365	Flow Measuring Installation			
370	Receiving Wells	353	294	59
380	Treatment and Disposal Equipment	34,198	33,165	1,033
381	Plant Sewers			
382	Outfall Sewer Lines			
389	Other Plant and Misc. Equipment			
390	Office Furniture and Equipment			
391	Transportation Equipment			
393	Tools, Shop, and Garage Equipment			
394	Laboratory Equipment			
395	Power Operated Equipment			
398	Other Tangible Plant			
	TOTALS	55,466	41,310	14,156

This amount goes on the Balance Sheet Acct. No. 108

COMPANY NAME
OAK CREEK UTILITY CORPORATION

SEWER CALCULATION OF DEPRECIATION EXPENSE FOR CURRENT YEAR

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1x2)
351	Organization			
352	Franchises			
353	Land and Land Rights	10,000		
354	Structures and Improvements			
355	Power Generation Equipment			
360	Collection Sewers - Force	9,305	2.00%	186
361	Collection Sewers - Gravity			
362	Special Collecting Structures			
363	Services to Customers	1,610	2.00%	32
364	Flow Measuring Devices			
365	Flow Measuring Installation			
370	Receiving Wells	353	3.33%	12
380	Treatment and Disposal Equipment	34,198	12.5 / 5.00%	2,164
381	Plant Sewers			
382	Outfall Sewer Lines			
389	Other Plant and Misc. Equipment			
390	Office Furniture and Equipment			
391	Transportation Equipment			
393	Tools, Shop, and Garage Equipment			
394	Laboratory Equipment			
395	Power Operated Equipment			
398	Other Tangible Plant			
	SUBTOTAL	55,466		2,394
	Less: Amortization of Contributions			
	TOTAL	55,466		2,394

This amount goes on Comparative Statement of Income and Expense Acct. No. 403.

COMPANY NAME OAK CREEK UTILITY CORPORATION

BALANCE SHEET

Acct. No.	ASSETS	BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	CURRENT AND ACCRUED ASSETS		
131	Cash	\$ 10,061	\$ 12,196
134	Working Funds		
135	Temporary Cash Investments		
141	Customer Accounts Receivable	1,826	1,791
146	Notes/Receivables from Associated Companies		
151	Plant Material and Supplies		
162	Prepayments		
174	Miscellaneous Current and Accrued Assets		
	TOTAL CURRENT AND ACCRUED ASSETS	\$ 11,887	\$ 13,987
	FIXED ASSETS		
101	Utility Plant in Service	\$ 144,687	\$ 145,578
103	Property Held for Future Use		
105	Construction Work in Progress		
108	Accumulated Depreciation - Utility Plant	82,654	88,012
121	Non-Utility Property		
122	Accumulated Depreciation - Non Utility		
	TOTAL FIXED ASSETS	\$ 62,033	\$ 57,566
	TOTAL ASSETS	\$ 73,920	\$ 71,553

NOTE: The Assets on this page should be equal to Total Liabilities and Capital on the following page.

COMPANY NAME OAK CREEK UTILITY CORPORATION

BALANCE SHEET (CONTINUED)

Acct.No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	LIABILITIES		
	CURRENT LIABILITES		
231	Accounts Payable	\$ 881	\$ 97
232	Notes Payable (Current Portion)	756	0
234	Notes/Accounts Payable to Associated Companies		
235	Customer Deposits	1,130	1,180
236	Accrued Taxes		
237	Accrued Interest		
241	Miscellaneous Current and Accrued Liabilities		
	TOTAL CURRENT LIABILITIES	\$ 2,767	\$ 1,277
	LONG-TERM DEBT (Over 12 Months)		
224	Long-Term Notes and Bonds	\$ -	\$ -
	DEFERRED CREDITS		
251	Unamortized Premium on Debt		
252	Advances in Aid of Construction	2,539	2,005
255	Accumulated Deferred Investment Tax Credits		
271	Contributions in Aid of Construction		
272	Less: Amortization of Contributions		
281	Accumulated Deferred Income Tax		
	TOTAL DEFERRED CREDITS	\$ 2,539	\$ 2,005
	TOTAL LIABILITIES	\$ 5,306	\$ 3,282
	CAPITAL ACCOUNTS		
201	Common Stock Issued	\$ 2,200	\$ 2,200
211	Paid in Capital in Excess of Par Value	115,462	115,462
215	Retained Earnings	(49,048)	(49,391)
218	Proprietary Capital (Sole Props and Partnerships)		
	TOTAL CAPITAL	\$ 68,614	\$ 68,271
	TOTAL LIABILITIES AND CAPITAL	\$ 73,920	\$ 71,553

COMPANY NAME**OAK CREEK UTILITY CORPORATION****WATER COMPARATIVE STATEMENT OF INCOME AND EXPENSE**

Acct. No.	OPERATING REVENUES	PRIOR YEAR	CURRENT YEAR
461	Metered Water Revenue	\$ 14,849	\$ 15,172
460	Unmetered Water Revenue		
474	Other Water Revenues	210	120
	TOTAL REVENUES	\$ 15,059	\$ 15,292
	OPERATING EXPENSES		
601	Salaries and Wages	\$ -	\$ -
610	Purchased Water		
615	Purchased Power	682	726
618	Chemicals		
620	Repairs and Maintenance	35	1,032
621	Office Supplies and Expense		
630	Outside Services	6,175	8,764
635	Water Testing	243	197
641	Rents		
650	Transportation Expenses		
657	Insurance - General Liability		
659	Insurance - Health and Life		
666	Regulatory Commission Expense - Rate Case		
675	Miscellaneous Expense	1,596	886
403	Depreciation Expense	3,521	2,965
408	Taxes Other Than Income		
408.11	Property Taxes	-	1,512
409	Income Tax		
	TOTAL OPERATING EXPENSES	\$ 12,252	\$ 16,082
	OTHER INCOME/EXPENSE		
419	Interest and Dividend Income		
421	Non-Utility Income	1,349	-
426	Miscellaneous Non-Utility Expenses		
427	Interest Expense	52	43
	TOTAL OTHER INCOME/EXP	\$ 1,297	\$ (43)
	NET INCOME/(LOSS)	\$ 4,104	\$ (833)

COMPANY NAME**OAK CREEK UTILITY CORPORATION****SEWER COMPARATIVE STATEMENT OF INCOME AND EXPENSE**

Acct. No.	OPERATING REVENUES	PRIOR YEAR	CURRENT YEAR
521	Flat Rate Revenues		
522	Measured Revenues	9,003	9,014
536	Other Wastewater Revenues		
	TOTAL REVENUES	\$ 9,003	\$ 9,014
	OPERATING EXPENSES		
701	Salaries and Wages	\$ -	\$ -
710	Purchased Wastewater Treatment		
711	Sludge Removal Expense		
715	Purchase Power	330	351
716	Fuel for Power Production		
718	Chemicals		
720	Materials and Supplies		
731	Contractual Services - Professional	1,206	1,205
735	Contractual Services - Testing	117	592
736	Contractual Services - Other	1,778	2,533
740	Rents		
750	Transportation Expense		
755	Insurance Expense		
765	Regulatory Commission Expense		
775	Miscellaneous Expense	724	900
403	Depreciation Expense	2,393	2,394
408	Taxes Other Than Income		
408.11	Property Taxes	-	528
409	Income Taxes		
	TOTAL OPERATING EXPENSES	\$ 6,548	\$ 8,503
	OTHER INCOME/EXPENSE		
419	Interest and Dividend Income		
421	Non-Utility Income	652	-
426	Miscellaneous Non-Utility Expenses		
427	Interest Expense	25	21
	TOTAL OTHER INCOME/EXP	\$ 627	\$ (21)
	NET INCOME/(LOSS)	\$ 3,082	\$ 490

COMPANY NAME OAK CREEK UTILITY CORPORATION

SUPPLEMENTAL FINANCIAL DATA

Long-Term Debt

	LOAN #1	LOAN #2	LOAN #3	LOAN #4
Date Issued	VARIOUS			
Source of Loan	WIFA			
ACC Decision No.				
Reason for Loan	PLANT EXPANSION			
Dollar Amount Issued	\$ 11,000	\$	\$	\$
Amount Outstanding	\$ 0	\$	\$	\$
Date of Maturity				
Interest Rate	1%	%	%	%
Current Year Interest	\$ (18)	\$	\$	\$
Current Year Principle	\$ 756	\$	\$	\$

Meter Deposit Balance at Test Year End \$ 2,005

Meter Deposits Refunded During the Test Year \$ 535

COMPANY NAME

Name of System: Oak Creek Utility Corporation **ADEQ Public Water System Number:** 04-03-004

WATER COMPANY PLANT DESCRIPTION**WELLS**

ADWR ID Number*	Pump Horsepower	Pump Yield (gpm)	Casing Depth (Feet)	Casing Diameter (Inches)	Meter Size (inches)	Year Drilled
55-802265	5 HP	50 gpm	40'	8"	2"	1963

* Arizona Department of Water Resources Identification Number

OTHER WATER SOURCES

Name or Description	Capacity (gpm)	Gallons Purchased or Obtained (in thousands)

BOOSTER PUMPS		FIRE HYDRANTS	
Horsepower	Quantity	Quantity Standard	Quantity Other

STORAGE TANKS		PRESSURE TANKS	
Capacity	Quantity	Capacity	Quantity
		2,000 gals.	1

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME

Name of System: Oak Creek Utility Corporation **ADEQ Public Water System Number:** 04-03-004

WATER COMPANY PLANT DESCRIPTION (CONTINUED)

MAINS

Size (in inches)	Material	Length (in feet)
2		
3		
4	pvc	2,500 est.
5		
6		
8		
10		
12		

CUSTOMER METERS

Size (in inches)	Quantity
5/8 X 3/4	31
3/4	
1	1
1 1/2	
2	
Comp. 3	
Turbo 3	
Comp. 4	
Turbo 4	
Comp. 6	
Turbo 6	

For the following three items, list the utility owned assets in each category for each system.

TREATMENT EQUIPMENT:

NONE

STRUCTURES:

NONE

OTHER:

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME:	
Name of System:	ADEQ Public Water System Number:

UTILITY SHUTOFFS / DISCONNECTS

MONTH	Termination without Notice R14-2-410.B	Termination with Notice R14-2-410.C	OTHER
JANUARY	0	0	0
FEBRUARY	0	0	0
MARCH	0	0	0
APRIL	0	0	0
MAY	0	0	0
JUNE	0	0	0
JULY	0	0	0
AUGUST	0	0	0
SEPTEMBER	0	0	0
OCTOBER	0	0	0
NOVEMBER	0	0	0
DECEMBER	0	0	0
TOTALS →	0	0	0

OTHER (description):

COMPANY NAME
Name of System: Oak Creek Utility Corporation Wastewater Inventory Number (if applicable): 04-03-004

WASTEWATER COMPANY PLANT DESCRIPTION
TREATMENT FACILITY

TYPE OF TREATMENT (Extended Aeration, Step Aeration, Oxidation Ditch, Aerobic Lagoon, Anaerobic Lagoon, Trickling Filter, Septic Tank, Wetland, Etc.)	
DESIGN CAPACITY OF PLANT (Gallons Per Day)	

LIFT STATION FACILITIES

Location	Quantity of Pumps	Horsepower Per Pump	Capacity Per Pump (GPM)	Wet Well Capacity (gals)
Indian Springs Terrace	2	1/2 HP	50	5,000 (gals)
Sub-Division, Oak Creek, Sedona, AZ				

FORCE MAINS

Size	Material	Length (Feet)
4-inch	pvc	1,500
6-inch		

MANHOLES

Type	Quantity
Standard	16
Drop	

CLEANOUTS

Quantity

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME
Name of System: Oak Creek Utility Corporation Wastewater Inventory Number (if applicable): 04-03-004

WASTEWATER COMPANY PLANT DESCRIPTION (CONTINUED)

COLLECTION MAINS

SERVICES

Size (in inches)	Material	Length (in feet)
4	A/C	2,500
6		
8		
10		
12		
15		
18		
21		
24		
30		

Size (in inches)	Material	Quantity
4		
6		
8		
12		
15		

FOR THE FOLLOWING FIVE ITEMS, LIST THE UTILITY OWNED ASSETS IN EACH CATEGORY PER WASTEWATER SYSTEM

SOLIDS PROCESSING AND HANDLING FACILITIES	10,000 gal. Septic Tank -- 5,000 gal. Wet Well 10,000 sq. ft. Leach Field - 2 - 1/2 HP Pumps
DISINFECTION EQUIPMENT (Chlorinator, Ultra-Violet, Etc.)	N/A
FILTRATION EQUIPMENT (Rapid Sand, Slow Sand, Activated Carbon, Etc.)	N/A
STRUCTURES (Buildings, Fences, Etc.)	N/A
OTHER (Laboratory Equipment, Tools, Vehicles, Standby Power Generators, Etc.)	N/A

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME	
Name of System: Oak Creek Utility Corporation	Wastewater Inventory Number (if applicable): 04-03-004

WASTEWATER FLOWS

MONTH/YEAR (Most Recent 12 Months)	NUMBER OF SERVICES	TOTAL MONTHLY SEWAGE FLOW	SEWAGE FLOW ON PEAK DAY
January	29	49,700	1,000
February	29	47,400	1,000
March	29	53,700	1,000
April	29	70,500	1,000
May	29	115,500	1,000
June	29	112,600	1,000
July	29	120,100	1,000
August	29	135,300	1,000
September	29	90,700	1,000
October	29	93,100	1,000
November	29	90,100	1,000
December	29	64,600	1,000

**PROVIDE THE FOLLOWING INFORMATION AS APPLICABLE
PER WASTEWATER SYSTEM**

Method of Effluent Disposal (leach field, surface water discharge, reuse, injection wells, groundwater recharge, evaporation ponds, etc.)	Leach Field
Groundwater Permit Number	N/A
ADEQ Aquifer Protection Permit Number	N/A
ADEQ Reuse Permit Number	N/A
EPA NPDES Permit Number	N/A

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME:	
Name of System:	Wastewater Inventory Number (if applicable):

UTILITY SHUTOFFS / DISCONNECTS

MONTH	Termination without Notice R14-2- 609.B	Termination with Notice R14-2- 609.C	OTHER
JANUARY	NONE	NONE	
FEBRUARY	↓	↓	
MARCH	↓	↓	
APRIL	↓	↓	
MAY	↓	↓	
JUNE	↓	↓	
JULY	↓	↓	
AUGUST	↓	↓	
SEPTEMBER	↓	↓	
OCTOBER	↓	↓	
NOVEMBER	↓	↓	
DECEMBER	↓	↓	
TOTALS →	NONE	NONE	

OTHER (description):

PROPERTY TAXES

Amount of actual property taxes paid during Calendar Year 2013 was: \$ 2,040.39

Attach to this annual report proof (e.g. property tax bills stamped "paid in full" or copies of cancelled checks for property tax payments) of any and all property taxes paid during the calendar year.

If no property taxes paid, explain why. _____

VERIFICATION
AND
SWORN STATEMENT
Taxes

RECEIVED

APR 28 2014

AZ CORP COMM
Director - Utilities

VERIFICATION

STATE OF ARIZONA

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME) COCONINO
NAME (OWNER OR OFFICIAL) TITLE JUDY LOPEZ, AGENT
COMPANY NAME OAK CREEK UTILITY CORPORATION

DO SAY THAT THIS ANNUAL UTILITY PROPERTY TAX AND SALES TAX REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2013

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

I HEREBY ATTEST THAT ALL PROPERTY TAXES FOR SAID COMPANY ARE CURRENT AND PAID IN FULL.

I HEREBY ATTEST THAT ALL SALES TAXES FOR SAID COMPANY ARE CURRENT AND PAID IN FULL.

Judy A. Lopez

 SIGNATURE OF OWNER OR OFFICIAL
 (480) 677-6080

 TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

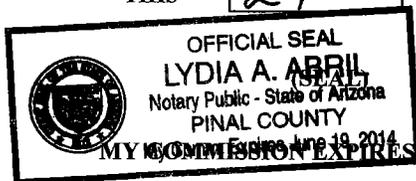
A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS

24

DAY OF

COUNTY NAME	<u>Pinal</u>	
MONTH	<u>April</u>	<u>2014</u>



June 19, 2014

Lydia A. Abril

 SIGNATURE OF NOTARY PUBLIC

COMPANY NAME OAK CREEK UTILITY CORPORATION YEAR ENDING 12/31/2013

INCOME TAXES

For this reporting period, provide the following:

Federal Taxable Income Reported	<u>0</u>
Estimated or Actual Federal Tax Liability	<u>0</u>
State Taxable Income Reported	<u>0</u>
Estimated or Actual State Tax Liability	<u>0</u>

Amount of Grossed-Up Contributions/Advances:

Amount of Contributions/Advances	<u>N/A</u>
Amount of Gross-Up Tax Collected	<u>N/A</u>
Total Grossed-Up Contributions/Advances	<u>N/A</u>

Decision No. 55774 states, in part, that the utility will refund any excess gross-up funds collected at the close of the tax year when tax returns are completed. Pursuant to this Decision, if gross-up tax refunds are due to any Payer or if any gross-up tax refunds have already been made, attach the following information by Payer: name and amount of contribution/advance, the amount of gross-up tax collected, the amount of refund due to each Payer, and the date the Utility expects to make or has made the refund to the Payer.

CERTIFICATION

The undersigned hereby certifies that the Utility has refunded to Payers all gross-up tax refunds reported in the prior year's annual report. This certification is to be signed by the President or Chief Executive Officer, if a corporation; the managing general partner, if a partnership; the managing member, if a limited liability company or the sole proprietor, if a sole proprietorship.

SIGNATURE

DATE

PRINTED NAME

TITLE

**VERIFICATION
AND
SWORN STATEMENT (SEWER)
Intrastate Revenues Only**

RECEIVED

APR 28 2014

AZ CORP COMM
Director - Utilities

VERIFICATION

STATE OF ARIZONA

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME) COCONINO
NAME (OWNER OR OFFICIAL) TITLE JUDY LOPEZ, AGENT
COMPANY NAME OAK CREEK UTILITY CORPORATION

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2013

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2013 WAS:

Arizona Intrastate Gross Operating Revenues Only (\$)
\$ <u>9,710</u>

**(THE AMOUNT IN BOX ABOVE
INCLUDES \$ 696
IN SALES TAXES BILLED, OR COLLECTED)**

****REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED OR COLLECTED. IF FOR ANY OTHER REASON, THE REVENUE REPORTED ABOVE DOES NOT AGREE WITH TOTAL OPERATING REVENUES ELSEWHERE REPORTED, ATTACH THOSE STATEMENTS THAT RECONCILE THE DIFFERENCE. (EXPLAIN IN DETAIL)**

Judy A. Lopez

SIGNATURE OF OWNER OR OFFICIAL
(480) 677-6080

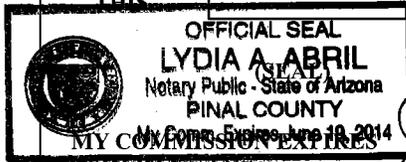
TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

COUNTY NAME <u>Pinal</u>
MONTH <u>April</u> YEAR <u>2014</u>

THIS 23 **DAY OF**



Lydia A. Abril

SIGNATURE OF NOTARY PUBLIC

June 19, 2014

See Independent Accountants' Compilation Report

**VERIFICATION
AND
SWORN STATEMENT
RESIDENTIAL REVENUE (SEWER)
Intrastate Revenues Only**

RECEIVED
APR 28 2014
AZ CORP COMM
Director - Utilities

VERIFICATION

STATE OF ARIZONA

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME) COCONINO	
NAME (OWNER OR OFFICIAL) JUDY LOPEZ	TITLE AGENT
COMPANY NAME OAK CREEK UTILITY CORPORATION	

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2013

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2013 WAS:

ARIZONA INTRASTATE GROSS OPERATING REVENUES
\$ <u>9,710</u>

THE AMOUNT IN BOX AT LEFT INCLUDES \$ 696 IN SALES TAXES BILLED, OR COLLECTED)

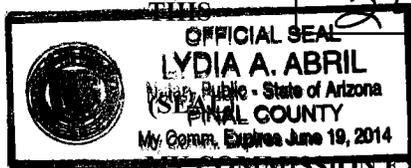
***RESIDENTIAL REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED.**

Judy A. Lopez
SIGNATURE OF OWNER OR OFFICIAL
(480) 677-6080
TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME
A NOTARY PUBLIC IN AND FOR THE COUNTY OF

NOTARY PUBLIC NAME <u>Lydia A Abril</u>	
COUNTY NAME <u>Pinal</u>	
MONTH <u>April</u>	YEAR <u>2014</u>

THIS 24 DAY OF



MY COMMISSION EXPIRES June 19, 2014

Lydia A Abril
SIGNATURE OF NOTARY PUBLIC

**VERIFICATION
AND
SWORN STATEMENT (WATER)
Intrastate Revenues Only**

RECEIVED

APR 28 2014

AZ CORP COMM
Director - Utilities

**VERIFICATION
STATE OF ARIZONA
I, THE UNDERSIGNED
OF THE**

COUNTY OF (COUNTY NAME) COCONINO
NAME (OWNER OR OFFICIAL) TITLE JUDY LOPEZ
COMPANY NAME OAK CREEK UTILITY CORPORATION

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2013

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2013 WAS:

Arizona Intrastate Gross Operating Revenues Only (\$)
\$ <u>16,350</u>

**(THE AMOUNT IN BOX ABOVE
INCLUDES \$ 1,058
IN SALES TAXES BILLED, OR COLLECTED)**

****REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED OR COLLECTED. IF FOR ANY OTHER REASON, THE REVENUE REPORTED ABOVE DOES NOT AGREE WITH TOTAL OPERATING REVENUES ELSEWHERE REPORTED, ATTACH THOSE STATEMENTS THAT RECONCILE THE DIFFERENCE. (EXPLAIN IN DETAIL)**

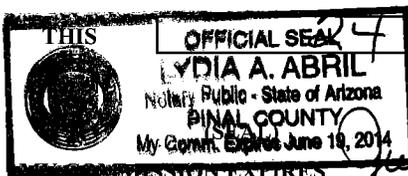
Judy A. Lopez
SIGNATURE OF OWNER OR OFFICIAL

(480) 677-6080
TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

COUNTY NAME	<i>Pinal</i>
MONTH	<i>April</i> , 2014



Lydia A. Abril
SIGNATURE OF NOTARY PUBLIC

June 19, 2014

See Independent Accountants' Compilation Report

RECEIVED

VERIFICATION AND SWORN STATEMENT RESIDENTIAL REVENUE (WATER) INTRASTATE REVENUES ONLY

APR 28 2014

AZ CORP COMM Director - Utilities

VERIFICATION STATE OF ARIZONA

I, THE UNDERSIGNED OF THE

Form with fields: COUNTY OF (COUNTY NAME) COCONINO, NAME (OWNER OR OFFICIAL) JUDY LOPEZ, TITLE AGENT, COMPANY NAME OAK CREEK UTILITY CORPORATION

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

Table with columns: MONTH, DAY, YEAR. Values: 12, 31, 2013

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2013 WAS:

ARIZONA INTRASTATE GROSS OPERATING REVENUES \$ 16,350

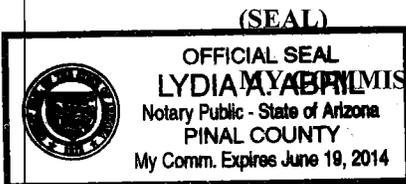
(THE AMOUNT IN BOX AT LEFT INCLUDES \$ 1,058 IN SALES TAXES BILLED, OR COLLECTED

*RESIDENTIAL REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED.

Handwritten signature of Judy A. Lopez, SIGNATURE OF OWNER OR OFFICIAL, (480) 677-6980 TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME A NOTARY PUBLIC IN AND FOR THE COUNTY OF THIS 24 DAY OF

NOTARY PUBLIC NAME Lydia A Abril, COUNTY NAME Pinal, MONTH April, 2014



MISSION EXPIRES June 19, 2014 Handwritten signature of Lydia A. Abril, SIGNATURE OF NOTARY PUBLIC

See Independent Accountants' Compilation Report