ARIZONA CORPORATION COMMISSION
UTILITIES DIVISION

ANNUAL REPORT MAILING LABEL – MAKE CHANGES AS NECESSARY

L

W-02245A
Loma Estates Water Co.
11620 Bella Sierra Trl
Prescott, AZ 86305

RECEIVED

ACC UTILITIES DIRECTOR

ANNUAL REPORT
Water

FOR YEAR ENDING

12	31	2009

FOR COMMISSION USE

ANN 04	09

4-26-10

COMPANY INFORMATION

Company Name (Business Name) Loma Estates Water Co. LLC.

Mailing Address 11620 Bella Sierra Trail
(Street)

Prescott — AZ — 86305
(City) (State) (Zip)

928-541-1231 — 928-713-6836
Telephone No. (Include Area Code) Fax No. (Include Area Code) Cell No. (Include Area Code)

Email Address

Local Office Mailing Address same
(Street)

(City) (State) (Zip)

Local Office Telephone No. (Include Area Code) Fax No. (Include Area Code) Cell No. (Include Area Code)

Email Address

MANAGEMENT INFORMATION

☐ **Regulatory Contact:**

☒ **Management Contact:** Jule Baker — Co-owner
(Name) (Title)

same
(Street) (City) (State) (Zip)

Telephone No. (Include Area Code) Fax No. (Include Area Code) Cell No. (Include Area Code)

Email Address

On Site Manager:
(Name)

(Street) (City) (State) (Zip)

Telephone No. (Include Area Code) Fax No. (Include Area Code) Cell No. (Include Area Code)

Email Address

Statutory Agent: Julie Baker
(Name)

SAA
(Street) (City) (State) (Zip)

Telephone No. (Include Area Code) Fax No. (Include Area Code Cell No. (Include Area Code)

Attorney: n/a
(Name)

(Street) (City) (State) (Zip)

Telephone No. (Include Area Code) Fax No. (Include Area Code) Cell No. (Include Area Code)

Email Address

OWNERSHIP INFORMATION

Check the following box that applies to your company:

- ☐ **Sole Proprietor (S)**
- ☐ **Partnership (P)**
- ☐ **Bankruptcy (B)**
- ☐ **Receivership (R)**
- ☐ **Other** (Describe)
- ☐ **C Corporation (C) (Other than Association/Co-op)**
- ☐ **Subchapter S Corporation (Z)**
- ☐ **Association/Co-op (A)**
- ☒ **Limited Liability Company**

COUNTIES SERVED

Check the box below for the county/ies in which you are certificated to provide service:

☐ **APACHE**	☐ **COCHISE**	☐ **COCONINO**
☐ **GILA**	☐ **GRAHAM**	☐ **GREENLEE**
☐ **LA PAZ**	☐ **MARICOPA**	☐ **MOHAVE**
☐ **NAVAJO**	☐ **PIMA**	☐ **PINAL**
☐ **SANTA CRUZ**	☒ **YAVAPAI**	☐ **YUMA**
☐ **STATEWIDE**		

COMPANY NAME Lona Estates Water Co. LLC

UTILITY PLANT IN SERVICE

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
301	Organization			
302	Franchises			
303	Land and Land Rights			
304	Structures and Improvements	1378.96 ~~1000.00~~	306.53	1072.43
307	Wells and Springs			
311	Pumping Equipment	3000.00	840.00	2160.00
320	Water Treatment Equipment			
330	Distribution Reservoirs and Standpipes	2000.00	560.00	1440.00
331	Transmission and Distribution Mains	1000.00	280.00	720.00
333	Services			
334	Meters and Meter Installations	1710.00	464.10	1245.90
335	Hydrants			
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment	2530.65	531.45	1999.20
340	Office Furniture and Equipment	430.40	60.26	370.14
341	Transportation Equipment			
343	Tools, Shop and Garage Equipment			
344	Laboratory Equipment			
345	Power Operated Equipment			
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	TOTALS	12050.01	3042.34	9007.67

This amount goes on the Balance Sheet Acct. No. 108

COMPANY NAME Lona Estates Water Co, LLC

CALCULATION OF DEPRECIATION EXPENSE FOR CURRENT YEAR

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1x2)
301	Organization			
302	Franchises			
303	Land and Land Rights			
304	Structures and Improvements	1378.96	.07	96.53
307	Wells and Springs			
311	Pumping Equipment	3000.00	.07	210.00
320	Water Treatment Equipment			
330	Distribution Reservoirs and Standpipes	2000.00	.07	140.00
331	Transmission and Distribution Mains	1000.00	.07	70.00
333	Services			
334	Meters and Meter Installations	1710.00	.07	119.70
335	Hydrants			
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment	2530.65	.07	177.15
340	Office Furniture and Equipment	430.40	.07	30.13
341	Transportation Equipment			
343	Tools, Shop and Garage Equipment			
344	Laboratory Equipment			
345	Power Operated Equipment			
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	TOTALS	12050.01	.07	843.50

This amount goes on the Comparative Statement of Income and Expense Acct. No. 403.

COMPANY NAME Loma Estates Water Co, LLC

BALANCE SHEET

Acct No.	ASSETS	BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	CURRENT AND ACCRUED ASSETS		
131	Cash	$ 619.89	$ 715.23
134	Working Funds		
135	Temporary Cash Investments		
141	Customer Accounts Receivable		
146	Notes/Receivables from Associated Companies		
151	Plant Material and Supplies		
162	Prepayments		128.47
174	Miscellaneous Current and Accrued Assets		
	TOTAL CURRENT AND ACCRUED ASSETS	$ 619.89	$ 843.70
	FIXED ASSETS		
101	Utility Plant in Service	$ 9164.58	$ 9007.67
103	Property Held for Future Use	Ø	Ø
105	Construction Work in Progress	Ø	Ø
108	Accumulated Depreciation – Utility Plant	2506.47	3042.34
121	Non-Utility Property	Ø	Ø
122	Accumulated Depreciation – Non Utility	Ø	Ø
	TOTAL FIXED ASSETS	$ 11671.05	$ 12050.01
	TOTAL ASSETS	$ 12290.94	$ 12893.71

NOTE: The Assets on this page should be equal to **Total Liabilities and Capital** on the following page.

COMPANY NAME	Loma Estates Water Co, LLC

BALANCE SHEET (CONTINUED)

Acct. No.	LIABILITIES	BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	CURRENT LIABILITES		
231	Accounts Payable	$ 13141.96	$ 11240.34
232	Notes Payable (Current Portion)		
234	Notes/Accounts Payable to Associated Companies		
235	Customer Deposits		
236	Accrued Taxes		
237	Accrued Interest		
241	Miscellaneous Current and Accrued Liabilities		
	TOTAL CURRENT LIABILITIES	$ 13141.96	$ 11240.34
	LONG-TERM DEBT (Over 12 Months)		
224	Long-Term Notes and Bonds	$	$ Ø
	DEFERRED CREDITS		
251	Unamortized Premium on Debt	$	$
252	Advances in Aid of Construction		
255	Accumulated Deferred Investment Tax Credits		
271	Contributions in Aid of Construction		
272	Less: Amortization of Contributions		
281	Accumulated Deferred Income Tax		
	TOTAL DEFERRED CREDITS	$	$
	TOTAL LIABILITIES	$ 13141.96	$ 11240.34
	CAPITAL ACCOUNTS		
201	Common Stock Issued	$	$
211	Paid in Capital in Excess of Par Value		
215	Retained Earnings		
218	Proprietary Capital (Sole Props and Partnerships)		
	TOTAL CAPITAL	$	$
	TOTAL LIABILITIES AND CAPITAL	$ 13141.96	$ 11240.34

COMPANY NAME

COMPARATIVE STATEMENT OF INCOME AND EXPENSE

Acct. No.	OPERATING REVENUES	PRIOR YEAR	CURRENT YEAR
461	Metered Water Revenue	$ 12317.76	$ 11775.05
460	Unmetered Water Revenue	Ø	Ø
474	Other Water Revenues	Ø	Ø
	TOTAL REVENUES	$ 12317.76	$ 11775.05
	OPERATING EXPENSES		
601	Salaries and Wages	$ ~~9B~~ 7400.00	$ 8198.37
610	Purchased Water	Ø	Ø
615	Purchased Power	737.50	723.76
618	Chemicals	Ø	Ø
620	Repairs and Maintenance	136.09	483.10
621	Office Supplies and Expense	774.69	555.98
630	Outside Services	3586.63	320.00
635	Water Testing	940.00	972.00
641	Rents	Ø	Ø
650	Transportation Expenses	Ø	Ø
657	Insurance – General Liability	869.00	550.01
659	Insurance - Health and Life	Ø	Ø
666	Regulatory Commission Expense – Rate Case	Ø	Ø
675	Miscellaneous Expense	144.00	204.00
403	Depreciation Expense	921.97	843.50
408	Taxes Other Than Income	Ø	936.18
408.11	Property Taxes	524.51	522.55
409	Income Tax	Ø	Ø
	TOTAL OPERATING EXPENSES	$ 17804.39	$ 14109.05
	OPERATING INCOME/(LOSS)	$ (4486.63)	$ (2334.00)
	OTHER INCOME/(EXPENSE)		
419	Interest and Dividend Income	$ 33.17	$ .24
421	Non-Utility Income	Ø	Ø
426	Miscellaneous Non-Utility Expenses	Ø	Ø
427	Interest Expense	Ø	Ø
	TOTAL OTHER INCOME/(EXPENSE)	$ 33.17	$
	NET INCOME/(LOSS)	$ (4453.46)	$ (2333.76)

COMPANY NAME	Lona Estates Water Co. LLC

SUPPLEMENTAL FINANCIAL DATA
Long-Term Debt

	LOAN #1	LOAN #2	LOAN #3	LOAN #4
Date Issued				
Source of Loan				
ACC Decision No.				
Reason for Loan				
Dollar Amount Issued	$	$	$	$
Amount Outstanding	$	$	$	$
Date of Maturity				
Interest Rate	%	%	%	%
Current Year Interest	$	$	$	$
Current Year Principle	$	$	$	$

Meter Deposit Balance at Test Year End $ Ø

Meter Deposits Refunded During the Test Year $ Ø

COMPANY NAME	Loma Estates Water Co. LLC
Name of System: W-02245A	ADEQ Public Water System Number: N/A

WATER COMPANY PLANT DESCRIPTION

WELLS

ADWR ID Number*	Pump Horsepower	Pump Yield (gpm)	Casing Depth (Feet)	Casing Diameter (Inches)	Meter Size (inches)	Year Drilled
806068IL	3/4	25		6	1	1973

* Arizona Department of Water Resources Identification Number

OTHER WATER SOURCES

Name or Description	Capacity (gpm)	Gallons Purchased or Obtained (in thousands)
N/A		

BOOSTER PUMPS		FIRE HYDRANTS	
Horsepower	Quantity	Quantity Standard	Quantity Other
1 1/2	1	Ø	Ø

STORAGE TANKS		PRESSURE TANKS	
Capacity	Quantity	Capacity	Quantity
10,000	2	50	1

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME	Loma Estates Water Co, LLC
Name of System: W-02245A	ADEQ Public Water System Number: N/A

WATER COMPANY PLANT DESCRIPTION (CONTINUED)

MAINS

Size (in inches)	Material	Length (in feet)
2		
3		
4	Transit	2,000
5		
6	Transit	2,000
8		
10		
12		

CUSTOMER METERS

Size (in inches)	Quantity
5/8 X ¾	32
3/4	
1	
1 1/2	
2	
Comp. 3	
Turbo 3	
Comp. 4	
Turbo 4	
Comp. 6	
Turbo 6	

For the following three items, list the utility owned assets in each category for each system.

TREATMENT EQUIPMENT:

STRUCTURES:

4 x 4 x 6 cover over pump
8 x 4 x 4 1/2 cover over well
10 x 10 x 8 ashed

OTHER:

IR transmitter, receiver + water level switch

Note: If you are filing for more than one system, please provide separate sheets for each system.

COMPANY NAME: Lona Estates Water Co, LLC	
Name of System: WC2245A	ADEQ Public Water System Number: N/A

WATER USE DATA SHEET BY MONTH FOR CALENDAR YEAR 2009

MONTH	NUMBER OF CUSTOMERS	GALLONS SOLD (Thousands)	GALLONS PUMPED (Thousands)	GALLONS PURCHASED (Thousands)
JANUARY	32	~~59~~	44	0
FEBRUARY	32	21	24	
MARCH	32	29	33	
APRIL	32	60	55	
MAY	32	64	72	
JUNE	32	73	80	
JULY	32	99	99	
AUGUST	32	95	88	
SEPTEMBER	32	74	75	
OCTOBER	32	83	90	
NOVEMBER	32	53	48	
DECEMBER	32	43	51	
	TOTALS →	753	759	0

What is the level of arsenic for each well on your system? N/A mg/l
(If more than one well, please list each separately.)

If system has fire hydrants, what is the fire flow requirement? N/A GPM for ____hrs

If system has chlorination treatment, does this treatment system chlorinate continuously?
( ) Yes ( ) No

Is the Water Utility located in an ADWR Active Management Area (AMA)?
(X) Yes ( ) No

Does the Company have an ADWR Gallons Per Capita Per Day (GPCPD) requirement?
( ) Yes (X) No

If yes, provide the GPCPD amount:_____

Note: If you are filing for more than one system, please provide separate data sheets for each system.

COMPANY NAME: Loma Estates Water Co, LLC	
Name of System: WC22495A	ADEQ Public Water System Number: N/A

UTILITY SHUTOFFS / DISCONNECTS

MONTH		Termination without Notice R14-2-410.B	Termination with Notice R14-2-410.C	OTHER
JANUARY		NONE	NONE	
FEBRUARY		"	"	
MARCH		"	"	
APRIL		"	"	
MAY		"	"	
JUNE		"	"	
JULY		"	"	
AUGUST		"	"	
SEPTEMBER		"	"	
OCTOBER		"	"	
NOVEMBER		"	"	
DECEMBER		"	"	
TOTALS →		NONE	NONE	

OTHER (description):

COMPANY NAME Loma Estates Water Co. LLC **YEAR ENDING 12/31/2009**

PROPERTY TAXES

Amount of actual property taxes paid during Calendar Year 2009 was: $ 522.55

Attach to this annual report proof (e.g. property tax bills stamped "paid in full" or copies of cancelled checks for property tax payments) of any and all property taxes paid during the calendar year.

If no property taxes paid, explain why.

VERIFICATION
AND
SWORN STATEMENT
Taxes

RECEIVED

VERIFICATION

STATE OF AZ

COUNTY OF (COUNTY NAME): Yavapai

I, THE UNDERSIGNED

NAME (OWNER OR OFFICIAL) TITLE: Mark Baker, Co-Owner ACC UTILITIES DIRECTOR

OF THE

COMPANY NAME: Loma Estates Water Co. LLC

DO SAY THAT THIS ANNUAL UTILITY PROPERTY TAX AND SALES TAX REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2009

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

I HEREBY ATTEST THAT ALL PROPERTY TAXES FOR SAID COMPANY ARE CURRENT AND PAID IN FULL.

I HEREBY ATTEST THAT ALL SALES TAXES FOR SAID COMPANY ARE CURRENT AND PAID IN FULL.

SIGNATURE OF OWNER OR OFFICIAL

TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

COUNTY NAME: YAVAPAI

THIS 15th DAY OF APRIL

MONTH: APRIL 20 10

OFFICIAL SEAL
YOLANDA Y. PADRNOS
NOTARY PUBLIC - State of Arizona
YAVAPAI COUNTY
My Comm. Expires Jan. 27, 2013

(SEAL)

SIGNATURE OF NOTARY PUBLIC

MY COMMISSION EXPIRES 01-27-2013

COMPANY NAME Long Estates Water Co LLC **YEAR ENDING 12/31/2009**

INCOME TAXES

For this reporting period, provide the following:

Federal Taxable Income Reported	(2075.00)
Estimated or Actual Federal Tax Liability	0
State Taxable Income Reported	(2075.00)
Estimated or Actual State Tax Liability	0

Amount of Grossed-Up Contributions/Advances:

Amount of Contributions/Advances	0
Amount of Gross-Up Tax Collected	0
Total Grossed-Up Contributions/Advances	0

Decision No. 55774 states, in part, that the utility will refund any excess gross-up funds collected at the close of the tax year when tax returns are completed. Pursuant to this Decision, if gross-up tax refunds are due to any Payer or if any gross-up tax refunds have already been made, attach the following information by Payer: name and amount of contribution/advance, the amount of gross-up tax collected, the amount of refund due to each Payer, and the date the Utility expects to make or has made the refund to the Payer.

CERTIFICATION

The undersigned hereby certifies that the Utility has refunded to Payers all gross-up tax refunds reported in the prior year's annual report. This certification is to be signed by the President or Chief Executive Officer, if a corporation; the managing general partner, if a partnership; the managing member, if a limited liability company or the sole proprietor, if a sole proprietorship.

Mark Baker	4/15/10
SIGNATURE	**DATE**
Mark Baker	
PRINTED NAME	**TITLE**

VERIFICATION
AND
SWORN STATEMENT
Intrastate Revenues Only

RECEIVED

ACC UTILITIES DIRECTOR

VERIFICATION

STATE OF AZ

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME)	Yavapai
NAME (OWNER OR OFFICIAL) TITLE	Mark Baker, Co-Owner
COMPANY NAME	Loma Estates Water Co, LLC

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2009

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2009 WAS:

Arizona Intrastate Gross Operating Revenues Only ($)
$ 12522.77

(THE AMOUNT IN BOX ABOVE INCLUDES $ 747.72 **IN SALES TAXES BILLED, OR COLLECTED)**

****REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED OR COLLECTED. IF FOR ANY OTHER REASON, THE REVENUE REPORTED ABOVE DOES NOT AGREE WITH TOTAL OPERATING REVENUES ELSEWHERE REPORTED, ATTACH THOSE STATEMENTS THAT RECONCILE THE DIFFERENCE. (EXPLAIN IN DETAIL)**

SIGNATURE OF OWNER OR OFFICIAL

928 541 1231
TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS 15th **DAY OF**

COUNTY NAME YAVAPAI	
MONTH APRIL	2010

OFFICIAL SEAL
YOLANDA Y. PADRNOS
NOTARY PUBLIC - State of Arizona
YAVAPAI COUNTY
My Comm. Expires Jan. 27, 2013

MY COMMISSION EXPIRES 01.27.2013

SIGNATURE OF NOTARY PUBLIC

OFFICIAL SEAL
YOLANDA Y. PADRNOS
NOTARY PUBLIC - State of Arizona
YAVAPAI COUNTY
My Comm. Expires Jan. 27, 2013

VERIFICATION
AND
SWORN STATEMENT
RESIDENTIAL REVENUE
Intrastate Revenues Only

RECEIVED

ACC UTILITIES DIRECTOR

VERIFICATION

STATE OF ARIZONA

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME) Yavapai	
NAME (OWNER OR OFFICIAL) Mark Baker	TITLE Co-Owner
COMPANY NAME Lona Estates Water Co. LLC	

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2009

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2009 WAS:

ARIZONA INTRASTATE GROSS OPERATING REVENUES
$ 12522.77

THE AMOUNT IN BOX AT LEFT INCLUDES $ 747.72 IN SALES TAXES BILLED, OR COLLECTED)

***RESIDENTIAL REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED.**

SIGNATURE OF OWNER OR OFFICIAL

928 541 1231
TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS 15th **DAY OF**

NOTARY PUBLIC NAME YOLANDA Y. PADRNOS	
COUNTY NAME YAVAPAI	
MONTH APRIL	20 10

OFFICIAL SEAL
YOLANDA Y. PADRNOS
NOTARY PUBLIC - State of Arizona
YAVAPAI COUNTY
My Comm. Expires Jan. 27, 2013

(SEAL)

MY COMMISSION EXPIRES 01.27.2013

SIGNATURE OF NOTARY PUBLIC