

NEW ORIGINAL



BEFORE THE ARIZONA CORPORATION COMMISSION

COMMISSIONERS

MARC SPITZER, Chairman
WILLIAM A. MUNDELL
JEFF HATCH-MILLER
MIKE GLEASON
KRISTIN K. MAYES

Arizona Corporation Commission
DOCKETED

MAY 07 2004

DOCKETED BY [Signature]

ARIZONA CORPORATION COMMISSION
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In the matter of:

LONZO ARCHER, 1512 Plymouth Road
N., Brunswick, NJ 08902; CRD No.
1979672

Respondent.

Docket No. S-3557A-04-0000

NOTICE OF OPPORTUNITY FOR
HEARING REGARDING PROPOSED
ORDER OF REVOCATION AND FOR
OTHER AFFIRMATIVE RELIEF

RESPONDENT HAS 10 DAYS TO REQUEST A HEARING

RESPONDENT HAS 30 DAYS TO FILE AN ANSWER

The Securities Division ("Division") of the Arizona Corporation Commission ("Commission") alleges that respondent LONZO ARCHER has engaged in acts and practices that constitute violations of A.R.S. § 44-1801, *et seq.*, the Arizona Securities Act ("Securities Act").

I. JURISDICTION

1. The Commission has jurisdiction over this matter pursuant to Article XV of the Arizona Constitution, and the Securities Act.

II. RESPONDENT

2. LONZO ARCHER ("RESPONDENT") is an individual whose last known address of record is 1512 PLYMOUTH ROAD N. BRUNSWICK, NJ 08902.

3. RESPONDENT is a registered securities salesman in the State of Arizona, National Association of Securities Dealers ("NASD") CRD No.1979672, in association with FIRST MONTAUK SECURITIES CORPORATION ("FIRST MONTAUK"), CRD No. 13755.

1 30 calendar days after the date of service of this NOTICE. A Docket Control cover sheet must
2 accompany the Answer. A cover sheet form and instructions may be obtained from Docket
3 Control by calling (602) 542-3477 or on the Commission's Internet web site at
4 www.cc.state.az.us/utility/forms/index.htm.

5 Additionally, RESPONDENT must serve the Answer upon the Division. Pursuant to
6 A.A.C. R14-4-303, service upon the Division may be made by mailing or by hand-delivering a
7 copy of the Answer to the Division at 1300 West Washington, 3rd Floor, Phoenix, Arizona, 85007,
8 addressed to Michelle M. Allen.

9 The Answer shall contain an admission or denial of each allegation in this NOTICE OF
10 OPPORTUNITY FOR HEARING and the original signature of the RESPONDENT or
11 RESPONDENT's attorney. A statement of a lack of sufficient knowledge or information shall be
12 considered a denial of an allegation. An allegation not denied shall be considered admitted.

13 When RESPONDENT intends in good faith to deny only a part or a qualification of an
14 allegation, RESPONDENT shall specify that part or qualification of the allegation and shall admit
15 the remainder. RESPONDENT waives any affirmative defense not raised in the answer.

16 The officer presiding over the hearing may grant relief from the requirement to file an
17 Answer for good cause shown.

18 DATED, this 6 day of May, 2004.

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23 _____
24 Matthew J. Neubert
25 Director of Securities
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