

**AFFIDAVIT OF ADVISER ACTIVITY IN ARIZONA**

I, \_\_\_\_\_, a principal with  
(Name of Principal)

\_\_\_\_\_ (the "IA Firm"), have  
(Name of Investment Adviser)

conducted a review of the IA Firm's records. The result of this review shows that investment  
adviser activity has / has not been conducted with Arizona residents prior to the IA Firm's  
**(circle one)**

licensure as an investment adviser with the state of Arizona.

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
(Signature of Principal)

State of \_\_\_\_\_  
County of \_\_\_\_\_

Subscribed and sworn to before me this  
\_\_\_\_\_ day of \_\_\_\_\_, 2\_\_\_\_\_.

Notary's Seal Here

\_\_\_\_\_  
Notary Public

My Commission Expires: \_\_\_\_\_