

**ARIZONA CORPORATION COMMISSION
AGENDA**

Open Meeting Date – Thursday, May 14, 2009 – 10:00 a.m.

1. Banc of America Securities LLC (S-20668A-09-0181) - Order to Cease and Desist, Administrative Penalties, and Consent to Same By: Respondents Banc of America Securities LLC and Banc of America Investment Services, Inc.
2. Bradley, Michael V. (S-20662A-09-0188) - Order to Cease and Desist, Restitution, Revocation and Denial, Administrative Penalties and Consent to Same
3. Knuckleball Capital Management, LLC (S-20649A-09-0013) - Order to Cease and Desist, Restitution, Administrative Penalties and Consent to Same By: Donald Robert Mattson (a/k/a Rob Mattson)
4. Knuckleball Capital Management, LLC (S-20649A-09-0013) - Default Order to Cease and Desist, Restitution and for Administrative Penalty Regarding Knuckleball Capital Management, LLC
5. Whispering Winds Properties, L.L.C. (S-20657A-09-0089) - Order to Cease and Desist, Restitution, Administrative Penalties Against: Dan Wise and Cynthia Wise, Whispering Winds Properties, L.L.C., LM Beagle Properties, L.L.C., Karlena, Inc., Axis International, Inc.
6. Woodbury Financial Services, Inc. (S-20671A-09-0191) - Proposed Order for Relief and Consent to Same
7. Union Pacific Railroad Company (RR-03639A-07-0517) – Application to Alter Four Crossings in the City of Maricopa, Pinal County, Arizona, at Maricopa Road/State Route 347, Porter, White & Parker, and Hartman Roads