Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2000 Federal Grant Fund

Cash Flow Summary	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Balance Forward from Prior Year	1,637.0	2,098.6	2,653.6
Revenue (From Revenue Schedule)	1,266.1	1,250.0	1,250.0
Total Available	2,903.1	3,348.6	3,903.6
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	804.5	695.0	695.0
Balance Forward to Next Year	2,098.6	2,653.6	3,208.6

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Apppropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	405.6	268.7	268.7
Employee Related Expenses	90.4	124.4	124.4
Prof. And Outside Services	2.1	5.0	5.0
Travel - In State	137.8	150.0	150.0
Travel - Out of State	40.0	45.0	45.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	125.5	101.9	101.9
Equipment	3.1	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	804.5	695.0	695.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	804.5	695.0	695.0
Non-Apppropriated FTE:	3.0	3.0	3.0

Fund Justification

Justification: The Commission receives federal funding through the US Department of Transportation for the purpose of inspecting and monitoring fuel pipelines throughout the state.

Fund Description

Source:	USDOT grants
Use:	To reimburse up to 50% of costs associated with the inspection of interstate pipelines transporting gas and hazardous liquids, and to conduct a pipeline safety program.
OSPB:	

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2025 Statewide Donations Fund

Cash Flow Summary	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Balance Forward from Prior Year	0.0	0.0	0.0
Total Available	0.0	0.0	0.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	0.0	0.0	0.0

Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Apppropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Apppropriated FTE:	0.0	0.0	0.0

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Justification

Justification:

Fund Description

Source:	In the past, this fund was used to hold receipts from a private grant. That has expired and the Commission doesn't envision a use for this fund going forward.
Use:	The now expired grant (FY 2011) was for the Securities Division and its investor advisory functions.
OSPB:	

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2076 Utility Siting Fund

Cash Flow Summary	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Balance Forward from Prior Year	48.8	12.2	12.2
Revenue (From Revenue Schedule)	13.8	0.0	0.0
Total Available	62.6	12.2	12.2
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	50.4	0.0	0.0
Balance Forward to Next Year	12.2	12.2	12.2

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Apppropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	12.9	0.0	0.0
Employee Related Expenses	0.4	0.0	0.0
Prof. And Outside Services	5.7	0.0	0.0
Travel - In State	14.7	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	16.7	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	50.4	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	50.4	0.0	0.0
Non-Apppropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification: The Commission receives funds from companies seeking to install or expand their utility lines. Each case requires an advanced deposit to offset travel and meeting expenses incurred by the Line Siting Committee members. Following the conclusion of the case, any remaining funds are returned to the applicant.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Description

Source:	Fees paid for applications to the Line Siting Committee for proposed and expanded power plants and transmission lines.
Use:	For costs incurred by the Line Siting Committee in connection with the activities of the Committee.
OSPB:	Funds come from fees paid for applications to the Line Siting Committee for proposed and expanded power plants and transmission lines. Funds are used for costs incurred by the Line Siting Committee in connection with the activities of the Committee.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2172 Utility Regulation Revolving Fund

Cash Flow Summary	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Balance Forward from Prior Year	5,235.2	4,594.9	2,656.0
Revenue (From Revenue Schedule)	13,040.4	13,350.0	13,350.0
Total Available	18,275.6	17,944.9	16,006.0
Total Appropriated Disbursements	13,680.7	15,288.9	14,227.3
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	4,594.9	2,656.0	1,778.7

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	7,387.6	9,320.9	9,320.9
Employee Related Expenses	2,897.3	3,338.2	3,338.2
Prof. And Outside Services	740.2	436.5	436.5
Travel - In State	61.7	76.5	76.5
Travel - Out of State	67.6	77.5	77.5
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	1,038.3	876.5	876.5
Equipment	579.7	67.9	67.9
Capital Outlay	40.0	0.0	33.3
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	403.1	0.0	0.0
Expenditure Categories Total:	13,215.5	14,194.0	14,227.3
Non-Lapsing Authority from Prior Years	467.1	1,000.0	0.0
Administrative Adjustments	(1.9)	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	94.9	0.0
Appropriated Expenditure Total:	13,680.7	15,288.9	14,227.3
Apppropriated FTE:	150.0	153.0	153.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Apppropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification: Annual assessments against public utilities regulated by the Commission, as defined in A.R.S. 40-401.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Description

Source:	Annual assessments against public utilities regulated by the Commission, as defined in A.R.S. 40-401.
Use:	For all expenses incurred by the Utilities Division, including attorneys and legal staff, auditors, economists, and other staff employed by the Division, and a portion of the expenses incurred by the Administration, Hearings and IT Divisions in support of the Utilities Division efforts.
OSPB:	Revenues consist of annual assessments against public utilities regulated by the Commission. Funds are used to conduct research and analysis and provide recommendations to the elected commissioners on all matters relating to the regulation of public service corporations.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2174 Pipeline Safety Revolving Fund

Cash Flow Summary	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Balance Forward from Prior Year	144.2	144.2	144.2
Total Available	144.2	144.2	144.2
Total Appropriated Disbursements	0.0	0.0	30.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	144.2	144.2	114.2

Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	30.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	30.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	30.0
Apppropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Apppropriated FTE:	0.0	0.0	0.0

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Justification

Justification:

Fund Description

Source:	Pursuant to FY 2004 Public Finance ORB, revenues that were previously deposited into the PSRF are now being deposited into the General Fund. Those revenues were from civil penalties and fines assessed with violating the pipeline safety rules concerning transporting gas and hazardous liquids.
Use:	For expenses associated with gas and hazardous liquids pipeline facilities safety, including public education, training, purchasing equipment, and inspections.
OSPB:	Revenues include monies collected from civil penalties assessed to enforce rules and regulations relating to pipeline safety. Funds are used for pipeline inspections, public education, training, and purchasing equipment.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2175 Residential Utility Consumer Office Revolving Fund

Cash Flow Summary	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Balance Forward from Prior Year	0.0	0.0	0.0
Revenue (From Revenue Schedule)	0.0	0.0	0.0
Total Available	0.0	0.0	0.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	0.0	0.0	0.0

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Apppropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Apppropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification:

Fund Description

Source:	Funds collected are received from the annual Utility companies' assessments as directed in A.R.S. 40-401.01
Use:	All funds collected are transferred to RUCO as revenue transfers.
OSPB:	This fund consists of annual residential consumer assessments against each qualifying public service corporation. The fund is used to pay for the operation of the Residential Utility Consumer Office.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2264 Security Regulatory and Enforcement Fund

Cash Flow Summary	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Balance Forward from Prior Year	1,119.6	1,191.9	1,158.6
Revenue (From Revenue Schedule)	4,734.5	4,787.5	5,710.8
Total Available	5,854.1	5,979.4	6,869.4
Total Appropriated Disbursements	4,662.2	4,820.8	5,710.8
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	1,191.9	1,158.6	1,158.6

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	2,874.0	3,003.5	3,103.5
Employee Related Expenses	1,169.3	1,142.4	1,182.4
Prof. And Outside Services	45.6	50.0	50.0
Travel - In State	28.3	15.0	15.0
Travel - Out of State	10.2	5.0	5.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	420.8	571.6	1,321.6
Equipment	110.2	0.0	0.0
Capital Outlay	0.0	0.0	33.3
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	4,658.4	4,787.5	5,710.8
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	3.8	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	33.3	0.0
Appropriated Expenditure Total:	4,662.2	4,820.8	5,710.8
Apppropriated FTE:	46.4	46.4	47.4

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Apppropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification:

Sources and Uses of Funds

Agency: **CCA Corporation Commission**

Fund Description

Source:	Registration fees for securities dealers and salesmen, and from registration fees for securities exchanges established in Arizona.
Use:	For education, regulatory, investigative and enforcement operations in the Securities Division. Administrative costs of the Commission are also allowed from this fund.
OSPB:	Revenues include part of a registration fee for each dealer and salesman, part of the fee for a salesman transferring registration from one registered dealer to another, and an exchange registration fee for each unit of a security exchanged. The Commission uses these monies for education, regulatory, investigative and enforcement operations in the securities division.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2321 Utility Surety Fund

Cash Flow Summary	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Balance Forward from Prior Year	0.1	0.2	0.2
Revenue (From Revenue Schedule)	0.1	0.0	0.0
Total Available	0.2	0.2	0.2
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	0.2	0.2	0.2

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Apppropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Apppropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification:

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Description

Source:	Funds are received from public utilities ordered to deposit funds pursuant to Commission orders as penalties for violations. A.R.S.40-321.
Use:	Monies in the utility surety fund shall be administered by the commission for the benefit of the customers of the public service corporation who have incurred a loss of services or commodities or for deposit support pursuant to A.R.S.40-321 C.
OSPB:	Revenues are from the collection of funds from utilities and are used to pay consumers who have filed claims against the utilities.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2333 Public Access Fund

Cash Flow Summary	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Balance Forward from Prior Year	1,552.6	1,160.8	563.4
Revenue (From Revenue Schedule)	6,935.4	6,880.0	6,880.0
Total Available	8,488.0	8,040.8	7,443.4
Total Appropriated Disbursements	5,993.7	6,581.7	6,623.9
Total Non-Appropriated Disbursements	1,333.5	895.7	363.4
Balance Forward to Next Year	1,160.8	563.4	456.1

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	3,231.4	3,596.4	3,596.4
Employee Related Expenses	1,090.5	1,351.2	1,351.2
Prof. And Outside Services	246.7	191.6	246.6
Travel - In State	0.0	1.4	1.4
Travel - Out of State	0.0	7.0	7.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	1,304.1	1,180.8	1,180.8
Equipment	106.8	207.1	207.1
Capital Outlay	0.0	0.0	33.4
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	4.1	0.0	0.0
Expenditure Categories Total:	5,983.6	6,535.5	6,623.9
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	10.1	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	46.2	0.0
Appropriated Expenditure Total:	5,993.7	6,581.7	6,623.9
Apppropriated FTE:	84.5	84.5	84.5

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	1,333.5	895.7	363.4
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	1,333.5	895.7	363.4
Non-Apppropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification: For FY 2011, there is not an anticipated transfer for the fund cap, as the ending fund balance as of FYE 2010 is less than $200,000.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Description

Source:	Fees for expeditied service for the filing of articles of incorporation and other documents.
Use:	For an improved data processing system that allows direct, online access by any person to all public records concerning corporations and associations who have filed various reports with the Commission to transact business within the State.
OSPB:	Revenues consist of fees charged for expedited services, special computer printouts, reports, and tapes. The Commission also charges for remote access to the Commission's data processing system. Funds are used for improvements to the Commission's data processing system.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2334 Moneys on Demand

Cash Flow Summary	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Balance Forward from Prior Year	539.3	760.7	760.7
Revenue (From Revenue Schedule)	221.4	0.0	0.0
Total Available	760.7	760.7	760.7
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	760.7	760.7	760.7

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Apppropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Apppropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification:

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Description

Source:	Certain customers of the Corporations Division may routinely deposit funds into Monies on Demand accounts with the division in order to allow for fax filings, multiple business filings, etc., without delaying their filings for lack of payment in advance, or having multiple accounts' fees paid with separate checks.
Use:	As the customer completes their filings, funds for their fees are moved from the Monies On Demand account into the appropriate revenue account in Public Access Fund or General Fund.
OSPB:	Certain customers of the Corporations Division may routinely deposit funds into Monies on Demand accounts with the division in order to allow for fax filings, multiple business filings, etc., without delaying their filings for lack of payment in advance, or having multiple accounts' fees paid with separate checks. As the customer completes their filings, funds for their fees are moved from the Monies On Demand account into the appropriate revenue account in Public Access Fund or General Fund.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2404 Securities Investment Management Fund

Cash Flow Summary	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Balance Forward from Prior Year	1,261.6	1,326.1	1,355.8
Revenue (From Revenue Schedule)	2,467.5	2,450.0	2,450.0
Total Available	3,729.1	3,776.1	3,805.8
Total Appropriated Disbursements	707.6	720.3	715.4
Total Non-Appropriated Disbursements	1,695.4	1,700.0	1,700.0
Balance Forward to Next Year	1,326.1	1,355.8	1,390.4

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	483.4	516.4	516.4
Employee Related Expenses	220.6	198.8	198.8
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.6	0.0	0.0
Travel - Out of State	0.1	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	2.9	0.2	0.2
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	707.6	715.4	715.4
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	4.9	0.0
Appropriated Expenditure Total:	707.6	720.3	715.4
Apppropriated FTE:	10.0	10.0	10.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	1,695.4	1,700.0	1,700.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	1,695.4	1,700.0	1,700.0
Non-Apppropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification: The amounts shown in the transfer due to fund cap balance are the balance in excess of $100K as projected for ending December, as required.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Description

Source:	Fees from the licensing of investment advisors and investment advisor representatives, and from costs recovered from enforcement actions associated with the licensing.
Use:	For education, regulatory, investigative and enforcement operations in the Securities Division.
OSPB:	Revenues consist of fees and costs collected pursuant to enforcement of investment management regulations. The Commission uses these funds for education, regulatory, investigative, and enforcement operations in the securities division.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2500 IGA and ISA Fund

Cash Flow Summary	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Balance Forward from Prior Year	5.4	4.8	4.8
Revenue (From Revenue Schedule)	0.6	0.0	0.0
Total Available	6.0	4.8	4.8
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	1.2	0.0	0.0
Balance Forward to Next Year	4.8	4.8	4.8

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Apppropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	1.2	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	1.2	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	1.2	0.0	0.0
Non-Apppropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification:

Fund Description

Source:	The ISA and IGA Fund receives reimbursements from other governmental entities, such as the Arizona Peace Officers Standards and Training Board, and the University of Arizona Work Study Program.
Use:	Funds are received to offset current or prior year expenditures.
OSPB:	This fund was established for state agencies as a clearing account to properly account for, control, and report receipts and disbursements associated with intergovernmental and interagency service agreements, which are not reported in other funds.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2548 Arizona Competes Fund

Cash Flow Summary	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Balance Forward from Prior Year	0.0	169.1	0.0
Revenue (From Revenue Schedule)	169.1	(169.1)	0.0
Total Available	169.1	0.0	0.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	169.1	0.0	0.0

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Apppropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Apppropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification: The newly created Fund replaces the previous Fund 2245, into which the Commisison is to deposit certain revenues.

Fund Description

Source: Certain percentages of fees from securities related filings.

Use: Arizona Commerce Authority

OSPB:

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2600 Payment Card Clearing Fund

Cash Flow Summary	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Balance Forward from Prior Year	15.1	117.6	0.0
Revenue (From Revenue Schedule)	102.5	(117.6)	0.0
Total Available	117.6	0.0	0.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	117.6	0.0	0.0

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Apppropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Apppropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification:

Fund Description

Source:	Revenues collected from online processing of records filed through the Corporations division using credit cards.
Use:	Funds are transferred to the appropriate fund associated with the specific filing action.
OSPB:	Funding source is from various fees charged to the public for licensing and other activities. The fund is set up as a pass through of deposits coming in to the Treasurer's Office from fees paid by payment cards.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2999 Federal Economic Recovery Fund

Cash Flow Summary	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Balance Forward from Prior Year	24.7	12.2	0.0
Revenue (From Revenue Schedule)	240.0	30.0	0.0
Total Available	264.7	42.2	0.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	252.5	42.2	0.0
Balance Forward to Next Year	12.2	0.0	0.0

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Apppropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	192.9	29.0	0.0
Employee Related Expenses	56.7	13.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.1	0.0	0.0
Travel - Out of State	2.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.8	0.2	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	252.5	42.2	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	252.5	42.2	0.0
Non-Apppropriated FTE:	3.0	0.0	0.0

Fund Justification

Justification: This Federal funded program is due to end in FY 2013. Appropriated Capital Projects are negative amounts to offset the forced carryforward to FY 2014 and 2015. At this time, no expenditures will occur after FYE 2013.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Description

Source:	US Department of Energy, as part of the American Recovery and Reinvestment Act of 2009
Use:	As part of the American Recovery and Reinvestment Act of 2009, the grantee US Department of Energy through its National Energy Technology Laboratory has provided assistance to state electricity regulators to assist them in processing applications from utility recipients of direct federal funding related to electricity delivery and energy reliability, research, development and analysis. The grant funding enables the utilities division of the Commission to manage increased demands for docketing and other regulatory actions related to electric utilities' efforts for promoting energy efficiency and renewable energy programs.
OSPB:	Funds received from the American Recovery and Reinvestment Act of 2009 (ARRA) are used in accordance with the guidelines established by the federal government.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 3014 Arizona Arts Trust Fund

Cash Flow Summary	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Balance Forward from Prior Year	0.0	(50.2)	(100.7)
Total Available	0.0	(50.2)	(100.7)
Total Appropriated Disbursements	50.2	50.5	50.1
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	(50.2)	(100.7)	(150.8)

Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	31.6	29.4	29.4
Employee Related Expenses	18.4	20.0	20.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.2	0.7	0.7
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	50.2	50.1	50.1
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.4	0.0
Appropriated Expenditure Total:	50.2	50.5	50.1
Apppropriated FTE:	1.0	1.0	1.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Apppropriated FTE:	0.0	0.0	0.0

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Justification

Justification: The Commission only receives an appropriation from this fund. Control of the fund, including any beginning or ending balance lies with the Commission on the Arts. The figures represented here reflect only the Commission's appropriated expenditures, therefore the balance amounts will be negative.

Fund Description

Source:	One-third of filing fees for the annual reports filed with the Corporations Division. All revenues are posted to the GF and then transferred monthly to the Commission on the Arts.
Use:	The Corporation Commission is appropriated 1 FTE to assist in collecting the fee. The remainder of the revenues furthers the objectives of the Commission on the Arts.
OSPB:	Revenues come from a portion of the filing fee for each annual report filed with the Arizona Corporation Commission. Funds are used to award grants to organizations and individual artists with the purpose of advancing and fostering the arts in Arizona.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 3180 Court Ordered Trust Fund

Cash Flow Summary	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Balance Forward from Prior Year	456.3	707.8	707.8
Revenue (From Revenue Schedule)	251.5	0.0	0.0
Total Available	707.8	707.8	707.8
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	707.8	707.8	707.8

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Apppropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2013	Estimate FY 2014	Estimate FY 2015
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Apppropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification:

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Description

Source:	Restitution funds are received from respondents following an Order of Restitution pertaining to securities law violations.
Use:	Funds are invested with the State Treasurer in an interest bearing account and distributed periodically to known investor claimants proportionate to their investment amounts.
OSPB:	Restitution funds are received from respondents following an order of restitution pertaining to securities law violations. Funds are invested with the State Treasurer in an interest bearing account and distributed periodically to known investor claimants proportionate to their investment amounts.